



**ROCKFORD BOARD OF EDUCATION**  
**INVITATION TO BID ON SUPPLIES, MATERIALS, EQUIPMENT OR SERVICES FOR**  
**ROCKFORD PUBLIC SCHOOL DISTRICT NO. 205**  
**ROCKFORD, ILLINOIS**

IFB No. 22-10 Page Park School Asbestos Abatement Project

DATE: Thursday, September 9, 2021

OFFERS WILL BE RECEIVED UNTIL: **THURSDAY, SEPTEMBER 30, 2021 AT 2:00 P.M. (CDST)**

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**RE: Invitation for Bid (IFB) No. 22-10 Page Park School Asbestos Abatement Project.** The purpose of this Invitation to Bid is to solicit bids for the asbestos abatement project at Page Park School.

IFB Opening: **Thursday, September 30, 2021 at 2:00 PM (CDST) Rockford Board of Education, 6<sup>th</sup> floor Conference Room, 501 Seventh St., Rockford, IL 61104.** The date and time as stated is also the time of the public opening. All vendors are welcome to attend the IFB opening.

**If you plan to hand deliver your IFB submission on the due date, please note you must check in on the 1st floor prior to coming to the 6th floor. Please allow time for this as late submission will not be accepted.**

**Please return a copy of the required forms AND an electronic PDF version of the bid response (including all required forms) on a flash drive in a SEALED envelope with the bid number, subject and your firm's name and address clearly indicated on the envelope. NOTE: FAXED and LATE bids are not acceptable and will be rejected as non-responsive. Use of the included Bid Label is recommended.**

Copies of the bidding documents are available from Bonfire  
<https://rps205.bonfirehub.com/portal/?tab=openOpportunities>, DG Digital Printing, YCS Printing, Inc., or by download from the District's Purchasing Bids-RFPs webpage at  
<https://www.rps205.com/community/vendors>.

**A MANDATORY PRE-BID MEETING WILL BE CONDUCTED ON THURSDAY, SEPTEMBER 16, 2021 AT 10:00 A.M. (CDST), AT PAGE PARK SCHOOL, 5949 SAFFORD RD., ROCKFORD, IL 61101. MEET AT THE MAIN ENTRANCE.**

Direct all questions relative to this Invitation for Bid including specifications, Instructions to Bidders, and Conditions in writing to the Director of Purchasing via email at [PurchasingDeptStaff@rps205.com](mailto:PurchasingDeptStaff@rps205.com). During the time the bid is in the **open solicitation and unawarded phase**, Bidders may not contact any District staff or design consultants to inquire about the bidding process or any of the details contained in the Bid Package except in writing and by email to Director of Purchasing.

**Communication with District staff or representatives in a manner other than identified herein may result in disqualification.**

ROCKFORD BOARD OF EDUCATION

By: Dane Youngblood

Director of Purchasing

## INVITATION FOR BID

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### IFB No. 22-10 Page Park School Asbestos Abatement Project

<b>Project</b>	Page Park School Asbestos Abatement Project
<b>Location</b>	Page Park School 5949 Safford Rd, Rockford, IL 61101
<b>Owner</b>	Rockford School District No. 205 501 Seventh Street Rockford, Illinois 61104
<b>Design Professional</b>	Carnow Conibear 600 W. Van Buren St., Ste. 500 Chicago, IL 60607 Tel: 312.762.2925 Fax: 312.296.1297 Echristian@ccaltd.com
<b>Bid Scope</b>	Asbestos Abatement Project at Page Park School
<b>Bid Due Date</b>	2:00 P.M. (CDST), Thursday, September 30, 2021
<b>Pre-Bid Meeting:</b>	Mandatory Meeting: 10:00 AM (Central Time), September 16, 2021 Page Park School 5949 Safford Rd. Rockford, IL 61102. Meet at the Main Entrance.
<b>Addenda</b>	Last RFI accepted: 12:00 P.M September 21, 2021 Last addendum issued; September 23, 2021
<b>Other Key Dates</b>	Tuesday, October 12, 2021; RPS Board Meeting Wednesday, October 13, 2021; Award / Notice to Proceed
<b>Bid Security</b>	5% of Base Bid.
<b>Obtain Bid Documents By</b>	Download from the District's Purchasing Bids-RFPs webpage at <a href="http://www3.rps205.com/departments/Purchasing/Pages/Bids-RFPs.aspx">http://www3.rps205.com/departments/Purchasing/Pages/Bids-RFPs.aspx</a> , or by contacting the following:

## INVITATION FOR BID

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Bonfire <https://rps205.bonfirehub.com/portal/?tab=openOpportunities>

DG Digital Printing  
214 N. Rockton Avenue  
Rockford, IL 61103  
P. (815) 961-0000  
F. (815) 961-0004  
<http://www.dgdplanroom.com/>

YCS Printing, Inc.  
305 E. Riverside Blvd.  
Loves Park, IL 61111  
P. (815) 636-2058  
F. (815) 636-2059  
[print@ycsprinting.com](mailto:print@ycsprinting.com)

**Performance Bond and Labor  
And Material Payment Bond**      Furnish in the amount of 100% of the Contract after award.

**Rights Reserved by Owner**      The District reserves the right to waive any irregularities and/or reject any or all bids when, in the opinion of the District, such action will serve the best interests of the District.

**Withdrawal of Bids**      No bid may be withdrawn for a period of 60 days after the opening of bids without written consent of the District.

**ROCKFORD PUBLIC SCHOOL DISTRICT NO. 205**

**SEALED BID PROPOSAL**

**BID NO.: 22-10**

**OPENING DATE: THURSDAY, SEPTEMBER 30, 2021**

**OPENING TIME: 2:00 PM (CDST)**

**DESCRIPTION: Page Park School Asbestos Abatement Project**

**ATTN: PURCHASING DEPT.**

**NAME OF FIRM  
SUBMITTING BID:**

**DATED MATERIAL-DELIVER IMMEDIATELY**

**PLEASE CUT OUT AND AFFIX THIS BID  
LABEL TO THE OUTERMOST ENVELOPE  
OF YOUR PROPOSAL (INCLUDING  
UPS/FEDEX ENVELOPES) TO HELP ENSURE  
PROPER DELIVERY!**

**LATE OFFERS CANNOT AND WILL NOT BE ACCEPTED!**





# AIA® Document A701™ – 1997

## ***Instructions to Bidders***

for the following PROJECT:

Construction Bid Documents

**THE OWNER:**

*(Name, legal status and address)*

Board of Education

Rockford School District No. 205

Winnebago and Boone Counties, Illinois

501 Seventh Street

Rockford, Illinois 61104

**THE ARCHITECT:**

*(Name, legal status and address)*

As identified in the Invitation for Bid.

**PROJECT MANAGEMENT:**

Owner may self manage the project through its own project manager or designate a third-party project manager. The project manager is identified in the final Agreement.

**ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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## ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Invitation for Bid, Instructions to Bidders, Supplementary Instructions to Bidders, the bid form, and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications and all Addenda issued prior to execution of the Contract.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, AIA Document A201 as revised by Owner, or in other Contract Documents are applicable to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Owner prior to the execution of the Contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment or labor for a portion of the Work.

## ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 The Bidder by making a Bid represents that:

§ 2.1.1 The Bidder has read and understands the Bidding Documents or Contract Documents, to the extent that such documentation relates to the Work for which the Bid is submitted, and for other portions of the Project, if any, being bid concurrently or presently under construction.

§ 2.1.2 The Bid is made in compliance with the Bidding Documents and includes all information, documents and certifications required by the Owner as set forth in the Invitation for Bid and any Owner issued Addenda.

§ 2.1.3 The Bidder has visited the site, become familiar with local conditions under which the Work is to be performed and has correlated the Bidder's personal observations with the requirements of the proposed Contract Documents.

§ 2.1.4 The Bid is based upon the materials, equipment and systems required by the Bidding Documents without exception.

## ARTICLE 3 BIDDING DOCUMENTS

### § 3.1 COPIES

§ 3.1.1 Bidders may obtain complete sets of the Bidding Documents from the issuing office and from the other sources designated in the Invitation for Bid in electronic form. Hard copy sets of Bidding Documents may also be obtained from Owner upon payment of a deposit. The deposit will be refunded to Bidders who submit a bona fide Bid and return the Bidding Documents in good condition within ten days after receipt of Bids. The cost of replacement of missing or damaged documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the Bidding Documents and the Bidder's deposit will be refunded.



§ 3.1.2 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the Invitation for Bid, or in supplementary instructions to bidders.

§ 3.1.3 Bidders shall use complete sets of Bidding Documents in preparing Bids; neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

§ 3.1.4 The Owner may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

### **§ 3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS**

§ 3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall at once report to the Owner and Architect errors, inconsistencies or ambiguities discovered.

§ 3.2.2 Bidders and Sub-bidders requiring clarification or interpretation of the Bidding Documents shall submit all such inquiries in the manner specified in section 3.2.3.

§ 3.2.3 Interpretations, corrections and changes of the Bidding Documents will be made by Addendum only. Interpretations, corrections and changes of the Bidding Documents made in any other manner will not be binding, and Bidders shall not rely upon them. All inquiries regarding the Bidding Documents must be submitted in writing to the Owner's Director of Purchasing at Owner's address or as specified in the Bidding Documents. Verbal inquiries will not be accepted.

### **§ 3.3 SUBSTITUTIONS**

§ 3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance and quality to be met by any proposed substitution.

§ 3.3.2 No substitution will be considered prior to receipt of Bids unless written request for approval has been submitted to the Owner's Director of Purchasing at least five days prior to the date for receipt of Bids. Such requests shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitution including drawings, performance and test data, and other information necessary for an evaluation. A statement setting forth changes in other materials, equipment or other portions of the Work, including changes in the work of other contracts that incorporation of the proposed substitution would require, shall be included. The burden of proof of the merit of the proposed substitution is upon the proposer. The Owner's and Architect's decision of approval or disapproval of a proposed substitution shall be final. Approved substitutions will be identified in one or more Addenda issued by Owner.

§ 3.3.3 If the Architect approves a proposed substitution prior to receipt of Bids, such approval will be set forth in an Addendum. Bidders shall not rely upon approvals made in any other manner.

§ 3.3.4 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

### **§ 3.4 ADDENDA**

§ 3.4.1 Addenda will be transmitted to all who are known by the issuing office to have received a complete set of Bidding Documents.

§ 3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Each Bidder shall ascertain prior to submitting a Bid that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

## **ARTICLE 4 BIDDING PROCEDURES**

### **§ 4.1 PREPARATION OF BIDS**

**§ 4.1.1** Bids shall be submitted on the forms included with the Bidding Documents.

**§ 4.1.2** All blanks on the bid form shall be legibly executed in a non-erasable medium.

**§ 4.1.3** Sums shall be expressed in both words and figures. In case of discrepancy, the amount written in words shall govern.

**§ 4.1.4** Interlineations, alterations and erasures must be initialed by the signer of the Bid.

**§ 4.1.5** All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change."

**§ 4.1.6** Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall make no additional stipulations on the bid form nor qualify the Bid in any other manner.

**§ 4.1.7** Each copy of the Bid shall state the legal name of the Bidder and the nature of legal form of the Bidder. The Bidder shall provide evidence of legal authority to perform within the jurisdiction of the Work. Each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.

### **§ 4.2 BID SECURITY**

**§ 4.2.1** Each Bid shall be accompanied by a bid security in the form and amount required if so stipulated in the Instructions to Bidders. The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and will, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Section 6.2.

**§ 4.2.2** If a surety bond is required, it shall be written on AIA Document A310, Bid Bond, unless otherwise provided in the Bidding Documents, and the attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of the power of attorney.

**§ 4.2.3** The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until either (a) the Contract has been executed and bonds, if required, have been furnished, or (b) the specified time has elapsed so that Bids may be withdrawn or (c) all Bids have been rejected.

### **§ 4.3 SUBMISSION OF BIDS**

**§ 4.3.1** All copies of the Bid, the bid security, if any, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

**§ 4.3.2** Bids shall be deposited at the designated location prior to the time and date for receipt of Bids. Bids received after the time and date for receipt of Bids will be returned unopened.

**§ 4.3.3** The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

**§ 4.3.4** Oral, telephonic, telegraphic, facsimile or other electronically transmitted bids will not be considered.



#### **§ 4.4 MODIFICATION OR WITHDRAWAL OF BID**

**§ 4.4.1** A Bid may not be modified, withdrawn or canceled by the Bidder during the stipulated time period following the time and date designated for the receipt of Bids, and each Bidder so agrees in submitting a Bid.

**§ 4.4.2** Prior to the time and date designated for receipt of Bids, a Bid submitted may be modified or withdrawn by notice to the party receiving Bids at the place designated for receipt of Bids. Such notice shall be in writing over the signature of the Bidder. Written confirmation over the signature of the Bidder shall be received, and date- and time-stamped by the receiving party on or before the date and time set for receipt of Bids. A change shall be so worded as not to reveal the amount of the original Bid.

**§ 4.4.3** Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids provided that they are then fully in conformance with these Instructions to Bidders.

**§ 4.4.4** Bid security, if required, shall be in an amount sufficient for the Bid as resubmitted.

#### **ARTICLE 5 CONSIDERATION OF BIDS**

##### **§ 5.1 OPENING OF BIDS**

This bid is for a public contract and a project of the Rockford Public Schools. The bidding process, including advertisement, submission and opening of bids and selection of the successful bidder (if any) shall adhere to the provisions of applicable Illinois law particularly the School Code including, without limitation, the provisions of 105 ILCS 5/10-20.21. Properly identified Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids may be made available to Bidders.

##### **§ 5.2 REJECTION OF BIDS**

The Owner shall have the right to reject any or all Bids. A Bid not accompanied by a required bid security or by other data required by the Bidding Documents, or a Bid which is in any way nonresponsive, incomplete or irregular is subject to rejection.

##### **§ 5.3 ACCEPTANCE OF BID (AWARD)**

**§ 5.3.1** It is the intent of the Owner to award a Contract to the lowest responsible Bidder provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's own best interests.

**§ 5.3.2** The Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the low Bidder on the basis of the sum of the Base Bid and Alternates accepted.

#### **ARTICLE 6 INFORMATION**

##### **§ 6.1 CONTRACTOR'S QUALIFICATION STATEMENT**

Bidders shall submit with their Bid a properly completed and fully executed AIA Document A305, Contractor's Qualification Statement and such other or further reference documents and information as may be required in the Bidding Documents.

**§ 6.2** Intentionally Deleted.

##### **§ 6.3 SUBMITTALS**

**§ 6.3.1** The Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, after notification of selection for the award of a Contract, furnish to the Owner through Owner's project manager and to the Architect in writing:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the manufacturers, products, and the suppliers of principal items or systems of materials and equipment proposed for the Work; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.3.2 The Bidder will be required to establish to the satisfaction of the Architect and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder in writing if either the Owner, Owners project manager or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, (1) withdraw the Bid or (2) submit an acceptable substitute person or entity. The Owner may accept the substitute person or entity or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

## **ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND**

### **§ 7.1 BOND REQUIREMENTS**

§ 7.1.1 The successful Bidder shall furnish, prior to commencing work, bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder in an amount equal to 100% of the contract. Bonds may be secured through the Bidder's usual sources unless otherwise specified in the Bidding Documents.

§ 7.1.2 If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid.

§ 7.1.3 Intentionally Deleted.

### **§ 7.2 TIME OF DELIVERY AND FORM OF BONDS**

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract; or if no written contract is required, prior to commencing work on the project. In every event, bonds must be delivered to Owner prior to commencing work.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond. Both bonds shall be written in the full amount of the Contract Sum.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

## **ARTICLE 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR**

Unless otherwise required in the Bidding Documents, the Agreement for the Work will be written on AIA Document A101, Standard Form of Agreement Between Owner and Contractor as revised by Owner along with General Conditions on AIA Document A201 as revised by Owner and subject to the General Terms and Conditions and Supplementary Conditions issued by Owner in the Bidding Documents.

**SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

“District” means:	Rockford School District No. 205, Winnebago and Boone Counties, Illinois.
“IFB” means:	Invitation for Bid issued by the District at any time or times, identified by a unique bid number.
“Bidder” means:	a person or entity submitting a bid to the District in response to an IFB; including successful Bidders depending on context.
“Contractor” means:	the firm, person or entity awarded a bid.

1. SPECIAL NOTICE TO BIDDERS:

- A. Bids shall be enclosed in a sealed envelope, with name of the project clearly identified, and bearing the words “SEALED BID ENCLOSED”. Use of the included BID LABEL is recommended.
- B. Bids shall be based upon the drawing and specifications included in the IFB and any District issued Addenda and each bidder shall acknowledge the receipt and inclusion of any further instruction or addenda which may be issued prior to receipt of proposal.
- C. Bids shall be opened publicly by the District, immediately after bid closing time at the office of the Board of Education, 501 Seventh Street, Rockford, Illinois.

2. METHOD OF BIDDING

Bids will be received for a single contract.

3. SIGNING BIDS:

- A. Bids which are signed for a partnership shall be signed by all partners or by an Attorney-In-Fact. If signed by an Attorney-In-Fact, there shall be attached to the bid, a Power of Attorney evidencing such authority.
- B. Bids which are signed for a corporation shall have the correct corporation name thereon and the signature of the president or other authorized officer of the corporation.
- C. Bids shall be made on the form provided which shall not be altered in any way.

4. QUALIFICATIONS:

Statement as to whether the bidder has adequate equipment to do the work properly and expeditiously and Bidder’s shall submit a Qualification Statement on AIA form A305-1986.

5. AWARD

A bid shall be deemed as having been awarded when selected by the Board of Education and formal written notice shall have been duly served by an officer or agent of the District duly authorized to give such notice.

6. TAXES:

The bidder shall not include any Illinois Retailers Occupation or use taxes on tangible property purchased in the State of Illinois in his bid. Exemption Certificates for these taxes will be furnished by the District to the Contractor when requested by him/her in writing. See Section 17 of these instructions regarding sales of tangible property into the State of Illinois.

7. FORM OF CONTRACT:

The agreement shall be the Standard Form of Agreement between District and Contractor, AIA Document A101-2007 (or current form) as revised by District for the specific project, (a form included in bidding

**SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

documents), including the General Conditions AIA form A201-2007 (or current form) as revised by District (a form included in Invitation for Bid), the Addendum included in the Invitation for Bid and revised for the specific project, the Invitation for Bid, all amendments and addenda to the Invitation for Bid issued by the District, and the successful bidders bid; provided that the District may require execution of a formal written contract.

8. ACCEPTANCE OR REJECTION OF BIDS:

The District reserves the right to reject any or all bids and to waive informalities in order to accept the bid that in its judgment will serve the best interests of the District. Any Bidder may withdraw a bid by written request received by the District at any time prior to the scheduled closing time for receipt of bids.

9. QUESTIONS ON BIDDING DOCUMENTS:

All questions relative to the Invitation to Bid, including specifications, Instructions to Bidders, and Conditions shall be in writing addressed to the District Director of Purchasing only (email addressed to [PurchasingDeptStaff@rps205.com](mailto:PurchasingDeptStaff@rps205.com) accepted). Verbal in person and telephonic questions will not be accepted other than at the pre-bid meeting. No questions may be directed to other District staff or design consultants or representatives other than at the pre-bid meeting. Changes to bidding documents will only apply if contained in an addendum issued by the District.

10. BID DEPOSIT, BONDS AND INSURANCE:

Each Bidder shall provide a Bid Deposit by Bond, Certified Check or Bank Draft in the amount of 5% of the bid total. Bid deposits will be returned to unsuccessful Bidders within (30) days after award. Bid deposits will be returned to the successful Bidder as soon as a Contract is signed and accepted for this IFB. Bidder shall provide to the District prior to commencing work, if awarded a bid, a performance bond and labor and materials payment bond for 100% of its contract and a certificate of insurance on Acord form 25 in the required amount of insurance.

11. EXAMINATION OF SITE:

Bidder shall examine the site(s) of the work prior to bidding. Bidder shall satisfy himself/herself/itself as to existing conditions, local facilities and governing factors under which Bidder will be obliged to operate in performing the work, or that may in any manner affect the work under this contract. No allowance shall be granted for any error or negligence by Bidder resulting from a failure to adequately examine the site(s).

12. PREVAILING WAGE:

This Bid requires that the successful Contractor comply with all statutes, both Federal and State, governing payment of wages to employees. The Contractor certifies that by submitting his bid that he will pay the prevailing rate of wage in this area, for the particular type of labor, in accordance with State of Illinois Codes and the Illinois department of Labor. The Contractor and each Subcontractor shall keep an accurate record to show names and occupation of all workmen employed by them in connection with this IFB. The actual hourly wage paid to each shall be recorded. These records shall be open for inspection during all working hours to any authorized District's agent and any authorized agent of the Illinois Dept. of Labor. The following clause shall be a part of this contract. *"If during the course of this contract the Department of Labor revises the prevailing rate hourly wages to be paid under this contract for any trade or occupation, the prevailing rate of wage is available on the Department of Labor official website. Contractor is directed to such website. Contractor shall have the sole responsibility and duty to ensure that the revised prevailing rate of hourly wage is paid by Contractor and all Subcontractors to each worker to whom a revised rate is applicable. Revisions to the prevailing wage as set forth above shall not result in an increase in the contract sum."*

13. DOCUMENTS TO BE RETURNED:

Forwarded with this bidding document is one complete set of specifications and bidding forms. The bidding forms are included within the bidding document. One copy of the bidding forms are to be returned as your



**SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

Bidding Document, along with the Bid Deposit, signatures, and other required information. A self-addressed label, properly identified, is provided for your use.

It is required that the Bidder's signature appears on the following bidding forms:

- A. Statement of No Interest (if applicable)
- B. Bid-Rigging Certification
- C. Minority and Women Owned Business Form
- D. Certification Regarding Debarment Form
- E. Certificate Regarding Lobbying Form
- F. OFAC Compliance Form
- G. Bidder's Certification
- H. Vendor Conflict of Interest Disclosure Form
- I. Bid Offer Form
- J. Certified Cleared Employee List
- K. AIA form A305-1986 Qualification Statement
- L. Form W-9 or substitute form W-9.
- M. Asbestos Notification

14. ILLINOIS FAIR EMPLOYMENT PRACTICES

The bidder's signature on the bid form is acceptance of and willingness to comply with all provisions of the Acts of the General Assembly of the State of Illinois relating to wages of laborers, preferences and discrimination and intimidation of employees. The Bidder is specifically subject to the Equal Employment Opportunity requirements of the Illinois Fair Employment Practices Commission and the policies of the Rockford Board of Education. Bidder agrees to comply in all respect with Federal, State and local laws and ordinances pertaining to this bid and to the performance of the Contract in the event bidder is awarded the bid. Provisions of applicable statutes and regulations are hereby incorporated by reference and are a part of the IFB, the awarded bid and any resulting contract as though fully set forth herein.

15. EMPLOYMENT OF ILLINOIS WORKERS ON PUBLIC WORKS ACT

Pursuant to the Employment of Illinois Workers on Public Works Act, during any time of excessive unemployment (defined as any month immediately following 2 consecutive calendar months during which the level of unemployment in the State of Illinois has exceeded 5% as measured by the United States Bureau of Labor Statistics in its monthly publication of employment and unemployment figures) any person or entity charged with the duty, either by law or contract, of (1) constructing or building any public works, as defined in this Act, or (2) the clean-up and on-site disposal of hazardous waste for the State of Illinois or any political subdivision of the State, and that clean-up or on-site disposal is funded or financed in whole or in part with State funds or funds administered by the State of Illinois, then that person or entity shall employ at least 90% Illinois laborers on such project. Persons or entities entering into a contract with the Rockford Public Schools in which they are obligated to construct or build any public works (defined as any fixed work construction or improvements funded in whole or part by the State of Illinois) agree to abide by the requirements of the Employment of Illinois Workers on Public Works Act.

16. TAX IDENTIFICATION NUMBER:

Under Federal Law and in accordance with instructions from the Department of Treasury and the Internal Revenue Service, the District is required to have on file appropriated tax identification information concerning the Bidder. Bidders will provide a completed form W-9 or substitute form W-9 as included in the IFB.

17. CONTRACTOR RESPONSIBILITY TO COLLECT AND REMIT ILLINOIS USE TAX:

Bidders acknowledge and understand that any resulting contract for goods and services awarded to a Bidder requires that as a contractor the person or entity and all affiliates of the person or entity will collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the

**SUPPLEMENTARY INSTRUCTIONS TO BIDDERS**

provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.) regardless of whether the person/entity or affiliate is a "retailer maintaining a place of business within this State" as defined by the Use Tax Act (35 ILCS 105/2). (Reference the School Code of Illinois; 105 ILCS 5/10-20.21(b))

18. **PERFORMANCE and PAYMENT BOND:**

The successful bidder will be required to furnish a Performance Bond and a Labor & Materials Payment Bond satisfactory to the Board of Education. The amount of said bond shall be equal to 100% of the bid award and the cost of any said bond shall be included in the bid. Bonds are to be submitted on AIA form A312-2010 (or current form).

19. **SUBSTITUTE PRODUCTS OR MATERIALS PREQUALIFICATION:**

Products or materials proposed as "equal to" or substitute products or materials may not be bid unless pre-qualified by submission of proposed substitutes to the Director of Purchasing including all depictions, supporting documentation and specifications at least five (5) working days before the bid opening and approved by addendum issued by the District. Substitutes not approved by District issued addendum will not be accepted. Substitute proposals may be offered on more than one manufacturer.

20. **PREQUALIFICATION OF BIDDER:**

Bidders are required to furnish evidence satisfactory to the District that the Bidder's proposed subcontractors have sufficient means and experience in the types of work required to assure completion of the project in a satisfactory manner. All bidders are required to properly complete and execute AIA Document A305, "Contractor's Qualification Statement" and submit with the bid.

21. **MINORITY AND FEMALE OWNED BUSINESSES**

District #205 supports the policy of the State of Illinois to support Minority Owned Business Enterprise (MBE) and Female Owned Business Enterprise (FBE). The District seeks to identify and encourage the amount of minority and female involvement in each of the construction-related contracts issued by the District. A bidder will be required to submit the minority certification form enclosed with the bid documents. Additionally, in the event and to the extent State of Illinois funds in excess of \$250,000.00 are awarded to and used by the District for capital construction costs and design services on a school construction project, and goals are established for MBE and FBE participation in such school construction project involving the use of State of Illinois funds, and to the extent such goals are not inconsistent with Federal guidelines the District will follow such goals unless waived. The successful bidder agrees to cooperate with the District to provide necessary information to meet state funding requirements and on participation by MBE and WBE and to assist in meeting goals through certification as a MBE or WBE or certification of subcontractors. If the funds threshold is met for a project, the Invitation for Bid will so designate.

-END OF SUPPLEMENTARY INSTRUCTIONS TO BIDDERS-

## GENERAL TERMS AND CONDITIONS

“District” means the Board of Education of and Rockford School District No. 205, Winnebago and Boone Counties, Illinois.

“IFB” means an Invitation for Bid issued by the District at any time or times, identified by a unique bid number.

“Bidder” means a person or entity submitting a bid to the District in response to an IFB; including successful Bidders.

“Contract” means the agreement between the Board and Bidder arising from the award of a bid, including a written contract.

“Contractor” means the Bidder awarded a bid.

### 1. BID OPENING.

**1.01** Sealed bids will be received at the District Purchasing Department until the date and time specified at which time they shall be opened in public. No other bids will be considered after this date and time unless it is evidenced and determined that the bid was in the District’s possession prior to the scheduled bid opening time and date. Late bids shall be rejected and shall remain unopened. The District does not prescribe the method by which bids are to be transmitted; therefore, it is not responsible for any delay, regardless of the reason, in transmission of the bids. All bids delivered in person shall be deposited with the District Purchasing Department, 6th Floor, 501 Seventh Street, Rockford, IL, 61104. Use of the BID LABEL included in the IFB is recommended.

**1.02** Please return the required forms and the bid response in a SEALED envelope with the bid number, subject and your firm’s name and address clearly indicated on the envelope. NOTE: FAXED and LATE bids are not acceptable and will be rejected as non-responsive.

**1.03** Bids to be addressed as follows: **Rockford Public School District Purchasing Department  
501 Seventh St., 6<sup>th</sup> Floor  
Rockford, IL 61104  
Attn: Purchasing Department**

**1.04** No bids may be withdrawn after the official opening. All bids submitted must be valid for a minimum period of sixty (60) days after the date set for the bid opening.

### 2. BID PREPARATION.

**2.01** Bids must be submitted on the Bid Offer Form provided in the IFB and all information and certifications called for must be furnished. Bids submitted in any other manner, or which fail to furnish all information or certificates required, may be summarily rejected. Bids may be modified or withdrawn prior to the time specified for the opening of the bids.

**2.02** Bids shall be filled out legibly in ink or typewritten with all erasures, strikeouts and corrections initialed in ink by the person signing the bid. The bid shall include the legal name of the bidder, the complete mailing address, and be signed in ink by a person or persons legally authorized to bind the bidder to a contract. The name of person signing should be typed or printed below the signature. Bidder’s signature on the bid documents must be an actual signature; stamped, facsimile or typewritten signatures may disqualify the bid.

**2.03** A Bid Checklist Form is included in the IFB for use to assure all required documents are submitted. Complete and submit the Checklist form with the bid.

**3. BID ENVELOPES.** Envelopes containing bids must be sealed and addressed to the District Purchasing Department. The name and address of the Bidder and the bid number must be shown on the envelope. Use of the BID LABEL included in the IFB is recommended.

**4. ERRORS IN BIDS.** Bidders are cautioned to verify their bids before submission. Negligence or errors on the part of the Bidder in preparing the bid confers no right for withdrawal or modification of the bid after it has been opened. In case of error in the extension of prices in the bid, the unit prices will govern.

### 5. RESERVED RIGHTS.

**5.01** The District reserves the right at any time and for any reason to cancel an IFB, accept or reject any or all bids or any portion thereof, or to accept an alternate offer. The District reserves the right to waive any minor informality or defect in any IFB. Unless otherwise specified, the District will award a bid or reject bids within 60 days. The District may seek clarification from any Bidder at any time and failure to respond promptly is cause for rejection.

**5.02** The Board of Education reserves the right to have any product analyzed at a laboratory to ascertain compliance with specifications. Expense of such testing shall be by the Board of Education unless such tests prove noncompliance with specifications at which time the expense shall be the responsibility of the Contractor.

**6. INCURRED COSTS.** The District will not be liable for any costs incurred by Bidders in responding to an IFB.

**7. AWARD.** The District will evaluate bids and will award a contract to the lowest responsive and responsible Bidder

## GENERAL TERMS AND CONDITIONS

whose bid, conforming to the solicitation and Invitation for Bid will be most advantageous to the District. Determination of the lowest responsible Bidder and bid conforming to the solicitation shall not be restricted to the price quotation alone, but will include such other factors (where applicable) as (a) adherence to all conditions and requirements of the technical specifications; (b) price; (c) qualifications of the Bidder, including past performance, financial responsibility, general reputation, experience, service capabilities, and facilities; (d) delivery or completion date; (e) product appearance, workmanship, finish, taste, feel, overall quality, and results of product testing; (f) maintenance costs and warranty provisions; (g) repurchase or residual value; and (h) other such related items. The District is interested in obtaining the best overall value and reserves the right to make a selection based on its judgment of the bid that is best suited for the purpose intended. The District may (1) reject any or all bids, (2) accept other than the lowest Bidder, and (3) waive informalities or minor irregularities in bids received. The District may accept any item or group of items of an offer, unless the Bidder qualifies the bid by specific limitations. The District reserves the right to determine the lowest responsible Bidder and bid on the basis of an individual item, groups of items, or in any way determined to be in the best interests of the District. A written award or acceptance of a bid mailed or otherwise furnished to the successful Bidder within the time for acceptance specified in the bid shall result in a binding contract without further action by either party. The District, in its discretion, may require the execution of a formal written contract

### 8. PRICING.

**8.01** The price quoted for each item is the full purchase price, **including delivery to destination**, and includes all transportation and handling charges, premiums on bonds, material or service costs, patent royalties and all other overhead charges of every kind and nature. Unless otherwise specified, prices shall remain firm for the Contract period.

**8.02** If at any time after a contract is awarded, the successful Bidder(s) makes a general price reduction in the comparable price of any material covered by the contract to customers generally, an equivalent price reduction based on similar quantities and/or considerations shall apply to the contract for the duration of the contract period (or until the price is further reduced). Such price reduction shall be effective at the same time and in the same manner as the reduction in the price to customers generally. For the purpose of this provision, a "general price reduction" shall mean any horizontal reduction in the price of an article or service offered (1) to successful Bidder's customers generally, or (2) in the successful Bidder's price schedule for the class of customers, i.e., wholesalers, jobbers, retailers, etc., which was used as the basis for bidding on this contract. An occasional sale at a lower price, or sale of distressed merchandise at a lower price, would not be considered a "general price reduction" under this provision. The successful Bidder shall invoice the District at such reduced prices indicating on the invoice that the reduction is pursuant to the "price reduction" provision of this contract. The successful Bidder, in addition, shall within ten (10) days of any general price reduction, notify the Executive Director of Budget and Purchasing of such reduction by letter. Failure to do so may result in termination of the Contract.

**9. DISCOUNTS.** Prices quoted must be net after deducting all trade and quantity discounts.

**10. SPECIFICATIONS.** Reference to brand names and numbers is descriptive, but not restrictive, unless otherwise specified. Bids on equivalent items will be considered only when the bidder has submitted a request for approval of a substitute or "as equal" product or material and the District has approved the product or material as a substitute in an addendum. Bids including non-approved substitutes may be rejected as nonresponsive. Bidder is offering a referenced brand item as specified or is bidding as specified when no brand is referenced, and does not propose to furnish an "equal." The District reserves the right to determine whether a substitute offer is equivalent to and meets the standard of quality and salient characteristics indicated by the brand name and number.

**11. SAMPLES.** Samples of items, when called for, must be furnished free of expense. Individual samples must be labeled with the Bidder's name, bid number, item reference, manufacturer's brand name and number. If samples are requested, they must be sent under separate cover and not included with bid. The District will not be responsible for any bid enclosed with sample boxes.

**12. INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS.** Bidders shall promptly notify the District of any ambiguity, inconsistency or error which they may discover upon examination of the IFB documents. Interpretations, corrections and changes will be made by addendum issued by the District. Each Bidder shall ascertain prior to submitting a bid that all addenda have been received and acknowledged in the offer.

### 13. INDEMNIFICATION AND HOLD HARMLESS.

The Board shall not be responsible for the acts or omissions of any Bidder and the Bidder's employees. The term Board and District, for purposes of this section 13, shall mean and include the Board of Education and Rockford School District No. 205. Bidder agrees to defend, hold harmless and indemnify the Board and every Board member, officer, agent, attorney,

## GENERAL TERMS AND CONDITIONS

servant or employee of the Board from any lawsuit, action, proceeding, liability, judgment, claim, or demand which may arise out of:

- a) Any injury to person or damage to property sustained by Bidder, its officers, agents, servants or employees or by any person, firm, or corporation employed directly or indirectly by them upon or in connection with their performance or failure to perform under the Contract, except for such injury or damage wherein it is finally determined that the Board, its board members, officers, agents, attorneys, servants or employees were grossly negligent or committed willful misconduct;
- b) Any injury to person or damage to property sustained by any person, firm, or corporation, caused by any negligent or intentional act, default, error or omission of Bidder, its officers, agents, representatives, servants, or employees or of any person, firm, or corporation, directly or indirectly employed by them upon or in connection with performance under the Contract;
- c) Fines, penalties, costs and expenses which may be incurred by or levied and assessed against the Board, or any board member, officer, agent, attorney, servant or employee of the Board in connection with Bidder's performance or failure to perform under the Contract.

Bidder at its own expense and risk shall defend any legal proceedings that may be brought against the Board, or any Board member, officer, agent, attorney, servant, or employee of the Board on any such claim or demand, and shall satisfy any judgment, fine or penalty which may be rendered or assessed against the Board, its Board member(s), or any officer, agent, attorney, servant, or employee of the Board arising out of any such claim or demand. The Board has and reserves the right to disapprove any attorney or law firm selected by Bidder to defend any such legal proceeding and to select an attorney or law firm to defend any such legal proceeding. The Board shall have the right to set off against any sums due Bidder under the Contract the amount of any indemnity cost, expense and claim under this section 13.

This indemnification, defense and hold harmless agreement shall apply to any lawsuit, action, proceeding, liability, judgment, claim or demand, of whatever name or nature, arising from or relating to acts or omissions of Bidder and/or its employees or agents with regard to the personnel, services, materials and goods provided by Bidder under the Contract, notwithstanding that Bidder may deem the same to be frivolous or without merit. It is intended that this Indemnity be interpreted in the broadest manner possible so as to insulate all of the entities, parties and individuals named above from any liability, cost or judgment, monetary or otherwise, as the same may relate to the personnel, services, material and goods provided by Bidder; provided however, this indemnification, defense, and hold harmless agreement shall not apply to any lawsuit, action, proceeding, liability, judgment, claim, demand, fine or penalty to the extent and wherein it is finally determined that the Board, its Board members, officers, agents, servants or employees were grossly negligent or committed willful misconduct which caused damage.

When required by statute, this Indemnity and Hold Harmless provision shall be construed in accordance with the Construction Contract Indemnification for Negligence Act (740 ILCS 35/1).

The provisions of this Section 13 and the assumption of defense, indemnity, liability and loss hereunder shall survive termination of the Contract.

**14. DEFAULT.** If delivery of acceptable items or rendering of services is not completed by the time promised, the District reserves the right, without liability, in addition to its other rights and remedies, to terminate the Contract by notice effective when received by Bidder, as to stated items not yet shipped or services not yet rendered and to purchase substitute items or services elsewhere and charge the Seller with any or all losses incurred. The District shall be entitled to recover its attorney fees and expenses in any successful action by the District to enforce the Contract.

**15. INSPECTION.** Materials or equipment purchased are subject to inspection and approval at the District's destination. The District reserves the right to reject and refuse acceptance of items which are not in accordance with the IFB, instructions, specifications, drawings or data or Bidder's warranty (express or implied). Rejected materials or equipment shall be removed by, or at the expense of, the Bidder promptly after rejection and if not removed within 10-calendar days after notice, such shall be returned via collect shipping.

**16. WARRANTY.** Bidder warrants that all materials, goods and services furnished hereunder will conform in all respects to the terms of the IFB and all District issued Addenda, including any drawings, specification or standards incorporated

## GENERAL TERMS AND CONDITIONS

herein, and that they will be free from latent and patent defects in materials, workmanship and title, and will be free from such defects in design to the best of the Bidder's knowledge. In addition, Bidder warrants that said materials, goods and services are suitable for, and will perform in accordance with, the purposes for which they are purchased, fabricated, manufactured and designed or for such other purposes as are expressly specified in this solicitation. The Bidder also warrants that installation of materials and products are performed in accordance with manufacturer requirements and otherwise in accordance with industry standards. The District may return any nonconforming or defective items to the Bidder or require correction or replacement of the item if improperly installed at the time the defect is discovered, all at the Bidder's risk and expense. Acceptance shall not relieve the Bidder of its responsibility.

**17. REGULATORY COMPLIANCE.** Bidder represents and warrants that the goods or services furnished hereunder (including all labels, packages and container for said goods) comply with all applicable standards, rules and regulations in effect under the requirements of all Federal, State and local laws, rules and regulations as applicable, including without limitation, the Occupational Safety and Health Act as amended and the rules and regulations of the Illinois State Board of Education, with respect to design, construction, manufacture or use for their intended purpose of said goods or services. Bidder shall furnish "Material Safety Data Sheets" in compliance with the Illinois Toxic Substances Disclosure to Employees Act, if applicable.

**18. ROYALTIES AND PATENTS.** Bidder shall pay all royalties and license fees. Bidder shall defend all suits or claims for infringement of any patent, copyright or trademark rights and shall hold the District harmless from loss on account thereof pursuant to the standard set forth in section 13.

**19. COMPLIANCE WITH LAWS AND REGULATIONS.** Bidder represents and warrants that throughout the term of any contract arising from award of a bid and any extension thereof, Bidder and all products shall be and shall remain in compliance with all applicable federal, state, and local laws and regulations including without limitation, the rules and regulations of the Illinois State Board of Education and the Regional Office of Education for Winnebago and Boone Counties.

**20. TERMINATION FOR CAUSE.**

(a) The District may terminate the Contract for cause in whole or in part, without liability:

- i. if deliveries are not made at the time and in the quantities specified,
- ii. if the Bidder fails to perform any of the provisions of the IFB, its bid and the Contract, or so fails to make such progress as to endanger performance of the Contract in accordance with its terms, and in either of these circumstances does not cure such failure within such period of time as the District may direct,
- iii. if it is determined the successful Bidder knowingly falsified information provided to the District,
- iv. if it is determined the successful Bidder offered substantial gifts or gratuities to a District official, employee, or agent whether in their official capacity or not,
- v. In the event Bidder is or becomes disqualified from holding public contracts or otherwise provides false information on any certification required in the IFB, or
- vi. in the event of a breach or failure of the Contractor to comply with any of the other terms or conditions herein.

The District, in the event cause, in the opinion of the District is curable, shall notify the Contractor in writing of the specific nature of the breach and shall request that it be cured. If the Contractor does not cure the breach within thirty (30) days of such notice, the District may immediately terminate the Contract. To terminate, the District shall give notice to the Contractor in writing, and to the extent specified therein, Contractor shall immediately terminate deliveries under the contract. Termination of the Contract shall not preclude the District from pursuing any and all remedies available to it at law or at equity.

(b) Any termination by the District, whether for cause or otherwise, shall be without prejudice to any claims for damages or other rights of the District against Contractor.

(c) The District shall have the right to audit all elements of any termination claim and Contractor shall make available to the District on request all books, records, and papers relating thereto.

(d) The Contractor shall be paid only for the performance of work up to the date of termination if the District exercises its right to terminate.

**21. TERMINATION WITHOUT CAUSE.** Unless otherwise specified in the Invitation for Bid, a contract formed by

## GENERAL TERMS AND CONDITIONS

award of a bid or a written formal contract arising from award of a bid may be unilaterally terminated by the District, for any or no reason, upon sixty (60) days written advance notice to the Contractor. Contractor may submit claims for actual work performed up to and including the day of notice of termination with appropriate documentation supporting such claim for materials, labor, or acquired inventory for equitable adjustment and any such material shall become the property of the District upon settlement.

**22. ASSIGNMENT.** The Bidder may not assign, subcontract, delegate or otherwise transfer a Contract or any of its rights or obligations hereunder, nor may it contract with third parties to perform any of its obligations hereunder except as contemplated in this contract, without the District's prior written consent. Subcontractors identified within a construction bid or forming part of a Bidder's bid subject to the right of the District to accept or reject any bid and District rights relating to acceptance of subcontractors, are approved without further written consent.

**23. FORCE MAJEURE.** The obligations of the Contractor to perform under the Contract will be excused during each period of delay caused by acts of God or by shortages of power or materials or government orders which are beyond the reasonable control of the Contractor obligated to perform ("Force Majeure Event"). In the event that the Contractor ceases to perform its obligations under any contract formed by award of bid or written contract arising therefrom due to the occurrence of a Force Majeure Event, the Contractor shall: (1) immediately notify the District in writing of such Force Majeure Event and its expected duration; (2) take all reasonable steps to recommence performance of its obligations under this contract as soon as possible. In the event that any Force Majeure Event delays Contractor's performance for more than thirty (30) days following notice pursuant to the Contract, the District may terminate this contract immediately upon written notice to the Contractor.

### **24. BID CERTIFICATION.**

**24.01** The Bidder's signature on a bid certifies: (a) The bid is genuine and not made in the interest of, or on the behalf of, any undisclosed persons, firms or corporation and is not submitted in conformity with any agreement or rules of any group association, or organization; (b) Bidder has not directly or indirectly induced or solicited any other Bidder to enter a false or sham bid; (c) Bidder has not solicited or induced any person, firm or group to refrain from bidding; (d) Bidder has not sought by collusion or otherwise to obtain for self-interest any advantage over any other Bidder or the District.

**24.02** The Bidder's signature on the Bid Offer Form certifies that they have read and understand the contents of this solicitation and agree to furnish at the prices shown any or all of the items and/or services, subject to all instructions, conditions, specifications and attachments hereto. Failure to have read all the provisions of the IFB or Addenda shall not be cause to alter any resulting contract, request additional compensation, or relieve Bidder from obligation to perform under this contract.

**24.03** The Bidder's signature on the Bid Offer Form will be construed as acceptance of and willingness to comply with all provisions of the Acts of the General Assembly of the State of Illinois including, without limitation, laws rules and regulations relating to wages of laborers, and discrimination and intimidation of employees. The bid and the resulting Contract are specifically subject to the Equal Employment Opportunity requirements of the Illinois Human Rights Act, Federal statutes and the policies and procedures of the District. Bidder agrees to comply in all respects with Federal, State, and local laws, ordinances and regulations pertaining to this bid and to the performance of the Contract in the event the Bidder is awarded the bid. Provisions of applicable statutes enacted by governmental bodies having jurisdiction are hereby incorporated by reference as though fully set forth herein and became a part of this IFB and specifications.

**25. MODIFICATIONS.** The Contract can be modified only by written bi-lateral modification signed by the parties or duly authorized agents.

**26. ADDENDA.** If it becomes necessary to revise any part of this bid, a written addendum will be issued by the District. If the District issues addenda, such addenda shall become part of the IFB and contract documents. A Bidder who fails to obtain the District's addenda, and who has previously submitted an offer, shall not be relieved from any obligation in the bid submitted.

**27. BINDING EFFECT.** The terms, conditions, provisions, and undertakings of any contract formed by award of a bid shall be binding upon and inure to the benefit of each of the parties thereto and their respective successors and assigns.

**28. PROHIBITED CONFLICT OF INTEREST.** Various statutes of the state of Illinois prohibit interest of School Board members in contracts and others prohibit interest of employees in contracts of the District as do District policies. Bidder by submitting a bid agrees to refrain from entering into any contract with the District where a Board member or employee of the District has a prohibited interest.

## **GENERAL TERMS AND CONDITIONS**

**29. EQUAL OPPORTUNITY EMPLOYER.** The Rockford Public School District is an Equal Opportunity Employer and encourages bids or proposals from any company or individual regardless of race, gender, national origin, religion or age.

-END OF GENERAL CONDITIONS-



## Winnebago County Prevailing Wage Rates posted on 1/13/2021

Trade Title	Rg	Type	C	Base	Foreman	Overtime				H/W	Pension	Vac	Trng	Other Ins
						M-F	Sa	Su	Hol					
ASBESTOS ABT-GEN	All	BLD		40.39	41.39	1.5	1.5	2.0	2.0	9.35	19.97	0.00	0.80	
ASBESTOS ABT-MEC	All	BLD		18.95	0.00	1.5	1.5	2.0	2.0	2.70	3.35	0.00	0.00	
BOILERMAKER	All	BLD		51.56	56.20	2.0	2.0	2.0	2.0	6.97	21.58	0.00	1.20	
BRICK MASON	All	BLD		43.00	45.75	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	
CARPENTER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
CARPENTER	All	HWY		45.10	46.85	1.5	1.5	2.0	2.0	12.55	17.00	0.00	0.73	
CEMENT MASON	All	ALL		38.75	41.50	1.5	1.5	2.0	2.0	12.30	18.38	0.00	0.50	
CERAMIC TILE FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
COMMUNICATION TECHNICIAN	All	BLD		41.36	45.50	1.5	1.5	2.0	2.0	14.54	16.03	0.00	0.83	
ELECTRIC PWR EQMT OP	All	ALL		44.61	60.87	1.5	1.5	2.0	2.0	6.50	12.49	0.00	1.01	1.34
ELECTRIC PWR GRNDMAN	All	ALL		34.27	60.87	1.5	1.5	2.0	2.0	6.50	9.60	0.00	0.77	1.03
ELECTRIC PWR LINEMAN	All	ALL		53.63	60.87	1.5	1.5	2.0	2.0	6.50	15.02	0.00	1.21	1.61
ELECTRIC PWR TRK DRV	All	ALL		35.52	60.87	1.5	1.5	2.0	2.0	6.50	9.95	0.00	0.80	1.07
ELECTRICIAN	All	BLD		48.62	53.48	1.5	1.5	2.0	2.0	14.54	21.46	0.00	0.97	
ELEVATOR CONSTRUCTOR	All	BLD		53.80	60.53	2.0	2.0	2.0	2.0	15.72	18.41	4.30	0.63	
GLAZIER	All	BLD		41.93	43.93	1.5	1.5	1.5	2.0	12.67	9.53	0.00	1.35	
HEAT/FROST INSULATOR	All	BLD		33.83	36.87	1.5	1.5	2.0	2.0	9.10	20.67	0.00	0.48	
IRON WORKER	All	ALL		40.85	45.75	2.0	2.0	2.0	2.0	12.66	28.22	0.00	1.55	
LABORER	All	BLD		35.84	36.84	1.5	1.5	2.0	2.0	9.35	19.97	0.00	0.80	
LABORER	All	HWY		37.34	38.09	1.5	1.5	2.0	2.0	9.35	23.97	0.00	0.80	
LABORER, SKILLED	All	HWY		40.39	41.14	1.5	1.5	2.0	2.0	9.35	23.97	0.00	0.80	
LATHER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
MACHINIST	All	BLD		49.68	52.18	1.5	1.5	2.0	2.0	7.93	8.95	1.85	1.47	
MARBLE FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
MARBLE MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
MATERIAL TESTER I	All	ALL		40.39	41.14	1.5	1.5	2.0	2.0	9.35	23.97	0.00	0.80	
MATERIALS TESTER II	All	ALL		40.39	41.14	1.5	1.5	2.0	2.0	9.35	23.97	0.00	0.80	
MILLWRIGHT	All	BLD		42.72	46.99	1.5	1.5	2.0	2.0	11.05	16.85	0.00	0.70	
OPERATING ENGINEER	All	BLD	1	47.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	2	46.45	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	3	44.00	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	

OPERATING ENGINEER	All	BLD	4	42.00	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	5	50.90	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	6	50.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	7	47.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	1	47.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	2	46.45	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	3	45.15	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	4	43.70	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	5	42.25	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	6	50.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	7	48.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
PAINTER	All	ALL		41.15	43.15	1.5	1.5	1.5	2.0	15.14	8.67	0.00	1.35	
PILEDRIVER	All	BLD		42.08	46.71	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
PILEDRIVER	All	HWY		46.10	47.85	1.5	1.5	2.0	2.0	12.55	17.00	0.00	0.73	
PIPEFITTER	All	BLD		50.45	53.98	1.5	1.5	2.0	2.0	9.70	12.90	0.00	1.95	
PLASTERER	All	BLD		35.78	39.36	1.5	1.5	2.0	2.0	12.05	19.74	0.00	0.50	
PLUMBER	All	BLD		50.45	53.98	1.5	1.5	2.0	2.0	9.70	12.90	0.00	1.95	
ROOFER	All	BLD		45.75	49.75	1.5	1.5	2.0	2.0	11.23	13.61	0.00	0.91	
SHEETMETAL WORKER	All	BLD		44.49	48.00	1.5	1.5	2.0	2.0	7.85	20.78	0.00	0.65	0.90
SPRINKLER FITTER	All	BLD		41.97	44.72	1.5	1.5	2.0	2.0	10.23	14.02	0.00	0.52	
STONE MASON	All	BLD		43.00	45.75	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	
TERRAZZO FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
TERRAZZO MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
TILE LAYER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
TILE MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
TRUCK DRIVER	All	ALL	1	39.87	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	2	40.02	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	3	40.22	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	4	40.33	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TUCKPOINTER	All	BLD		43.00	45.75	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	

### **Legend**

**Rg** Region

**Type** Trade Type - All,Highway,Building,Floating,Oil & Chip,Rivers

**C** Class

**Base** Base Wage Rate

**OT M-F** Unless otherwise noted, OT pay is required for any hour greater than 8 worked each day, Mon through Fri. The number

listed is the multiple of the base wage.

**OT Sa** Overtime pay required for every hour worked on Saturdays

**OT Su** Overtime pay required for every hour worked on Sundays

**OT Hol** Overtime pay required for every hour worked on Holidays

**H/W** Health/Welfare benefit

**Vac** Vacation

**Trng** Training

**Other Ins** Employer hourly cost for any other type(s) of insurance provided for benefit of worker.

#### Explanations WINNEBAGO COUNTY

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial Day, Fourth of July, Labor Day, Thanksgiving Day, Christmas Day and Veterans Day in some classifications/counties. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration. If in doubt, please check with IDOL.

#### EXPLANATION OF CLASSES

ASBESTOS - GENERAL - removal of asbestos material/mold and hazardous materials from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials/mold and hazardous materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date. ASBESTOS - MECHANICAL - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

#### CERAMIC TILE FINISHER, MARBLE FINISHER, TERRAZZO FINISHER

Assisting, helping or supporting the tile, marble and terrazzo mechanic by performing their historic and traditional work assignments required to complete the proper installation of the work covered by said crafts. The term "Ceramic" is used for naming the classification only and is in no way a limitation of the product handled. Ceramic takes into consideration most hard tiles.

#### COMMUNICATIONS TECHNICIAN

Installing, manufacturing, assembling and maintaining sound and intercom, protection alarm (security), fire alarm, master antenna television, closed circuit television, low voltage control for computers and/or door monitoring, school communications systems, telephones and servicing of nurse and emergency calls, and the installation and maintenance of transmit and receive antennas, transmitters, receivers, and associated apparatus which operates in conjunction with above systems. All work associated with these system installations will be included EXCEPT the installation of protective metallic conduit in new construction projects (excluding less than ten-foot, runs strictly for protection of cable) and 120 volt AC (or higher) power wiring and associated hardware.

#### LABORER, SKILLED - HIGHWAY

Individuals engaged in the following types of work, irrespective of the site of the work: asbestos abatement worker, handling of any materials with any foreign matter harmful to skin or clothing, track laborer, cement handlers, chloride handlers, the unloading and loading with steel workers and re-bars, concrete workers wet, tunnel helpers in free air, batch dumpers, mason tenders, kettle and tar men, tank cleaners, plastic installers, scaffold workers, motorized buggies or motorized unit used for wet concrete or handling of building materials, laborers with de-watering systems, sewer workers plus depth, rod and chainmen with technical engineers, rod and chainmen with land surveyors, rod and chainmen with surveyors, vibrator operators, cement silica, clay, fly ash,

lime and plasters, handlers (bulk or bag), cofferdam workers plus depth, on concrete paving, placing, cutting and tying of reinforcing, deck hand, dredge hand, and shore laborers, bankmen on floating plant, grade checker, power tools, front end man on chip spreaders, cession workers plus depth, gunnite nozzle men, lead man on sewer work, welders, cutters, burners and torchmen, chainsaw operators, jackhammer and drill operators, layout man and/or drainage tile layer, steel form setter - street and highway, air tamping hammermen, signal man on crane, concrete saw operator, screedman on asphalt pavers, laborers tending masons with hot material or where foreign materials are used, mortar mixer operators, multiple concrete duct - leadsman, lumen, asphalt raker, curb asphalt machine operator, ready mix scalemen (permanent, portable or temporary plant), laborers handling masterplate or similar materials, laser beam operator, con-crete burning machine operator, coring machine operator, plaster ten-der, underpinning and shoring of buildings, pump men, manhole and catch basin, dirt and stone tamper, hose men on concrete pumps, haz-ardous waste worker, lead base paint abatement worker, lining of pipe, refusing machine, assisting on direct boring machine, the work of lay-ing watermain, fire hydrants, all mechanical joints to watermain work, sewer worker, and tapping water service and forced lift station mechanical worker.

MATERIAL TESTER I: Hand coring and drilling for testing of materials; field inspection of uncured concrete and asphalt.

MATERIAL TESTER II: Field inspection of welds, structural steel, fireproofing, masonry, soil, facade, reinforcing steel, formwork, cured concrete, and concrete and asphalt batch plants; adjusting proportions of bituminous mixtures.

#### OPERATING ENGINEERS - BUILDING

Class 1. Asphalt Plant; Asphalt Spreader; Autograde; Backhoes with Caisson Attachment; Batch Plant; Benoto (requires Two Engineers); Boiler and Throttle Valve; Caisson Rigs; Central Redi-Mix Plant; Combination Back Hoe Front End-loader Machine; Compressor and Throttle Valve; Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver (over 27E cu. ft.): Concrete Paver (27 cu. ft. and under); Concrete Placer; Concrete Pump (Truck Mounted); Concrete Conveyor (Truck Mounted); Concrete Tower; Cranes, All; GCI and similar types (required two operators only); Cranes, Hammerhead; Creter Crane; Crusher, Stone, etc.; Derricks, All; Derricks, Traveling; Formless Curb and Gutter Machine; Grader, Elevating; Grouting Machines; Highlift Shovels or Front Endloader 2-1/4 yd. and over; Hoists, Elevators, outside type rack and pinion and similar machines; Hoists, one, two and three Drum; Hoists, Two Tugger One Floor; Hydraulic Backhoes; Hydraulic Boom Trucks; Hydro Vac (and similar equipment - excluding hose work and any sewer work); Locomotives, All; Lubrication Technician; Manipulators; Motor Patrol; Pile Drivers and Skid Rig; Post Hole Digger; Pre-Stress Machine; Pump Cretes Dual Ram; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Raised and Blind Hole Drill; Rock Drill (self-propelled); Rock Drill - Truck Mounted; Roto Mill Grinder; Scoops - Tractor Drawn; Slipform Paver; Scrapers Prime Movers; Straddle Buggies; Tie Back Machine; Tractor with Boom and Side Boom; Trenching Machines.

Class 2. Bobcat (over 3/4 cu. yd.); Boilers; Brick Forklift; Broom, All Power Propelled; Bulldozers; Concrete Mixer (Two Bag and Over); Conveyor, Portable; Forklift Trucks; Highlift Shovels or Front Endloaders under 2-1/4 yd.; Hoists, Automatic; Hoists, Sewer Dragging Machine; Hoists, Tugger Single Drum; Laser Screed; Rollers, All; Steam Generators; Tractors, All; Tractor Drawn Vibratory Roller; Winch Trucks with "A" Frame.

Class 3. Air Compressor; Asphalt Spreader; Combination - Small Equipment Operator; Generators; Heaters, Mechanical; Hoists, Inside Elevators - (Rheostat Manual Controlled); Hydraulic Power Units (Pile Driving, Extracting, or Drilling - with a seat); Lowboys; Pumps, Over 3" (1 to 3 not to exceed total of 300 ft.); Pumps, Well Points; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches; Bobcat (up to and including 3/4 cu. yd.).

Class 4. Elevator push button with automatic doors; Hoists, Inside; Oilers; Brick Forklift.

Class 5. Assistant Craft Foreman

Class 6. Mechanics; Welders.

Class 7. Gradall

## OPERATING ENGINEERS - HIGHWAY CONSTRUCTION

Class 1. Asphalt Plant; Asphalt Heater and Planer Combination; Asphalt Heater Scarfire; Asphalt Silo Tender; Asphalt Spreader; Autograder; ABG Paver; Backhoes with Caisson Attachment; Ballast Regulator; Belt Loader; Caisson Rigs; Car Dumper; Central Redi-Mix Plant; Backhoe w/shear attachments; Combination Backhoe Front Endloader Machine, (1 cu. yd. Backhoe Bucket or over or with attachments); Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver over 27E cu. ft.; Concrete Placer; Concrete Tube Float; Cranes, all attachments; Cranes, Tower of all types; Creter Crane; Crusher, Stone, etc.; Derricks, All; Derrick Boats; Derricks, Traveling; Directional Boring Machine over 12"; Dredges; Formless Curb and Gutter Machine; Grader, Elevating; Grader, Motor Grader, Motor Patrol, Auto Patrol, Form Grader, Pull Grader, Subgrader; Guard Rail Post Driver Mounted; Hoists, One, Two and Three Drum; Hydraulic Backhoes; Hydro Vac, Self Propelled, Truck Mounted (excluding hose work and any sewer work); Lubrication Technician; Manipulators; Pile Drivers and Skid Rig; Pre-Stress Machine; Pump Cretes Dual Ram; Rock Drill - Crawler or Skid Rig; Rock Drill - Truck Mounted; Rock/Track Tamper; Roto Mill Grinder; Slip-Form Paver; Snow Melters; Soil Test Drill Rig (Truck Mounted); Straddle Buggies; GCI Crane; Hydraulic Telescoping Form (Tunnel); Tie Back Machine; Tractor Drawn Belt Loader; Tractor Drawn Belt Loader with attached pusher; Tractor with Boom; Tractaire with Attachments; Traffic Barrier Conveyor Machine; Raised or Blind Hole Drills; Trenching Machine (over 12"); Truck Mounted Concrete Pump with Boom; Truck Mounted Concrete Conveyor; Work Boat (no license required - 90 h.p. or above); Underground Boring and/or Mining Machines; Wheel Excavator; Widener (APSCO).

Class 2. Batch Plant; Bituminous Mixer; Boiler and Throttle Valve; Bulldozers; Car Loader Trailing Conveyors; Combination Backhoe Front Endloader Machine (less than 1 cu. yd. Backhoe Bucket or over or with attachments); Compressor and Throttle Valve; Compressor, Common Receiver (3); Concrete Breaker or Hydro Hammer; Concrete Grinding Machine; Concrete Mixer or Paver 7S Series to and including 27 cu. ft.; Concrete Spreader; Concrete Curing Machine, Burlap Machine, Belting Machine and Sealing Machine; Concrete Wheel Saw (large self-propelled - excluding walk-behinds and hand-held); Conveyor Muck Cars (Haglund or Similar Type); Drills, all; Finishing Machine - Concrete; Highlift Shovels or Front Endloader; Hoist - Sewer Dragging Machine; Hydraulic Boom Trucks (All Attachments); Hydro Blaster; All Locomotives, Dinky; Off-Road Hauling Units; Non-Self Loading Dump; Ejection Dump; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Roller, Asphalt; Rotary Snow Plows; Rototiller, Seaman, etc., self-propelled; Scoops - Tractor Drawn; Self-Propelled Compactor; Spreader - Chip - Stone, etc.; Scraper; Scraper - Prime Mover in Tandem (Regardless of Size); Tank Car Heater; Tractors, Push, Pulling Sheeps Foot, Disc, Compactor, etc.; Tug Boats.

Class 3. Boilers; Brooms, All Power Propelled; Cement Supply Tender; Compressor, Common Receiver (2); Concrete Mixer (Two Bag and Over); Conveyor, Portable; Farm-Type Tractors Used for Mowing, Seeding, etc.; Fireman on Boilers; Forklift Trucks; Grouting Machine; Hoists, Automatic; Hoists, All Elevators; Hoists, Tugger Single Drum; Jeep Diggers; Low Boys; Pipe Jacking Machines; Post-Hole Digger; Power Saw, Concrete Power Driven; Pug Mills; Rollers, other than asphalt; Seed and Straw Blower; Steam Generators; Stump Machine; Winch Trucks with "A" Frame; Work Boats; Tamper - Form - Motor Driven.

Class 4. Air Compressor - Small and Large; Asphalt Spreader, Backend Man; Bobcat (Skid Steer) all; Brick Forklift; Combination - Small Equipment Operator; Directional Boring Machine up to 12"; Generators; Heaters, Mechanical; Hydraulic Power Unit (Pile Driving, Extracting, or Drilling); Hydro-Blaster; Light Plants, All (1 through 5); Pumps, over 3" (1 to 3 not to exceed a total of 300 ft.); Pumps, Well Points; Tractaire; Trencher 12" and under; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches.

Class 5. Oilers and Directional Boring Machine Locator.

Class 6. Field Mechanics and Field Welders

Class 7. Gradall and machines of like nature.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION Class 1. Two or three Axle Trucks. A-frame Truck when used for transportation purposes; Air Compressors and Welding Machines, including those pulled by cars, pick-up trucks and

tractors; Ambulances; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry-alls; Fork Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors 2-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Power Mower Tractors; Self-propelled Chip Spreader; Skipman; Slurry Trucks, 2-man operation; Slurry Truck Conveyor Operation, 2 or 3 man; TTeamsters Unskilled dumpman; and Truck Drivers hauling warning lights, barricades, and portable toilets on the job site.

Class 2. Four axle trucks; Dump Crets and Adgetors under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-mix Plant Hopper Operator, and Winch Trucks, 2 Axles.

Class 3. Five axle trucks; Dump Crets and Adgetors 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnatrailers or turnapulls when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, 1-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry trucks, 1-man operation; Winch trucks, 3 axles or more; Mechanic--Truck Welder and Truck Painter.

Class 4. Six axle trucks; Dual-purpose vehicles, such as mounted crane trucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front.

#### Other Classifications of Work:

For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 217-782-1710 for wage rates or clarifications.

#### LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.

## Boone County Prevailing Wage Rates posted on 9/2/2020

Trade Title	Rg	Type	C	Base	Foreman	Overtime				H/W	Pension	Vac	Trng	Other Ins
						M-F	Sa	Su	Hol					
ASBESTOS ABT-GEN	All	ALL		44.40	45.40	1.5	1.5	2.0	2.0	14.26	16.05	0.00	0.90	
ASBESTOS ABT-MEC	All	BLD		22.75	24.25	1.5	1.5	2.0	2.0	3.39	5.17	0.00	0.00	
BOILERMAKER	All	BLD		51.56	56.20	2.0	2.0	2.0	2.0	6.97	21.58	0.00	1.20	
BRICK MASON	All	BLD		44.50	47.25	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	
CARPENTER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
CARPENTER	All	HWY		45.10	46.85	1.5	1.5	2.0	2.0	12.55	17.00	0.00	0.73	
CEMENT MASON	All	ALL		38.75	41.50	1.5	1.5	2.0	2.0	12.30	18.38	0.00	0.50	
CERAMIC TILE FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
COMMUNICATION TECHNICIAN	All	BLD		41.36	45.50	1.5	1.5	2.0	2.0	14.54	16.03	0.00	0.83	
ELECTRIC PWR EQMT OP	All	ALL		44.61	60.87	1.5	1.5	2.0	2.0	6.50	12.49	0.00	1.01	1.34
ELECTRIC PWR GRNDMAN	All	ALL		34.27	60.87	1.5	1.5	2.0	2.0	6.50	9.60	0.00	0.77	1.03
ELECTRIC PWR LINEMAN	All	ALL		53.63	60.87	1.5	1.5	2.0	2.0	6.50	15.02	0.00	1.21	1.61
ELECTRIC PWR TRK DRV	All	ALL		35.52	60.87	1.5	1.5	2.0	2.0	6.50	9.95	0.00	0.80	1.07
ELECTRICIAN	All	BLD		48.62	53.48	1.5	1.5	2.0	2.0	14.54	21.46	0.00	0.97	
ELEVATOR CONSTRUCTOR	All	BLD		53.80	60.53	2.0	2.0	2.0	2.0	15.72	18.41	4.30	0.63	
GLAZIER	All	BLD		41.93	43.93	1.5	1.5	1.5	2.0	12.67	9.53	0.00	1.35	
HEAT/FROST INSULATOR	All	BLD		33.83	36.87	1.5	1.5	2.0	2.0	9.10	20.67	0.00	0.48	
IRON WORKER	All	ALL		40.85	45.75	2.0	2.0	2.0	2.0	12.66	28.22	0.00	1.55	
LABORER	All	ALL		44.40	45.15	1.5	1.5	2.0	2.0	14.26	16.05	0.00	0.90	
LATHER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
MACHINIST	All	BLD		49.68	52.18	1.5	1.5	2.0	2.0	7.93	8.95	1.85	1.47	
MARBLE FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
MARBLE MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
MATERIAL TESTER I	All	ALL		34.40		1.5	1.5	2.0	2.0	14.26	16.05	0.00	0.90	
MATERIALS TESTER II	All	ALL		39.40		1.5	1.5	2.0	2.0	14.26	16.05	0.00	0.90	
MILLWRIGHT	All	BLD		42.72	46.99	1.5	1.5	2.0	2.0	11.05	16.85	0.00	0.70	
OPERATING ENGINEER	All	BLD	1	47.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	2	46.45	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	3	44.00	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	4	42.00	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	5	50.90	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	

OPERATING ENGINEER	All	BLD	6	50.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	BLD	7	47.15	51.15	2.0	2.0	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	FLT		40.00	40.00	1.5	1.5	2.0	2.0	20.50	16.85	2.00	1.65	
OPERATING ENGINEER	All	HWY	1	47.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	2	46.45	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	3	45.15	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	4	43.70	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	5	42.25	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	6	50.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
OPERATING ENGINEER	All	HWY	7	48.00	51.00	1.5	1.5	2.0	2.0	20.90	16.90	2.85	2.05	
PAINTER	All	ALL		41.15	43.15	1.5	1.5	1.5	2.0	15.14	8.67	0.00	1.35	
PAINTER - SIGNS	All	BLD		39.84	44.74	1.5	1.5	2.0	2.0	2.73	3.39	0.00	0.00	
PILEDRIIVER	All	BLD		42.08	46.71	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
PILEDRIIVER	All	HWY		46.10	47.85	1.5	1.5	2.0	2.0	12.55	17.00	0.00	0.73	
PIPEFITTER	All	BLD		50.45	53.98	1.5	1.5	2.0	2.0	9.70	12.90	0.00	1.95	
PLASTERER	All	BLD		35.78	39.36	1.5	1.5	2.0	2.0	12.05	19.74	0.00	0.50	
PLUMBER	All	BLD		50.45	53.98	1.5	1.5	2.0	2.0	9.70	12.90	0.00	1.95	
ROOFER	All	BLD		45.45	49.45	1.5	1.5	2.0	2.0	10.88	13.31	0.00	0.91	
SHEETMETAL WORKER	All	BLD		44.49	48.00	1.5	1.5	2.0	2.0	7.85	20.78	0.00	0.65	0.90
SPRINKLER FITTER	All	BLD		41.97	44.72	1.5	1.5	2.0	2.0	10.23	14.02	0.00	0.52	
STONE MASON	All	BLD		44.50	47.25	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	
TERRAZZO FINISHER	All	BLD		36.32		1.5	1.5	2.0	2.0	10.85	9.39	0.00	0.83	
TERRAZZO MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
TILE LAYER	All	BLD		41.08	45.60	1.5	1.5	2.0	2.0	11.75	17.11	0.00	0.73	
TILE MASON	All	BLD		39.05	41.55	1.5	1.5	2.0	2.0	10.85	11.65	0.00	0.88	
TRUCK DRIVER	All	ALL	1	39.87	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	2	40.02	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	3	40.22	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TRUCK DRIVER	All	ALL	4	40.33	40.33	1.5	1.5	2.0	2.0	10.85	11.55	0.00	0.20	
TUCKPOINTER	All	BLD		44.50	47.25	1.5	1.5	2.0	2.0	12.18	15.55	0.00	0.97	

### **Legend**

**Rg** Region

**Type** Trade Type - All,Highway,Building,Floating,Oil & Chip,Rivers

**C** Class

**Base** Base Wage Rate

**OT M-F** Unless otherwise noted, OT pay is required for any hour greater than 8 worked each day, Mon through Fri. The number



listed is the multiple of the base wage.

**OT Sa** Overtime pay required for every hour worked on Saturdays

**OT Su** Overtime pay required for every hour worked on Sundays

**OT Hol** Overtime pay required for every hour worked on Holidays

**H/W** Health/Welfare benefit

**Vac** Vacation

**Trng** Training

**Other Ins** Employer hourly cost for any other type(s) of insurance provided for benefit of worker.

#### Explanations BOONE COUNTY

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial Day, Fourth of July, Labor Day, Thanksgiving Day, Christmas Day and Veterans Day in some classifications/counties. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration. If in doubt, please check with IDOL.

#### EXPLANATION OF CLASSES

**ASBESTOS - GENERAL** - removal of asbestos material/mold and hazardous materials from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials/mold and hazardous materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date.

**ASBESTOS - MECHANICAL** - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

#### CERAMIC TILE FINISHER, MARBLE FINISHER, TERRAZZO FINISHER

Assisting, helping or supporting the tile, marble and terrazzo mechanic by performing their historic and traditional work assignments required to complete the proper installation of the work covered by said crafts. The term "Ceramic" is used for naming the classification only and is in no way a limitation of the product handled. Ceramic takes into consideration most hard tiles.

#### COMMUNICATION TECHNICIAN

Installing, manufacturing, assembling and maintaining sound and intercom, protection alarm (security), fire alarm, master antenna television, closed circuit television, low voltage control for computers and/or door monitoring, school communications systems, telephones and servicing of nurse and emergency calls, and the installation and maintenance of transmit and receive antennas, transmitters, receivers, and associated apparatus which operates in conjunction with above systems. All work associated with these system installations will be included EXCEPT the installation of protective metallic conduit in new construction projects (excluding less than ten-foot runs strictly for protection of cable) and 120 volt AC (or higher) power wiring and associated hardware.

**MATERIAL TESTER I:** Hand coring and drilling for testing of materials; field inspection of uncured concrete and asphalt.

**MATERIAL TESTER II:** Field inspection of welds, structural steel, fireproofing, masonry, soil, facade, reinforcing steel, formwork, cured concrete, and concrete and asphalt batch plants; adjusting proportions of bituminous mixtures.

#### OPERATING ENGINEERS - BUILDING

Class 1. Asphalt Plant; Asphalt Spreader; Autograde; Backhoes with Caisson Attachment; Batch Plant; Benoto (requires Two Engineers); Boiler and Throttle Valve; Caisson Rigs; Central Redi-Mix Plant; Combination Back Hoe Front End-loader Machine; Compressor and Throttle Valve; Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver (over 27E cu. ft.): Concrete Paver (27 cu. ft. and under); Concrete Placer; Concrete Pump (Truck Mounted); Concrete Conveyor (Truck Mounted); Concrete Tower; Cranes, All; GCI and similar types (required two operators only); Cranes, Hammerhead; Creter Crane; Crusher, Stone, etc.; Derricks, All; Derricks, Traveling; Formless Curb and Gutter Machine; Grader, Elevating; Grouting Machines; Highlift Shovels or Front Endloader 2-1/4 yd. and over; Hoists, Elevators, outside type rack and pinion and similar machines; Hoists, one, two and three Drum; Hoists, Two Tugger One Floor; Hydraulic Backhoes; Hydraulic Boom Trucks; Hydro Vac (and similar equipment - excluding hose work and any sewer work); Locomotives, All; Lubrication Technician; Manipulators; Motor Patrol; Pile Drivers and Skid Rig; Post Hole Digger; Pre-Stress Machine; Pump Cretes Dual Ram; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Raised and Blind Hole Drill; Rock Drill (self-propelled); Rock Drill - Truck Mounted; Roto Mill Grinder; Scoops - Tractor Drawn; Slipform Paver; Scrapers Prime Movers; Straddle Buggies; Tie Back Machine; Tractor with Boom and Side Boom; Trenching Machines.

Class 2. Bobcat (over 3/4 cu. yd.); Boilers; Brick Forklift; Broom, All Power Propelled; Bulldozers; Concrete Mixer (Two Bag and Over); Conveyor, Portable; Forklift Trucks; Highlift Shovels or Front Endloaders under 2-1/4 yd.; Hoists, Automatic; Hoists, Sewer Dragging Machine; Hoists, Tugger Single Drum; Laser Screed; Rollers, All; Steam Generators; Tractors, All; Tractor Drawn Vibratory Roller; Winch Trucks with "A" Frame.

Class 3. Air Compressor; Asphalt Spreader; Combination - Small Equipment Operator; Generators; Heaters, Mechanical; Hoists, Inside Elevators - (Rheostat Manual Controlled); Hydraulic Power Units (Pile Driving, Extracting, or Drilling - with a seat); Lowboys; Pumps, Over 3" (1 to 3 not to exceed total of 300 ft.); Pumps, Well Points; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches; Bobcat (up to and including 3/4 cu. yd.).

Class 4. Elevator push button with automatic doors; Hoists, Inside; Oilers; Brick Forklift.

Class 5. Assistant Craft Foreman

Class 6. Mechanics; Welders.

Class 7. Gradall

#### OPERATING ENGINEERS - HIGHWAY CONSTRUCTION

Class 1. Asphalt Plant; Asphalt Heater and Planer Combination; Asphalt Heater Scarfire; Asphalt Silo Tender; Asphalt Spreader; Autograder; ABG Paver; Backhoes with Caisson Attachment; Ballast Regulator; Belt Loader; Caisson Rigs; Car Dumper; Central Redi-Mix Plant; Backhoe w/shear attachments; Combination Backhoe Front Endloader Machine, (1 cu. yd. Backhoe Bucket or over or with attachments); Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver over 27E cu. ft.; Concrete Placer; Concrete Tube Float; Cranes, all attachments; Cranes, Tower of all types; Creter Crane; Crusher, Stone, etc.; Derricks, All; Derrick Boats; Derricks, Traveling; Directional Boring Machine over 12"; Dredges; Formless Curb and Gutter Machine; Grader, Elevating; Grader, Motor Grader, Motor Patrol, Auto Patrol, Form Grader, Pull Grader, Subgrader; Guard Rail Post Driver Mounted; Hoists, One, Two and Three Drum; Hydraulic Backhoes; Hydro Vac, Self Propelled, Truck Mounted (excluding hose work and any sewer work); Lubrication Technician; Manipulators; Pile Drivers and Skid Rig; Pre-Stress Machine; Pump Cretes Dual Ram; Rock Drill - Crawler or Skid Rig; Rock Drill - Truck Mounted; Rock/Track Tamper; Roto Mill Grinder; Slip-Form Paver; Snow Melters; Soil Test Drill Rig (Truck Mounted); Straddle Buggies; GCI Crane; Hydraulic Telescoping Form (Tunnel); Tie Back Machine; Tractor Drawn Belt Loader; Tractor Drawn Belt Loader with attached pusher; Tractor with Boom; Tractaire with Attachments; Traffic Barrier Conveyor Machine; Raised or Blind Hole Drills; Trenching Machine (over 12"); Truck Mounted Concrete Pump with Boom; Truck Mounted Concrete Conveyor; Work Boat (no license required - 90 h.p. or above); Underground Boring and/or Mining Machines; Wheel Excavator; Widener (APSCO).

Class 2. Batch Plant; Bituminous Mixer; Boiler and Throttle Valve; Bulldozers; Car Loader Trailing Conveyors; Combination Backhoe Front Endloader Machine (less than 1 cu. yd. Backhoe Bucket or over or with attachments); Compressor and Throttle Valve; Compressor, Common Receiver (3); Concrete Breaker or Hydro Hammer; Concrete Grinding Machine; Concrete Mixer or Paver 7S Series to and including 27 cu. ft.; Concrete Spreader; Concrete Curing Machine, Burlap Machine, Belting Machine and Sealing Machine; Concrete Wheel Saw (large self-propelled - excluding walk-behinds and hand-held); Conveyor Muck Cars (Haglund or Similar Type); Drills, all; Finishing Machine - Concrete; Highlift Shovels or Front Endloader; Hoist - Sewer Dragging Machine; Hydraulic Boom Trucks (All Attachments); Hydro Blaster; All Locomotives, Dinky; Off-Road Hauling Units; Non-Self Loading Dump; Ejection Dump; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Roller, Asphalt; Rotary Snow Plows; Rototiller, Seaman, etc., self-propelled; Scoops - Tractor Drawn; Self-Propelled Compactor; Spreader - Chip - Stone, etc.; Scraper; Scraper - Prime Mover in Tandem (Regardless of Size); Tank Car Heater; Tractors, Push, Pulling Sheeps Foot, Disc, Compactor, etc.; Tug Boats.

Class 3. Boilers; Brooms, All Power Propelled; Cement Supply Tender; Compressor, Common Receiver (2); Concrete Mixer (Two Bag and Over); Conveyor, Portable; Farm-Type Tractors Used for Mowing, Seeding, etc.; Fireman on Boilers; Forklift Trucks; Grouting Machine; Hoists, Automatic; Hoists, All Elevators; Hoists, Tugger Single Drum; Jeep Diggers; Low Boys; Pipe Jacking Machines; Post-Hole Digger; Power Saw, Concrete Power Driven; Pug Mills; Rollers, other than asphalt; Seed and Straw Blower; Steam Generators; Stump Machine; Winch Trucks with "A" Frame; Work Boats; Tamper - Form - Motor Driven.

Class 4. Air Compressor - Small and Large; Asphalt Spreader, Backend Man; Bobcat (Skid Steer) all; Brick Forklift; Combination - Small Equipment Operator; Directional Boring Machine up to 12"; Generators; Heaters, Mechanical; Hydraulic Power Unit (Pile Driving, Extracting, or Drilling); Hydro-Blaster; Light Plants, All (1 through 5); Pumps, over 3" (1 to 3 not to exceed a total of 300 ft.); Pumps, Well Points; Tractaire; Trencher 12" and under; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches.

Class 5. Oilers and Directional Boring Machine Locator.

Class 6. Field Mechanics and Field Welders

Class 7. Gradall and machines of like nature.

#### OPERATING ENGINEERS - FLOATING

Diver. Diver Wet Tender, Diver Tender, ROV Pilot, ROV Tender

#### TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION

Class 1. Two or three Axle Trucks. A-frame Truck when used for transportation purposes; Air Compressors and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry-alls; Fork Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors 2-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Power Mower Tractors; Self-propelled Chip Spreader; Skipman; Slurry Trucks, 2-man operation; Slurry Truck Conveyor Operation, 2 or 3 man; Teamters; Unskilled dumpman; and Truck Drivers hauling warning lights, barricades, and portable toilets on the job site.

Class 2. Four axle trucks; Dump Crets and Adgetors under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnpulls or Turntrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-mix Plant Hopper Operator, and Winch Trucks, 2 Axles.

Class 3. Five axle trucks; Dump Crets and Adgetors 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turntrailers or turnpulls when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, 1-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry trucks, 1-man operation; Winch

trucks, 3 axles or more; Mechanic--Truck Welder and Truck Painter.

Class 4. Six axle trucks; Dual-purpose vehicles, such as mounted crane trucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front.

#### Other Classifications of Work:

For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 217-782-1710 for wage rates or clarifications.

#### LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.

#### MATERIAL TESTER & MATERIAL TESTER/INSPECTOR I AND II

Notwithstanding the difference in the classification title, the classification entitled "Material Tester I" involves the same job duties as the classification entitled "Material Tester/Inspector I". Likewise, the classification entitled "Material Tester II" involves the same job duties as the classification entitled "Material Tester/Inspector II".

# DRAFT AIA® Document A201™ – 2017

## General Conditions of the Contract for Construction

for the following PROJECT:  
(Name and location or address)

Project of the Rockford Public Schools as identified in the Invitation for Bid No. \_\_\_\_ - \_\_\_\_  
("Invitation for Bid")

### THE OWNER:

Board of Education  
Rockford School District No. 205  
Winnebago and Boone Counties, Illinois  
501 Seventh Street  
Rockford, Illinois 61104

### THE ARCHITECT:

Design professional identified in the Invitation for Bid

The Invitation for Bid to which this document A201-2017 is attached is a publicly bid contract for a construction project(s) issued by the Rockford Public Schools. The Invitation for Bid, the selection and award of the bid and resulting contract all are subject to the terms and conditions of Illinois law, particularly the provisions of the Illinois School Code.

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**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

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- 14      TERMINATION OR SUSPENSION OF THE CONTRACT
- 15      CLAIMS AND DISPUTES

## ARTICLE 1 GENERAL PROVISIONS

### § 1.1 Basic Definitions

#### § 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), General Terms & Conditions, Drawings, Specifications, Addenda issued prior to execution of the Contract, the Supplementary Conditions, the Addendum, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Contract Documents also include the Invitation for Bid, Instructions and Supplementary Instructions to Bidders, sample forms, certifications required documents and other information required by the Owner as part of a Bid, and the Contractor's bid.

#### § 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

#### § 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

#### § 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

#### § 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

#### § 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

#### § 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

#### § 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2 and certify termination under Section 14.2.2. The Initial Decision Maker shall not show partiality to the Architect, Owner or Contractor.

#### § 1.1.9 Knowledge

The terms "knowledge", "recognize", and "discover", their respective derivatives, and similar terms in the Contract Documents, as used in reference to the Contractor, shall be interpreted to mean that which the Contractor knows (or should know), recognizes (or should recognize), and discovers (or should discover) in exercising the care, skill, and diligence required by the Contract Documents. Analogously, the expression "reasonably inferable" and similar terms

in the Contract Documents shall be interpreted to mean reasonably inferable by a contractor familiar with the Project and exercising the care, skill, and diligence required of the Contractor by the Contract Documents.

## § 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract; provided that Owner reserves the right to terminate the Contract in the event such revision(s) or construction of the Contract are not acceptable to Owner.

§ 1.2.1.2 In the event of inconsistencies within or between parts of the Contract Documents, or between the Contract Documents and applicable standards, codes, and ordinances, the Contractor shall (i) provide the better quality or greater quantity of Work or (ii) comply with the more stringent requirement; either or both in accordance with the Architect's interpretation. The terms and conditions of this Section 1.2.1.2, however, shall not relieve the Contractor of any of the obligations set forth in Sections 3.2 and 3.7.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.2.4 In the event of inconsistencies between the drawings and specifications or within the other Contract Documents, or between the Contract Documents and applicable standards, codes and ordinances, and which inconsistencies are not addressed by Addenda issued by Owner prior to the bid opening. Contractor shall provide the better quality or greater quantity of Work or comply with the more stringent requirement, or both, according to the interpretation of the Architect with the consent of the Owner.

## § 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

## § 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

## § 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Owner shall be deemed the authors and owners of the Instruments of Service, including the Drawings and Specifications, and will have and retain all common law, statutory, and other reserved rights in the Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner.



## § 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail or by courier.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

## § 1.7 Digital Data Use and Transmission

The parties may agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form subject to the conflict resolution and authenticity provisions set forth in Section 12 of the Addendum.

## § 1.8 Intentionally Deleted

# ARTICLE 2 OWNER

## § 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization except where otherwise required by law or Owner's policies. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights subject to the provisions of Illinois law as to liens on public property. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

## § 2.2 Evidence of the Owner's Financial Arrangements

### § 2.2.1 Intentionally Deleted

### § 2.2.2 Intentionally Deleted

### § 2.2.3 Intentionally Deleted

### § 2.2.4 Intentionally Deleted

## § 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.3.2 The Owner may retain a design professional lawfully licensed to practice architecture, engineering or other design discipline, or an entity lawfully practicing architecture, engineering, or other design discipline, in the jurisdiction where the Project is located. The design professional is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 2.3.3 If the employment of the Architect terminates, the Owner may employ a successor whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner may furnish surveys, if required for performing the Work, describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be

entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.3.6 Unless otherwise provided in the Contract Documents, and to the extent Contractor does not have all or a portion of the Contract Documents, the Owner shall furnish to the Contractor one copy of that part or portion of the Contract Documents which Contractor does not have for purposes of making reproductions pursuant to Section 1.5.2.

#### § 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

#### § 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Contractor shall be liable to Owner for the entire actual cost of correcting such default or neglect. Architect, pursuant to Section 9.5.1, shall withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the entire actual cost of correcting such deficiencies, including Owner's expenses, attorney's fees and costs and any compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner upon Owner's demand.

#### § 2.6 Extent of Owner Rights

The rights stated in this Article 2 and elsewhere in the Contract Documents are cumulative and not in limitation of any rights of the Owner (i) granted in the Contract Documents, (ii) at law, or (iii) in equity.

In no event shall the Owner have control over, charge of, or any responsibility for construction means, methods, techniques, sequences, or procedures or for safety precautions and programs in connection with the Work, notwithstanding any of the rights and authority granted the Owner in the Contract Documents.

### ARTICLE 3 CONTRACTOR

#### § 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

#### § 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents. Prior to execution of this Agreement, the Contractor and each Subcontractor have evaluated and satisfied themselves as to the conditions and limitations under which the Work is to be performed, including without limitation, (i) the location, condition, layout, and nature of the Project site and

surrounding areas, (ii) generally prevailing climatic conditions, (iii) anticipated labor supply and costs, (iv) availability and cost of materials, tools, and equipment, and (v) other similar issues. The Owner assumes no responsibility or liability for the physical condition or safety of the Project site or any improvements located on the Project site or any improvements located on the Project site. Except as set forth in Section 10.3, the Contractor shall be solely responsible for providing a safe place for the performance of the Work. The Owner shall not be required to make any adjustment in either the Contract Sum or the Contract Time in connection with any failure by the Contractor or any Subcontractor to have complied with the requirements of this Section 3.2.1.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect and Owner any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents. The exactness of grades, elevations, dimensions, or locations given on any Drawings issued by the Architect, or the work installed by other contractors is not guaranteed by the Architect or the Owner. The Contractor shall, therefore, satisfy itself as to the accuracy of all grades, elevations, dimensions, and locations. In all cases of interconnection of its Work with existing or other work, it shall verify at the site all dimensions relating to such existing or other work. Any errors due to the Contractor's failure to so verify all such grades, elevations, dimensions, or locations shall be promptly rectified by the Contractor without any additional cost to the Owner.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information, Contractor shall follow the requirements of the Supplemental Conditions Section 6 as to change orders. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay costs and damages, including attorney's fees and costs, to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

### § 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

#### § 3.4 Labor and Materials

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

§ 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.4.4 The Contractor shall only employ or use labor in connection with the Work capable of working harmoniously with all trades, crafts, and any other individuals associated with the Project. The Contractor shall also use best efforts to minimize the likelihood of any strike, work stoppage, or other labor disturbance.

- .1 If the Work is to be performed by trade unions, the Contractor shall make all necessary arrangements to reconcile, without delay, damage, or cost to the Owner and without recourse to the Architect or the Owner, any conflict between the Contract Documents and any agreements or regulations of any kind at any time in force among members or councils that regulate or distinguish the activities that shall not be included in the work of any particular trade.
- .2 In case the progress of the Work is affected by any undue delay in furnishing or installing any items or materials or equipment required under the Contract Documents because of such conflict involving any such labor agreement or regulation the Owner may require that other material or equipment of equal kind and quality be provided pursuant to a Change Order or Construction Change Directive.

#### § 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect or Owner, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment. Contractor further warrants to the Owner that materials and equipment installed under the Contract were installed in accordance with manufacturer requirements, or if none, in accordance with industry standards.

The Contractor agrees to assign to the Owner at the time of final completion of the Work any and all manufacturer's warranties relating to materials and labor used in the Work and further agrees to perform the Work in such manner so as to preserve any and all such manufacturer's warranties.

If necessary as a matter of law, the Contractor may retain the right to enforce directly any such manufacturers' warranties during the one year period following the date of Substantial Completion, referred to in Section 12.2.2.

§ 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

#### § 3.6 Taxes

The Contractor shall pay all applicable sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when the Invitation for Bid is advertised, whether or not yet effective or merely scheduled to go into effect. Contractor shall also pay unemployment and social security taxes as well as other taxes imposed by

local, city, state or federal government. If the tax laws are subsequently amended by legislation during the term of the Contract, the Contractor shall provide the next change caused by such amendment.

### § 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Except as set forth in Section 2.3.1, the Contractor shall secure, pay for, and, as soon as practicable, furnish the Owner with copies or certificates of all permits and fees, licenses, and inspections necessary for the proper execution and completion of the Work, including, without limitations, all building permits. All connection charges, assessments, or inspection fees as may be imposed by any municipal agency or utility company are included in the Contract Sum and shall be the Contractor's responsibility.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume responsibility for such Work and shall bear the costs, including any fines and penalties, attributable to correction, in addition to indemnifying and holding Owner harmless in the manner required in the Owner's General Terms and Conditions Section 13.

### § 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

### § 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order submitted in accordance with Owner's Supplementary Conditions Section 6. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.



### § 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent full time superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

### § 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the bid and within the time required by the Contract Documents, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

§ 3.10.2 The Contractor, promptly after being awarded the bid and within the time required by the Contract Documents and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

### § 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

### § 3.12 Shop Drawings, Product Data and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review

by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

§ 3.12.10.1 If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

§ 3.12.10.2 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

### § 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

### § 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

### § 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

### § 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

### § 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless to the extent and as required in the Contract Documents from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

### § 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law and as required in the Contract Documents (Owner's General Terms and Conditions Section 13), the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the intentional or negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.



§ 3.18.3 None of the foregoing provisions shall deprive the Owner or the Architect of any action, right or remedy otherwise available to them or either of them at common law.

§ 3.18.4 In the event that any party is requested but refuses to honor the indemnity obligations hereunder, then the party indemnifying shall, in addition to all other obligations, pay the cost of bringing any such action, including attorneys' fees, to the party requesting indemnity.

## ARTICLE 4 ARCHITECT

### § 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner.

### § 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative, in addition to any Owner-selected project manager, during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

### § 4.2.4 Communications

The Owner and Contractor shall endeavor to communicate with each other through any Owner-selected project manager about matters arising out of or related to the Contract and include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner or any Owner-selected project manager. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

## ARTICLE 5 SUBCONTRACTORS

### § 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

### § 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, within the time required in the Contract Documents or, if none, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the

persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

### § 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

### § 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2, or for any other reason set forth in the Contract Documents including Owner's General Terms and Conditions, and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract provided that Owner does not assume responsibility for any unpaid amounts due Subcontractor to the extent Owner has paid Contractor for such amounts.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation may, in the sole discretion of the Owner, be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract with or without recourse to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity without recourse, the Owner shall not be responsible for the successor contractor's obligations under the subcontract.

## ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

### § 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

### § 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

§ 6.2.3 The Contractor shall reimburse the Owner for costs, including attorney's fees and costs, the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

### § 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the Owner's cost between the Contractor and the Separate Contractor.

## ARTICLE 7 CHANGES IN THE WORK

### § 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

### § 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.2.2 No contractor shall have the right to prosecute or maintain a suit-at-law to recover for an extra, unless his claim is based upon a written Change Order signed by the Owner.

§ 7.2.3 In addition to information required by the General Conditions, Change Orders shall include itemized breakdowns of labor hours and wages and of materials as well as itemized costs of related accessories, rentals and special services separated out from other costs. Breakdowns shall be organized according to Subcontractors, sub-subcontractors, material suppliers and Contractor.

§ 7.2.4 Charges for field supervision time shall not be allowed as an added expense unless the Change Order includes an extension of the Contract Time.

§ 7.2.5 Charges for delivery of materials shall not be allowed as an added expense.

### § 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on the methods set forth in the Contract Documents, including the Owner's Supplementary Conditions Section 6.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;



- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

#### § 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing with a copy delivered to Owner upon issuance. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

### ARTICLE 8 TIME

#### § 8.1 Definitions

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise set forth or required by law. The term "School Day" when used in any Contract Documents including documents issued following

the effective date of the Agreement shall mean student attendance days during the Owner's School Year. "School Year" is defined as that period in every calendar year from July 1 to the following June 30.

## § 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

## § 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended to the extent such delay will prevent the Contractor from achieving Substantial Completion within the Contract Time and if the performance of the Work is not, was not, or would not have been delayed by any other cause for which the Contractor is not entitled to an extension in the Contract time under the Contract Documents. The Contractor further acknowledges and agrees that adjustments in the Contract Time will be permitted for a delay only to the extent such delay (i) is not caused, or could not have been anticipated, by the Contractor, (ii) could not be limited or avoided by the Contractor's timely notice to the Owner of the delay or reasonable likelihood that a delay will occur, and (iii) is of a duration not less than one (1) day.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 Notwithstanding anything to the contrary in the Contract Documents, an extension in the Contract Time, to the extent permitted under Section 8.3.1, shall be the sole remedy of the Contractor for any (i) delay in the commencement, prosecution, or completion of the Work, (ii) hindrance, interference, suspension or obstruction in the performance of the Work, (iii) loss of productivity, or (iv) other similar claims (items i through iv herein collectively referred to in this Section 8.3.3 as "Delays") whether or not such Delays are foreseeable, unless a Delay is caused by acts of the Owner constituting intentional interference with the Contractor's performance of the Work, and only to the extent such acts continue after the Contractor furnishes the Owner with notice of such interference. In no event shall the Contractor be entitled to any compensation or recovery of any damages, in connection with any Delay, including, without limitation, consequential damages, lost opportunity cost, impact damages or other similar remuneration. The Owner's exercise of any of its rights or remedies under the Contract Documents (including, without limitation, ordering changes in the Work, or directing suspension, rescheduling, or correction of the Work), regardless of the extent or frequency of the Owner's exercise of such rights or remedies, shall not be construed as intentional interference with the Contractor's performance of the Work.

§ 8.3.4 If the Contractor submits a progress report indicating, or otherwise expresses an intention to achieve, completion of the Work prior to any completion date required by the Contract Documents or expiration of the Contract Time, no liability of the Owner to the Contractor for any failure of the Contractor to so complete the Work shall be created or implied.

## ARTICLE 9 PAYMENTS AND COMPLETION

### § 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices may, in the sole discretion of the Owner, be equitably adjusted.

## § 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment. Allowances and bond charges shall appear separately in the schedule.

## § 9.3 Applications for Payment

§ 9.3.1 The Contractor, within the time required in the Contract Documents, shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include adjustments in payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include adjustments in payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.2 Payments may be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner and in accordance with the Contract Documents payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing and pursuant to the requirements of the Owner's Supplementary Conditions Section 21. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.3.3.1 All material and work paid for by partial payments shall thereupon become the sole property of the Owner; but this provision shall not be construed as relieving the Contractor from the sole responsibility for the care and protection of materials and work upon which payments have been made nor the restoration of damaged work, nor as a waiver of the right of the Owner to require the fulfillment of the terms of the Contract.

## § 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within the time provided in the Contract Documents after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the



Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

#### § 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

§ 9.5.3 When the reasons for withholding certification are removed, certification will be made for amounts previously withheld upon Contractor's Application for Payment submitted in due form for which Architect issues a Certificate for Payment and subject to the payment procedures set forth in the Contract Documents.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

#### § 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.7 Intentionally Deleted

§ 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use; provided, however, that as a condition precedent to Substantial Completion, the Owner has received all certificates of occupancy and any other permits, approvals, licenses, and other documents from any governmental authority having jurisdiction thereof necessary for the beneficial occupancy of the Project.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.2.1 When the Architect determines that the Work or designated portions thereof are substantially complete, the Architect will establish the date of Substantial Completion, as defined hereafter, and the date of acceptance of the Work by the Owner, through the use of a Certificate of Substantial Completion (AIA Doc. G704) and through other closing procedures which the Architect may direct. In any case, the Architect will prepare for submission to the Contractor a list of items to be completed or corrected, called the "punch list". The failure to include any item on the punch list shall not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.2.2 On the Certificate of Substantial Completion a time shall be fixed, not to exceed thirty (30) days, within which the Contractor shall finish all items on the final punch list accompanying the Certificate.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the

Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Contractor may request in accordance with the provisions of the Contract Documents, submit a request for reduction of retainage and Owner may make payment of excess retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents, all consistent with the provisions of the Contract Documents.

§ 9.8.6 After the Certificate of Substantial Completion and punch list are issued to Contractor by Architect, the Architect will make one (1) site visit to review punch list items. This site visit will occur at the agreed upon completion time stated in the Certificate of Substantial Completion or at the Contractor's request which must be made not later than the completion time. If any additional visits by Architect are necessary to ascertain progress on punch list items, the Architect will be paid by the Contractor at the rate of three times direct personnel expense. These costs will be paid directly to the Architect by Contractor and shall be received prior to issue of final Certificate of Payment. The Contractor will be notified prior to the Architect starting this additional service.

## § 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.1.1 In addition to all other precautions required by the Contract, such as barricades, signs, warning lights, etc., to make the Work completely safe for public use, the Contractor shall provide and maintain dust-tight barriers to segregate areas occupied before Substantial Completion.

§ 9.9.1.2 The Owner moving equipment into areas of the Work will not constitute partial occupancy.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

## § 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed

in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled. All warranties and guarantees required under or pursuant to the Contract Documents shall be assembled and delivered by the Contractor to the Architect as part of the final Application for Payment. The final Certificate of Payment will not be issued by the Architect until all warranties and guarantees have been received and accepted by the Owner.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

## ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

### § 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

### § 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing and accepted by the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

#### § 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

#### § 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances including, without limitation, the duties and responsibilities set forth in the Asbestos Notification. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.



### § 10.3.3 Intentionally Deleted

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

### § 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined in Owner's discretion.

## ARTICLE 11 INSURANCE AND BONDS

### § 11.1 Contractor's Insurance and Bonds

§ 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, required in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located with the minimum rating required in the Contract Documents. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

§ 11.1.2 The Contractor shall provide performance bond and labor and materials payment bond in the amount of 100% of Contractor's obligations under its awarded bid, and subject to such terms and conditions and as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished. Contractor shall deliver to Owner prior to commencing work all required bonds.

§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor or, in the sole discretion of the Owner, purchase replacement coverage at Contractor's expense. The expense of Owner purchased replacement insurance shall be deducted from the next following Application for Payment submitted by Contractor or, if insufficient, from all remaining Applications for Payment until such expense is fully paid to Owner; further provided, that in the event the balance of payments under the contract is insufficient to reimburse the Owner for the replacement insurance, Contractor shall pay the balance of such replacement insurance cost immediately upon Owner's demand. In the event Owner incurs legal expense and cost to collect the cost of replacement insurance from Contractor, Contractor shall also pay to Owner attorney's fees and costs of collection. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

### § 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain property insurance written on a builder's risk "all risk" or equivalent policy form ("Builder's Risk Insurance") in the amount of the initial Contract Sum plus subsequent Contract

modifications equaling the total value of the entire project. The Owner shall purchase and maintain the required Builder's Risk Insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located and shall maintain such insurance until final payment, as described herein, has been made. Interest of the Owner, Contractor, Subcontractors and Sub-subcontractors shall be included. Contractor shall be responsible for costs associated with the payment of the Owner's deductible under the Builder's Risk Insurance policy for any claim submitted under the Builder's Risk Insurance in connection with the Project and arising prior to final payment.

#### § 11.2.2 Intentionally Deleted

#### § 11.2.3 Intentionally Deleted

### § 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this Section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance but only to the extent of such coverage.

### § 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss ("Purchased Insurance"). In the event, and only in the event such insurance is purchased, the Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards however caused to the extent and only to the extent such loss is covered by the Purchased Insurance. Owner does not waive rights of action for losses in excess of the Purchased Insurance coverage.

### §11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any

dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

## ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

### § 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate in the discretion of the Owner. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

### § 12.2 Correction of Work

#### § 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense. If prior to the date of Substantial Completion, the Contractor, a Subcontractor, or anyone for whom either is responsible uses or damages any portion of the Work, including without limitation, mechanical, electrical, plumbing, and other building systems, machinery, equipment, or other mechanical device, the Contractor shall cause such item to be restored to "like new" condition at no expense to the Owner. In addition, the Contractor shall promptly remedy damage and loss arising in conjunction with the Project caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or anyone for whose acts they may be liable and for which the Contractor is responsible.

#### § 12.2.2 After Substantial Completion

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 Upon completion of any Work under or pursuant to this Section 12.2, the one (1) year correction period in connection with the Work requiring correction shall be renewed and recommence. The obligations under Section 12.2 shall cover any repairs and replacement to any part of the Work or other property that is damaged by the defective Work.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.



§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.2.6 Approval of any material or work at any stage of construction will not prevent its subsequent rejection for cause.

§ 12.2.7 No election by the Owner to correct work shall constitute a waiver of any obligation of a surety upon its Performance and Labor and Material Payment Bonds.

### § 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

## ARTICLE 13 MISCELLANEOUS PROVISIONS

### § 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the applicable rules of procedure shall be contained in the arbitration agreement.

### § 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, or set forth elsewhere in the Contract Documents, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

### § 13.3 Rights and Remedies

§ 13.3.1 Except as expressly provided in the Contract Documents, duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

§ 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

### § 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner, unless otherwise provided in the Contract Documents, shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner, unless otherwise provided in the Contract Documents, shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

### § 13.5 Prevailing Wage Act

§ 13.5.1 The Contractor shall comply with all statutes, both Federal and State, governing payment of wages to employees. The Illinois Prevailing Wage Act, 820 ILCS 130/.01 et seq. ("the Wage Act") requires contractors and subcontractors to pay laborers, workers and mechanics performing services on public works projects no less than the "prevailing rate of wages" (hourly cash wages plus fringe benefits) in the county where the work is performed. Effective June 1, 2019, an amendment to the State of Illinois Prevailing Wage Act requires the IDOL to ascertain the prevailing wage rates for each county in Illinois and publish such rates on IDOL's official website no later than July 15 of each year. For information regarding current prevailing wage rates, please refer to the Illinois Department of Labor's website at: <http://www.state.il.us/agency/idol/rates/rates.HTM>. All Contractors, Subcontractors, and Sub-subcontractors performing Work on this Project shall comply with all requirements of the Wage Act to the extent applicable, including but not limited to, all wage, notice and record keeping duties.

§ 13.5.2 IMPORTANT NOTICE REGARDING PERIODIC REVISIONS TO PREVAILING WAGE RATES  
Revisions of the prevailing wage rates are made periodically by the Illinois Department of Labor ("IDOL"). If, during the course of work under the Agreement, IDOL revises the prevailing rate of hourly wages to be paid under this Contract for any trade or occupation, the prevailing rate of wages as revised by IDOL can be found and are available on IDOL's official website. All Contractors, Subcontractors, and Sub-subcontractors performing Work on this Project are responsible for determining the applicable prevailing wage rates at the time of bid submission and performance of the Work. Failure of a bidder, Contractor, Subcontractor, or Sub-subcontractor to make such determination shall not relieve it of its obligations in accordance with the Contract Documents. In consideration for the award to it of the Contract for this Project, the Contractor agrees that the foregoing notice satisfies any obligation of the Owner to notify the contractor of periodic changes in the prevailing wage rates and the Contractor agrees to assume and be solely responsible for, as a material obligation of the Contractor under the Contract, the obligation to determine periodic revisions of the prevailing wage rates, to notify its Subcontractors and Sub-subcontractors of such revisions, to post such revisions as required for the posting of wage rates under the Wage Act, and to pay and require its Subcontractors and Sub-subcontractors to pay wages in accordance with such revised rates.

§ 13.5.3 Revisions of the prevailing wage as set forth above shall not be a basis for a change order or other claim for an increase in the Contract Sum. Further, Contractor shall submit its monthly certified transcript of payroll directly to IDOL using IDOL's electronic portal, available at IDOL's official website and shall further require each Subcontractor and Sub-subcontractor participating on the Project to maintain the appropriate records required by the Wage Act and submit their monthly certified transcript of payroll directly to IDOL using IDOL's electronic portal, as applicable.

§ 13.5.4 Contractor shall provide to the District copies of all certified payrolls filed through IDOL's electronic portal and shall further include the above-referenced certified statement from each applicable Subcontractor and Sub-subcontractor referenced in each Application for Payment.

## § 13.6 General Provisions

§ 13.6.1 All personal pronouns used in this Contract, whether used in the masculine, feminine, or neuter gender, shall include all other genders; and the singular shall include the plural and vice versa. Titles of articles, sections, and subsections are for convenience only and neither limit nor amplify the provisions of this Contract. The use herein of the word "including", when following any general statement, term, or matter, shall not be construed to limit such statement, term, or matter to the specific items or matters set forth immediately following such word or to similar items or matters, whether or not non-limiting language (such words as "without limitation", or "but not limited to", or words of similar import) is used with reference thereto, but rather shall be deemed to refer to all other items or matters that could reasonably fall within the broadest possible scope of such general statement, term, or matter.

§ 13.6.2 Wherever possible, each provision of this Agreement shall be interpreted in a manner as to be effective and valid under applicable law. If, however, any provision of this Agreement, or portion thereof, is prohibited by law or found invalid under any law, only such provision or portion thereof shall be ineffective, without in any manner invalidating or affecting the remaining provisions of this Agreement or valid portions of such provision, which are hereby deemed severable.

§ 13.6.3 Each party hereto agrees to do all acts and things and to make, execute and deliver such written instruments, as shall from time to time be reasonably required to carry out the terms and provisions of the Contract Documents.

§ 13.6.4 Any specific requirement in this Contract that the responsibilities or obligations of the Contractor also apply to a Subcontractor is added for emphasis and is also hereby deemed to include a Subcontractor of any tier. The omission of a reference to a Subcontractor in connection with any of the Contractor's responsibilities or obligations shall not be construed to diminish, abrogate, or limit any responsibilities or obligations of a Subcontractor of any tier under the Contract Documents or the applicable subcontract.

## § 13.7 No Oral Waiver

The provisions of the Contract Documents shall not be changed, amended, waived, or otherwise modified in any respect except by a writing signed by Owner. No person is authorized on behalf of Owner to orally change, amend, waive, or otherwise modify the terms of the Contract Documents or any of the Contractor's duties or obligations under or arising out of the Contract Documents. Any change, waiver, approval, or consent granted to the Contractor shall be limited to the specific matters stated in the writing signed by Owner, and shall not relieve Contractor of any other of the duties and obligations under the Contract Documents. No "constructive" changes shall be allowed.

## ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

### § 14.1 Termination by the Contractor

§ 14.1.1 The Contractor, unless otherwise provided in the Contract Documents, may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Intentionally Deleted.
- .4 Intentionally Deleted.

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon thirty days notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work completed.

#### § 14.1.4 Intentionally Deleted

#### § 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract as provided in the Contract Documents and if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner including the transactional cost of obtaining substitute contractor(s) and reasonable attorney's fees and costs, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner immediately upon Owner's demand. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

#### § 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time may be adjusted in the Owner's sole discretion for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum may include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

#### § 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause as provided in the Contract Documents.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly completed.

## ARTICLE 15 CLAIMS AND DISPUTES

### § 15.1 Claims

#### § 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose costs in accordance with the Contract and the Contract Documents.

#### § 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

#### § 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

#### § 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

#### § 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

#### § 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

#### § 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and



- 2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14.

## § 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may request voluntary mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Intentionally Deleted

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 Intentionally Deleted

## § 15.3 Mediation

§ 15.3.1 Intentionally Deleted



§ 15.3.2 The parties may by their independent written agreement, on a case by case basis, agree to submit any one or more claims, disputes, or other matters in controversy arising out of or related to the Contract to mediation. Mediation if agreed to by both parties shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation; provided, neither party to the Contract is required or mandated to submit to mediation. No response to a written mediation request within 21 days of receipt shall mean the request was rejected. Mediation is not a prerequisite to voluntary arbitration or other form of dispute resolution. In the event both parties agree to mediation, a mediation request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, request in writing that the other party file for voluntary binding dispute resolution. Neither party to the Contract shall be required to participate in arbitration.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

#### § 15.4 Arbitration

§ 15.4.1 All provisions throughout the General Conditions that establish Arbitration shall be deleted and shall form no part of the General Conditions of the Contract..

#### § 15.4.2 Submission to Court

The parties to the Contract agree, absent the express written agreement of both parties to submit a matter to mediation or arbitration, the means of dispute resolution shall be submission to a court of competent jurisdiction. The Contract shall be interpreted in accordance with the laws of the State of Illinois and venue for all purposes shall lie in Winnebago County, Illinois.

### END OF GENERAL CONDITIONS

## SUPPLEMENTARY CONDITIONS

“District” means the Board of Education (“Board”) of and Rockford School District No. 205, Winnebago and Boone Counties, Illinois.

“IFB” means an Invitation for Bid issued by the District at any time or times, identified by a unique bid number. The IFB for this Project is IFB No. \_\_\_\_-\_\_\_\_.

“Bidder” means a person or entity submitting a bid to the District in response to an IFB; including successful Bidders which are awarded a bid; may also be identified as Contractor which is awarded a bid.

“Contract” means the agreement between the District and Bidder arising from the award of a bid, including a written contract.

The following supplement, modify, change, delete from or add to the General Conditions of the Contract for Construction, AIA Document A201-2017 (or current version), as revised by District and included in the IFB; hereinafter referred to as “General Conditions”.

Where any Article of the General Conditions is modified or any paragraph, subparagraph, or clause thereof is modified or deleted by these supplements the unaltered provisions of that article, paragraph, subparagraph or clause shall remain in effect. In the event of a conflict between the General Conditions and these Supplementary Conditions, which are complementary, the Supplementary Conditions shall prevail.

### 1. INSURANCE.

A. Bidder’s Liability Insurance shall include all major divisions of coverage and be on a comprehensive basis including:

1. Premises operations
2. Independent Contractor’s protective
3. Products and completed operations
4. Personal injury liability with employment exclusion deleted.
5. Contractual, including specified provision for Indemnification under General Conditions Section 13.
6. Owned and non-owned motor vehicles
7. Broad form property damage including completed operations.

B. The insurance required by General Conditions Paragraph 11.1.1 shall be written for not less than the following limits, or greater if required by law:

1. Workman’s Compensation:
  - (a) Statutory Workman’s compensation.
  - (b) Employers’ liability - \$500,000.00 per accident and aggregate disease.
2. Builder’s Risk Insurance with a deductible not to exceed \$5,000.00 will be carried by the Board of Education separately.
3. Comprehensive General Liability and Contractual Liability limits, Automobile Liability and Umbrella Coverage will depend on the category of the Project. Category 1 projects will have a contract amount in the range of \$0.00 to \$1,000,000.00 and category 2 projects will have a contract amount in excess of \$1,000,000.00. The minimum liability limits per category are:

Comprehensive general liability and contractual liability

	CATEGORY 1 CONTRACTS	CATEGORY 2 CONTRACTS
a. Bodily injury	\$1,000,000.00 each person \$1,000,000.00 each occurrence \$1,000,000.00 aggregate	\$2,000,000.00 each person \$2,000,000.00 each occurrence \$4,000,000.00 aggregate

b. Property damage	\$1,000,000.00 each occurrence	\$1,000,000.00 each occurrence
	\$1,000,000.00 aggregate	\$1,000,000.00 aggregate

c. Shall include products and completed operations insurance as above for 1 year after final payment (Category 1 AND Category 2)

4. Comprehensive Automobile Liability Category 1 AND Category 2

- |     |                  |  |
|-----|------------------|--|
| (a) | Bodily Injury:   | \$1,000,000.00 each person<br>\$1,000,000.00 each occurrence |
| (b) | Property Damage: | \$1,000,000.00 each occurrence<br>\$1,000,000.00 aggregate   |

5. If the General Liability coverage is provided by a commercial liability policy, the:

- |     |  |
|-----|--|
| (a) | General aggregate shall not be less than \$2,000,000 for Category 1 and \$4,000,000.00 for Category 2 and shall apply in total, to this Project. |
| (b) | Fire damage limit shall be not less than \$50,000 on any one fire.   |

6. Umbrella Liability Coverage:

CATEGORY 1

\$3,000,000.00 each occurrence  
\$3,000,000.00 aggregate

CATEGORY 2

\$5,000,000.00 each occurrence  
\$5,000,000.00 aggregate

C. Certificate of Insurance:

The insurance shall be written on the Comprehensive General Liability Policy Form. An insurance certificate shall be submitted on current Acord Corporation Form 25 showing the full legal name of the Bidder. The certificate must show the coverage is current as of the date of bid award and shall be renewed and in full force at all times to completion of the Contract.

Renewal certificates must be submitted to the District when issued. Contractor shall name the District as additional insured on a primary and non-contributory basis on all liability coverages.

D. Cancellation Notice:

All certificates and policies shall indicate that the carrying company will not cancel without giving the District notice in writing thirty (30) days prior to date cancellation is to become effective.

E. Subcontractors Comprehensive Insurance:

Bidder should protect itself by requiring subcontractors to maintain workman's compensation insurance and insurance of the same kind in amounts specified above.

F. Contractors Comprehensive Insurance:

Bidder shall carry sufficient comprehensive insurance on Bidder's equipment at the work site and in route to and from the work site. Bidder shall require similar coverage of subcontractors. The District accepts no responsibility to provide Bidder insurance coverage and accepts no liability for loss or damage to Bidder's machinery, vehicles or equipment.

2. INDEPENDENT CONTRACTOR.

2.01 Bidder is and expressly agrees to be an "Independent Contractor" and nothing in the Contract is intended nor shall be interpreted or construed to create an employer/employee relationship with Bidder or any of its employees. Bidder is solely and completely responsible for its employees and compliance with all

tax and employment costs including, without limitation, withholding obligations, Federal and State Income Tax, Social Security, Unemployment Compensation and Workers Compensation.

2.02 Bidder expressly agrees that it shall bear all risk of loss, remain liable for any such taxes, contributions, or deductions and shall indemnify the Board, its members, agents, officers, employees, successors and assigns for any liability including interest, penalties and attorney's fees, if any, assessed against the District as a result of any violation of this provision. At the option of the Board, if such expense is assessed against the District, such expense may be withheld by the Board in reasonable pro rata amounts from compensation subsequently paid to Bidder.

2.03 Bidder hereby covenants and agrees that Bidder shall not represent to any third party that Bidder or its employees are employees of the Board. The Board is not a party to nor is the Contract subject to any union contract or collective bargaining procedures involving Contractor's employees. The provisions of this Section 2 shall survive termination of the Contract.

3. **CLEANING AND PROTECTION OF BUILDING.**

The Contractor shall not allow rubbish, debris, or unused material related to the execution of the Contract to accumulate on the premises. Contractor shall on a daily basis or otherwise as directed by the District's representative or designee, clean or pay the cost of cleaning all debris and dirt, etc., which may accumulate on the site due to the execution of the Contract.

4. **FIRE ALARM CHARGES.**

The parties agree that, in the event the City of Rockford responds to a fire alarm at the work site and it is deemed a false alarm, the City of Rockford has the authority to charge the owner of the property a fee for its response to the false alarm. If, in the reasonable opinion of the District, the Contractor is the reason for the false alarm, then the Contractor shall be charged a fee, not to be less than any fee charged by the City of Rockford, for each occurrence as a deductive change order to its Contract at the conclusion of the work. The Contractor can proactively mitigate the risk for false alarms by: (1) notifying the District of its request to turn off the fire alarm each day during its work activity; (2) covering smoke detector devices each day during its work activity; or (3) planning in advance to perform heat or dust activities of its work outside of the areas of detection, for example outdoors.

5. **UTILITY SHUTDOWNS.**

The Contractor shall plan for any utility shutdown as far in advance as possible. Unless in the event of an emergency, the Contractor shall give notice of its intent for a utility shutdown a minimum of 48 hours prior to the time of the utility shutdown. The District's approval of the utility shutdown shall be required prior to any utility shutdown.

6. **PROJECT MANAGEMENT/DESIGNATED REPRESENTATIVE.**

6.01 The District reserves the right to engage the services of a third party Program or Project Manager to represent the District or to self-manage a project. If self-managed, references in the bidding documents to Project Manager or Program Manager shall mean the District's project manager or designated representative.

6.02 In the event this Project is managed by a third-party manager, representative or designee, all communications, requests and instructions shall be copied to the District and the District's third-party manager.

6.03 Bidder shall follow the instructions and decisions of District's representative or designee as though made and issued by District. District's representative or designee shall designate the permitted hours of construction activity for this Project and Bidder shall not conduct construction or other activities relating to the Project at the Project site outside the permitted hours without the express prior consent of the District's representative or designee.

7. **SCHEDULE OF VALUES.**

7.01 Contractor shall submit a schedule of values to the Architect before submitting the first payment request on AIA Document G703 - 2017 (or current) filed with the District in accordance with Article 9 of General Conditions.

7.02 The schedule of values and a complete submittal log listing all submittals as delineated in the drawings and specification shall be submitted by Contractor not more than 30 calendar days following receipt of the Notice of Award.

7.03 Contractor, not more than 45 days following the Notice of Award, shall provide to the District a complete list of subcontractors and suppliers and adjust the schedule of values to accurately reflect all subcontract and purchase order values.

7.04 All submittals as delineated in the drawings and specifications shall be submitted within 60 days following the receipt of the Notice of Award.

7.05 The deadlines for submittals and the schedule of values may require accelerated submission to meet Project milestones. Accelerated submission is within the discretion of the Contractor.

## 8. CHANGE ORDER PROCEDURES.

In order to process change orders, it is important that procedures are followed and documentation provided in a manner that allows timely processing. Changes are typically associated with a response to a RFI, Architect's Supplemental Instructions ("ASI"), Field Conditions or a Proposal Request issued by the Architect.

### 8.01 POTENTIAL CHANGE ORDER ("PCO").

To aid in the processing of change orders, we will need certain information included in each of the PCOs. A detailed cost breakdown that includes quantities, labor hours, labor and material costs need to be included for each trade that is requesting additional compensation. Please use the Cost Proposal Worksheet available from the District Project Manager. Include all backup that is needed to evaluate the change order request. Examples of this would be quotes received from subcontractors, material suppliers, sketches that identify how quantities were calculated, worksheets, and pictures may also be appropriate for our analysis. You are to submit the Cost Proposal Worksheet with all required back-up to the Architect of Record and the Project Manager. You shall number all PCOs and keep a PCO/Change Order Log.

- You are authorized to proceed with the change when a Cost Proposal Worksheet is signed by RPS, subject to the method of payment listed below.
- You are directed not to proceed until you have submitted all cost and schedule information within 7 days and the costs have been approved by RPS.

There are 4 methods of payment:

- **No Cost** - means that the information that you have received is a clarification of information contained in your contract and will not require a change to your contract
- **Lump Sum** - means that an agreement exists on a lump sum amount for a contract modification. This is rarely used as a way to process contract changes.
- **Time and Materials** - tickets will need to be submitted to the District through its Project Manager or other designated representative on a daily basis. Tickets that are not submitted on a daily basis will be subject to rejection. A signed ticket does not guarantee additional payment or acceptance of pricing. The signature is only to confirm that the time spent on a particular task has been documented. The only authority that can accept, approve, and or modify changes to the contract is a District project representative. A District employee that is not a project representative is not authorized to commit the district for additional work. This would include but not be limited to school administrators, teachers, or maintenance personnel.
- **Submit Quotations** - submit a Potential Change Order subject to the change order requirements listed in a subsequent section of this document. The cost of proposal worksheet shall be signed and used as the first page in the submission of the PCO. Please note that you are not to proceed with any additional work unless you have a signed Cost Proposal Worksheet by RPS. If you proceed with extra work without a signed Worksheet you do so at your own risk.

### 8.02 CHANGE CONDITIONS.

RFI's. All RFI's will be submitted by the general contractor to the Architect of Record with a copy sent to the Project Manager. The response will direct you on how you are to proceed. If additional documentation is needed an ASI or Request for Proposal is issued by the Architect.

BULLETINS. When an ASI is issued the Architect has assumed that the change in the work will be no cost change.

FIELD CONDITIONS. If you encounter unknown conditions and think that you are entitled to additional compensation, notify the Architect of Record and the Project Manager immediately and include all appropriate documentation. The Architect of Record or Project Manager will provide direction on how you are to proceed.

PROPOSAL REQUEST. The Architect of Record will issue a Proposal Request with an assigned number (#) for any potential work that will increase or decrease the contracted scope of work.

#### 8.03 FEES.

The fees shall be calculated as a lump sum to all changes. The fees are to be calculated per the information provided below.

##### GENERAL CONTRACTOR FEES

12% allowed for self-performed work – this includes overhead, profit, bond and insurance.

5% allowed for subcontracted work – this includes overhead, profit, bond and insurance.

##### SUBCONTRACTOR FEES

12% allowed for self-performed work – this includes overhead, profit, bond and insurance.

5% allowed for sub-subcontractor work – this includes overhead, profit, bond and insurance.

#### 8.04 LABOR RATES/CHARGES.

Hourly labor rates are limited to the following charges:

- Hourly Wage
- Fringe Benefits
- FICA
- FUTA
- SUTA
- Workmen's Compensation
- General Liability (if premiums are based upon payroll cost)
- Small tools and consumables

Workmen's compensation rates must reflect the EMR of the Contractor and incorporate any discounts afforded to the Contractor by its carrier. Workmen's compensation rates must be based upon the classification of work actually being performed on the Project.

Allowance for small tools and consumables shall be limited to 5% of the hourly wage.

Contractor may be required to document the General Liability rate. Equipment and vehicles shall not be included in the hourly wage. Equipment can be included in the change order proposal as separate costs if such items are required for the work. No charges for bonds shall be allowed as this is included in the above-referenced 12% markup on labor and materials.

#### 9. APPLICATION FOR PAYMENTS.

- A. Payment requests shall be with 10% retainage. Applications per payment shall be submitted monthly. Upon issuance of a certificate of substantial completion by the design professional, Contractor may request reduction of retainage to the greater of 5% or twice the value of outstanding punch list items and closeout work. Value of outstanding punch list items and closeout work shall be determined by the design professional. Final request for the "retainage" amount shall be submitted after all close out documents are received and accepted by District, including but not limited to all guarantees, warranties, operation and maintenance manuals, as-builts drawings and completed punch list work.



- B. "Request for Payment" shall be submitted to the Architect on AIA Document G702/G703 - 2017 (or current). A Partial Waiver of Lien will be required, concurrent with each payment request, in the net amount of each request. Waivers of lien from each subcontractor and material supplier to whom payment has been made, shall be required with subsequent payment requests. Final waiver of lien from Contractor indicating final payment to subcontractors and suppliers paid in full and final waivers of lien from subcontractors and material suppliers as paid in full per the schedule of values shall be submitted with the final pay request.

Contractor and its subcontractors shall use the waivers supplied by Owner for partial waivers and final waivers. See Exhibit A. Note to Contractors: Some Contractors on the pay application prior to the final pay application indicate on their partial waiver payment request all balances due to the subcontractors including their work completed that period and retainage and will adjust the general contractor line items for the amount of the retainage withheld. Then, for the final pay application, the final amount due is only the Contractor and all the subcontractors and suppliers have been paid in full.

- C. The District's Board of Education regular meetings generally occur on the second and fourth Tuesdays of each month (except one meeting in July and December) as specified by Board of Education Resolution and found on the web site for the Board of Education as the Board Calendar ([www.rps205.com](http://www.rps205.com)). Architect approved payment requests must be received in the Finance Department not less than 10 business days prior to a scheduled Board meeting for the request to be considered at that Board meeting. Contractor shall further submit a pencil draft of any payment application to the Architect no less than twenty-three (23) days prior to a scheduled Board meeting to allow for Architect's and Owner's review of the payment application and implementation of any necessary revisions by Contractor.
- D. The Board of Education shall comply with the provisions of the Local Government Prompt Payment Act, 50 ILCS 515/1 *et seq.*

10. GUARANTEES.

If within one (1) year after the date of "Final Acceptance of Contracted Project" any of the work is found to be defective or not in accordance with the contract documents, the Contractor shall correct the defect promptly after receipt of written notice from the District to do so unless the District has previously given the Contractor a written acceptance of such defective or noncompliant condition. The District shall give such notice promptly after discovery of the condition.

11. CONFLICT OF INTEREST.

The State of Illinois School Code is very explicit in its direction as to the relationship of the parties involved in contracts and transactions. Section 33-5 (105 ILCS 5/33-5) of said code states:

*No member or employee of the [Board of Education] shall be directly or indirectly interested in any contract, work, or business of the [D]istrict, or in the sale of any article, the expense, price or consideration of which is paid by the [D]istrict; nor in the purchase of any real estate or property belonging to the [D]istrict, or which shall be sold by virtue of legal process at the suit of the [D]istrict. Whoever violates any provision of this Section shall be guilty of a Class A misdemeanor. (Source: P.A. 77-2267.)*

12. TEMPORARY USE OF FACILITIES.

12.01 Utilities. District will allow use of District's existing electric, gas and water utilities conditioned on full compliance with Architect's connection and use specifications. District may revoke any or all utility use at any time or times in the event such use disrupts or interferes with the normal daily operations of District schools. Utility use is restricted to use directly associated with the construction of the Project. Utility use for winter heating is restricted to work areas which are permanently enclosed, including windows temporarily enclosed.

12.02 The Contractor shall NOT use District toilet facilities or washrooms.

13. EMPLOYEE CONDUCT.

All of Contractor's employees, agents, principals, and consultants shall abide by Federal, State and Local Laws and Board of Education policy while on District premises. No employee, agent or principal of Contractor and its consultants and vendors shall fraternize with any student of the District. Any Contractor employee whose conduct is judged unfit by District shall not be permitted to work on the Project. Contractor agrees to comply with and abide by all rules, regulations and policies of the District and the direction of the District's representative or designee relating to access to and conduct upon District Premises.

Contractor employees, agents and principals and its consultants and consultants' employees and agents shall not perform work within District buildings and on school grounds for more than 30 school days within any school year (July 1 to June 30) unless a criminal history records check has been conducted by Contractor, the individual(s) is found to have not violated any of the drug or criminal offenses listed in the criminal history records check provisions in the School Code at 105 ILCS 5/10-21.9(f) (the "Act"), and the Contractor so certifies the same to be true on the Certified Cleared Employee List.

Contractor employees, agents and principals and its consultants and consultant's employees and agents shall not be permitted to be present on District grounds unless a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check has been conducted regarding all such employees in accordance with and subject to the provisions of the Act. Contractor employees, agents and principals and its consultants and consultant's employees and agents who are found to have had convictions of the enumerated criminal or drug offenses listed in the Act or who appear in the noted databases shall not be permitted at any time to be present on school grounds.

Contractor employees accessing school grounds where students attend are required to have in their possession identification issued by the District. All such persons must check in with the school main office to receive a visitor's identification at which time the individual must present a government issued photo identification which will be used to verify the individual's name appears on the Certified Cleared Employee List. At the conclusion of the work day, plastic or hard cover identification must be returned to the District. Contractor employees who access District grounds where no students attend (such as new school construction and administrative buildings where students do not attend) must carry a government issued photo identification which can be used to verify their name on the Certified Cleared Employee List.

Not less than 10 days prior to the commencement of work, Contractor shall submit to the District, with a copy to District's representative or designee, a written certification on a form provided by District (Certified Cleared Employee List), signed by Contractor under oath that the employees listed on the certification have been the subject of a criminal history records check (for employees working more than 30 school days in District buildings and on school grounds), and a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check for all employees accessing District grounds. Contractor shall update the certification as and when necessary to keep such certification list current.

The District and District's representative or designee may from time to time and at multiple times in their discretion and without notice check the identification of all persons accessing school grounds by or through the Contractor to assure such persons appear on the certification list and where necessary, have in their possession a valid District issued identification. Contractor warrants that it shall immediately notify the District if a certified cleared employee is convicted of an offense enumerated in the Act or their name appears on any of the noted Databases. A violation of this Section is a material breach of Contract.

14. MANDATORY PRE-CONSTRUCTION CONFERENCE.

Prior to beginning the work, Bidder shall meet at the Project site or other location designated by the District, with District's representative or designee, installers, installers of related items, and other entities including (where applicable) District's insurer and Architect. A Record of discussions and agreements will be kept and a copy furnished to each participant.

The conference shall be conducted not less than 7 nor more than 21 days prior to the commencement of construction and shall be scheduled by the District's representative or designee. District's representative or designee may schedule additional mandatory conferences in its discretion. District's representative or designee shall provide advance notice to participants prior to convening Pre-construction Conferences.

15. COMPLETION REQUIREMENTS.

The Order to Proceed, which will be issued by the District at a date following the bid award, will indicate the date the work is to commence and establish the milestone dates if not otherwise set forth in the IFB or the Contract.

16. MEASUREMENT AND LAYOUT.

Before ordering material or doing work, each Bidder shall be responsible for measuring the physical dimensions of the site to his/her needs sufficient to execute the work desired by the District. Bidder shall be responsible for the correctness of measurements. Measurements given on the drawings are for references only, for which the District accepts no responsibility for accuracy.

17. SITE SECURITY.

15.01 Bidder shall be responsible for site security including the erection of temporary construction site fencing which shall be of a chain link variety and which shall be maintained by Bidder at all times from commencement of construction to final acceptance of the work. Bidder shall take reasonable actions in order to restrict access to construction sites, both inside and outside of District buildings, 24 hours per day, 7 days per week until construction is complete. Bidder shall provide site security to assure that unauthorized persons do not access the construction site (outside of school buildings) and proper barricades and safety notices and warnings are posted within buildings to assure the integrity and safety of persons and property in buildings and on the construction site, construction activities and construction materials.

15.02 Bidder shall also be responsible, at the Bidder's sole cost and expense, for all measures necessary to protect any property connected or adjacent to the Project and improvements therein. Any damage to such property improvements shall be properly repaired by the Bidder.

15.03 Bidder shall promptly report in writing to the District and Architect all accidents arising out of or in connection with the work that cause death, personal injury, or property damage, giving full details and statements of any witnesses. In addition, if death, serious personal injuries, or serious damage or cause, the accident shall be reported immediately by telephone or messenger to the District and the Architect.

18. CONSTRUCTION ACTIVITIES.

No construction activities shall occur on construction sites and within school buildings outside the limits established by District or District's representative or designee. District's representative or designee shall issue construction time periods with proper description as to when and where construction activities may occur at each construction and school site. No construction activities shall proceed in the absence of appropriate barricades and warnings.

19. BID. Each Contractor is to return one (1) set of their bid with original signatures.

20. ASSIGNMENT OF WARRANTIES/DELIVERY OF MANUALS.

On or before the date of substantial completion of the Project, Bidder shall assign to District all right, title and interest in and to equipment and product warranties issued by the product manufacturer. Bidder shall provide to District's representative or designee a complete list of all products and equipment furnished and or installed by Bidder in and to the Project along with the name of the manufacturer of each product and item of equipment and take all necessary steps to transfer warranties to the District.

Bidder shall within the same time frame deliver to District all product and equipment manuals installation instructions and operating instructions and registration materials.

21. COMPLIANCE WITH FREEDOM OF INFORMATION ACT.

The District is required by law to comply with the provisions of the Freedom of Information Act, 5ILCS140/1 *et seq.*, as amended from time to time ("Act"). The Act requires the District to provide, if requested to do so by any person, copies of documents that maybe in your possession and related to this

contract. As a condition of the Contract, Bidder agrees to and shall provide to the District, copies of any and all such documents when directed to do by the District. All such documents shall be delivered to the District's Legal Department NO LATER THAN five (5) working days after the date of the District's direction to provide such documents. Failure of the Bidder to provide documents within said five (5) working days as provided above shall result in the assessment of any and all penalties, damages, and/or costs incurred by the District to the Bidder which shall be paid immediately by the Bidder upon demand of the same by the District.

## 22. RECORDS MAINTENANCE AND SECURITY.

- A. Records. The Bidder shall establish and maintain a reasonable accounting system that enables the District to readily identify Bidder's assets, expenses, costs of goods and use of funds related to this Agreement ("Records"). Such Records shall include, but not be limited to, accounting records, written policies and procedures; all paid vouchers, including those for out-of-pocket expenses, other reimbursement supported by invoices; Contract amendments and change order files; back-charge logs and supporting documentation; insurance documents, payroll documents; timesheets; memoranda; training records; and correspondence. In addition, without limiting the foregoing, Bidder shall maintain a record of training of its employees including the nature and extent of training, a record and copy of required employee licenses for operation of vehicles and equipment and shall produce such records upon demand by the District.
- B. Retention. The Bidder shall, at all times during its performance of the Contract and for a period of three (3) years after the termination of the Contract, maintain Records, together with all supporting or underlying documents and materials. Bidder shall upon written request by the District at any time or times, whether during or after termination of the Contract, and at Bidder's expense, produce the Records for inspection, copying and audit (including copies and extracts of records as required) by the District. The Records shall be made available to the District, upon three-day written notice, during normal business hours at Bidder's principal office if located in Rockford, Illinois or at such other location specified by the District including the District offices. Upon expiration of the retention period specified in this Paragraph 20.B, prior to destruction of the Records, Bidder shall provide not less than thirty (30) days written notice of its intent to destroy any part or all of the Records, specifying the nature, character and extent of Records to be destroyed and the District may at its discretion and expense obtain all Records or copies of Records intended to be destroyed. District shall ensure the District's right to access and audit the Records in the possession of, created or maintained by Bidder and its agents and representatives. Bidder shall notify in writing its agents and representatives of the requirements of records, retention and audit as set forth in this Paragraph 20. Any and all contracts or agreements between Bidder and any other party related to the Contract shall expressly include the records retention and audit provisions of this Paragraph 20.
- C. Audit. The District and its authorized representatives shall have the right to audit, to examine, and to make copies of or extracts from all Records (in whatever form they may be kept, whether written, electronic, or other), including, but not limited to, those kept by Bidder, its agents and representatives. Cost of any examination or audit of Records conducted by the District will be borne by the District (excluding any cost to produce Records under Paragraph 20.B), except where the examination or audit identifies overpricing or overcharges (of any nature) by the Bidder to the District in excess of one-half of one percent (0.5%) of the total Bidder Contract billings in which event the entire cost of the examination or audit shall be Bidder's cost and Bidder shall reimburse the District for the total cost of the examination or audit. If the examination or audit reveals substantive findings of fraud, misrepresentation, or non-performance by Bidder, its employees, agents or representatives, Bidder shall pay all costs of the examination or audit; and if paid by the District, reimburse the District for all such costs. In the event Bidder fails to pay such costs within thirty (30) days of demand by the District, the District may offset any such costs unpaid by Bidder from any balance due Bidder or at the election of the District, proceed to collect such costs by any available means including litigation in which event the costs of collection including reasonable attorney's fees shall also be paid by Bidder.

- D. Records Ownership and Security. Bidder hereby acknowledges and agrees that all records, information and documents, whether in electronic or written form or otherwise, received by Bidder from the District or otherwise obtained or received by the Bidder, its employees, agents and representatives during or in conjunction with performance of the Agreement and all records, whether in electronic or written form or otherwise, created by Bidder in performance of its obligations under the Contract (the "Project Records") shall be and remain owned by the District. Bidder shall use all reasonable and timely means to protect and preserve all such records and to deliver the same to the District upon demand. The Project Records are subject to access and examination by the Board and any federal agency with relevant responsibility for any federal grant funds providing funding for this Agreement.

Bidder shall cooperate and produce the Project Records for inspection and examination by any governmental agency, including District, providing funding for the Contract.

- E. Confidentiality. The Project Records and all documents and information received, accessed or observed by Bidder in performance of the Contract shall be and remain confidential. In the performance of its obligations under the Contract, Bidder may acquire access to certain information, including but not limited to, information concerning students and/or school personnel, and other confidential and/or proprietary information, including the Project Records (collectively, "Confidential Information"). Bidder will not, absent court order issued by a court of competent jurisdiction, without the prior written consent of the District, and regarding student record information, without the express prior written consent of the parent/guardian, disclose, re-disclose or make available to anyone, at any time, either during Bidder's engagement with the District or following termination of the Contract, for any reason whatsoever, any of the Confidential Information. The provisions of this Section shall survive the termination of the Contract.

23. TITLE AND STORAGE.

23.01 Title to goods, machinery, equipment and materials furnished under a bid Contract shall remain with the Contractor until delivered and accepted or installed in a project. Contractor is responsible for the security, including risk of loss and insurance, of all such goods, machinery, equipment and materials until accepted by the District or installed in a project. Title to such goods, machinery and equipment will transfer to the District upon the later of delivery and acceptance by the District's designated representative or installation or incorporation of such item(s) into the Project.

23.02 In the event a Contract requires custom, specially fabricated or specialty manufactured products, goods, machinery or equipment (not including commodity items) and delivery of such item(s) is required in advance of installation of or incorporation into a project in order to meet construction milestones, Contractor may submit a pay request for such item(s) in storage and prior to installation or incorporation into the Project upon the following conditions:

- (a) The item(s) is in fact a custom product, specially fabricated or specialty manufactured solely for the Project;
- (b) The item(s) is delivered more than 30 days in advance of installation or incorporation into the Project;
- (c) The item(s) is stored at an insured and bonded warehouse facility located in Winnebago or Boone Counties Illinois at Contractor's expense and under the name of both Contractor and the District and Contractor provides a storage receipt issued by the warehouse;
- (d) The item(s) cannot be released from storage without consent of the District;
- (e) In the event of termination of the Contract, the District may offset any payments made to Contractor for the item(s) against any amounts otherwise due Contractor or, at the option and sole discretion of the District, recover from Contractor the amount of payment made for the item(s) or take delivery of the item(s);

- (f) Contractor presents a certificate of insurance for the full contract value of the item(s) in storage;
- (g) Contractor accepts all risk of loss and damage of such item(s) until installed in or incorporated into the Project;
- (h) The Contractor marks or tags the item(s) as for the District Project using the IFB number and Project title and provides photographs of the marking to the District. A District representative may inspect and verify the item(s) and photographs, marks or tags such item(s);
- (i) Payment by the District for such item(s) does not relieve Contractor or its obligations under the Contract nor warranty.

#### **QUESTIONS**

Any questions regarding this bid must be submitted in writing to the Director of Purchasing (email at PurchasingDeptStaff@rps205.com).

-END OF SUPPLEMENTARY CONDITIONS-



**EXHIBIT A**

**Lien Waivers**

**FINAL WAIVER OF LIEN**  
**GENERAL OR PRIME CONTRACTOR**

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

WHEREAS the undersigned has been employed by \_\_\_\_\_ to furnish  
\_\_\_\_\_ for the premises known  
as \_\_\_\_\_ of which \_\_\_\_\_ is  
the owner.

THE undersigned, for and in consideration of \_\_\_\_\_  
(\$\_\_\_\_\_) Dollars, and other good and valuable considerations, the receipt whereof is  
hereby acknowledged, do(es) hereby waive and release any and all lien or claim of, or right to, lien, under  
the statutes of the State of Illinois with respect to and on said above-described premises, and the  
improvements thereon, and on the material, fixtures, apparatus or machinery furnished, and on the  
moneys, funds or other considerations due or to become due from the owner, on account of all labor,  
services, material, fixtures, apparatus or machinery, heretofore furnished, or which may be furnished at  
any time hereafter, by the undersigned for the above-described premises, INCLUDING EXTRAS.\*

The undersigned warrants that it has already paid or will use the funds received from this final payment  
to promptly pay in full all of the undersigned's subcontractors, suppliers, materialmen, and laborers for  
all services, labor, materials, or equipment provided for or to the above-referenced premises. The  
undersigned shall indemnify and hold harmless the owner from and against all costs, losses, damages, or  
other claims, causes of action, judgments, and expenses, including attorneys' fees, arising out of or in  
connection its performance of the Contract, which may be asserted by any of its subcontractors, suppliers,  
materialmen, and laborers.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_  
who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_  
\_\_\_\_\_ as is authorized to execute the above document.

(SEAL)

\_\_\_\_\_  
NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND  
WRITTEN, TO THE CONTRACT

## CONTRACTOR'S AFFIDAVIT

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

THE UNDERSIGNED, (NAME) \_\_\_\_\_ BEING DULY SWORN,  
DEPOSES AND SAYS THAT HE OR SHE IS (POSITION) \_\_\_\_\_ OF (COMPANY  
NAME) \_\_\_\_\_ WHO IS THE CONTRACTOR  
FURNISHING \_\_\_\_\_ WORK ON THE BUILDING LOCATED AT  
\_\_\_\_\_ OWNED BY  
\_\_\_\_\_.

That the total amount of the contract including extras\* is \$ \_\_\_\_\_ on which he or she has received payment of \$ \_\_\_\_\_ prior to this payment. That all waivers are true, correct and genuine and delivered unconditionally and that there is no claim either legal or equitable to defeat the validity of said waivers. That the following are the names and addresses of all parties who have furnished material or labor, or both, for said work and all parties having contracts or sub contracts for specific portions of said work or for material entering into the construction thereof and the amount due or to become due to each, and that the items mentioned include all labor and material required to complete said work according to plans and specifications:

Contractor's Names & Addresses	Kind of Work	Amount of Contract	Extras or Deductions	Amount Previously Paid	This Payment	Balance Due
TOTAL LABOR AND MATERIAL INCLUDING EXTRAS* TO COMPLETE						

That there are no other contracts for said work outstanding, and that there is nothing due or to become due to any person for material, labor or other work of any kind done or to be done upon or in connection with said work other than above stated.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_ as is authorized to execute the above document.

(SEAL)

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NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND WRITTEN, TO THE CONTRACT

**FINAL WAIVER OF LIEN**  
**SUBCONTRACTOR / SUPPLIER**

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

WHEREAS the undersigned has been employed by \_\_\_\_\_ to furnish  
\_\_\_\_\_ for the premises known  
as \_\_\_\_\_ of which \_\_\_\_\_ is  
the owner.

THE undersigned, for and in consideration of \_\_\_\_\_  
(\$\_\_\_\_\_) Dollars, and other good and valuable considerations, the receipt whereof is  
hereby acknowledged, do(es) hereby waive and release any and all lien or claim of, or right to, lien, under  
the statutes of the State of Illinois with respect to and on said above-described premises, and the  
improvements thereon, and on the material, fixtures, apparatus or machinery furnished, and on the  
moneys, funds or other considerations due or to become due from the owner, on account of all labor,  
services, material, fixtures, apparatus or machinery, heretofore furnished, or which may be furnished at  
any time hereafter, by the undersigned for the above-described premises, INCLUDING EXTRAS.\*

The undersigned warrants that it has already paid or will use the funds received from this final payment  
to promptly pay in full all of the undersigned's subcontractors, suppliers, materialmen, and laborers for  
all services, labor, materials, or equipment provided for or to the above-referenced premises. The  
undersigned shall indemnify and hold harmless the owner from and against all costs, losses, damages, or  
other claims, causes of action, judgments, and expenses, including attorneys' fees, arising out of or in  
connection its performance of the Contract, which may be asserted by any of its subcontractors, suppliers,  
materialmen, and laborers.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_  
who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_  
\_\_\_\_\_ as is authorized to execute the above document.

(SEAL)

\_\_\_\_\_  
NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND  
WRITTEN, TO THE CONTRACT

## SUBCONTRACTOR'S AFFIDAVIT

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

THE UNDERSIGNED, (NAME) \_\_\_\_\_ BEING DULY SWORN,  
DEPOSES AND SAYS THAT HE OR SHE IS (POSITION) \_\_\_\_\_ OF (COMPANY  
NAME) \_\_\_\_\_ WHO IS THE CONTRACTOR  
FURNISHING \_\_\_\_\_ WORK ON THE BUILDING LOCATED AT  
\_\_\_\_\_ OWNED BY  
\_\_\_\_\_.

That the total amount of the contract including extras\* is \$ \_\_\_\_\_ on which he or she has received payment of \$ \_\_\_\_\_. That all waivers are true, correct and genuine and delivered unconditionally and that there is no claim either legal or equitable to defeat the validity of said waivers. That the following are the names and addresses of all parties who have furnished material or labor, or both, for said work and all parties having contracts or sub contracts for specific portions of said work or for material entering into the construction thereof and the amount due or to become due to each, and that the items mentioned include all labor and material required to complete said work according to plans and specifications:

Contractor's Names & Addresses	Kind of Work	Amount of Contract	Extras or Deductions	Amount Previously Paid	This Payment	Balance Due
TOTAL LABOR AND MATERIAL INCLUDING EXTRAS* TO COMPLETE						

That there are no other contracts for said work outstanding, and that there is nothing due or to become due to any person for material, labor or other work of any kind done or to be done upon or in connection with said work other than above stated.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_ as is authorized to execute the above document.

(SEAL)



---

NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND WRITTEN, TO THE CONTRACT

**PARTIAL LIEN WAIVER**

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

WHEREAS the undersigned has been employed by \_\_\_\_\_ to furnish  
\_\_\_\_\_ for the premises known  
as \_\_\_\_\_ of which \_\_\_\_\_ is  
the owner.

THE undersigned, for and in consideration of \_\_\_\_\_  
(\$ \_\_\_\_\_) Dollars, and other good and valuable considerations, the receipt whereof is  
hereby acknowledged, do(es) hereby waive and release any and all lien or claim of, or right to, lien, under  
the statutes of the State of Illinois with respect to and on said above-described premises, and the  
improvements thereon, and on the material, fixtures, apparatus or machinery furnished, and on the  
moneys, funds or other considerations due or to become due from the owner, on account of all labor,  
services, material, fixtures, apparatus or machinery, heretofore furnished, or which may be furnished at  
any time hereafter, by the undersigned for the above-described premises, INCLUDING EXTRAS.\*

The undersigned warrants that it has already paid or will use the funds received from this progress payment  
to promptly pay in full all of the undersigned's subcontractors, suppliers, materialmen, and laborers for  
all services, labor, materials, or equipment provided for or to the above-referenced premises. The  
undersigned shall indemnify and hold harmless the Owner from and against all costs, losses, damages, or  
other claims, causes of action, judgments, and expenses, including attorneys' fees, arising out of or in  
connection its performance of the Contract, which may be asserted by any of its subcontractors, suppliers,  
materialmen, and laborers.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_  
who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_  
\_\_\_\_\_ as is authorized to execute the above document.

(SEAL)

\_\_\_\_\_  
NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND  
WRITTEN, TO THE CONTRACT

## CONTRACTOR'S AFFIDAVIT

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

TO WHOM IT MAY CONCERN:

THE UNDERSIGNED, (NAME) \_\_\_\_\_ BEING DULY SWORN,  
DEPOSES AND SAYS THAT HE OR SHE IS (POSITION) \_\_\_\_\_ OF (COMPANY  
NAME) \_\_\_\_\_ WHO IS THE CONTRACTOR  
FURNISHING \_\_\_\_\_ WORK ON THE BUILDING LOCATED AT  
\_\_\_\_\_ OWNED BY  
\_\_\_\_\_.

That the total amount of the contract including extras\* is \$ \_\_\_\_\_ on which he or she has received payment of \$ \_\_\_\_\_ prior to this payment. That all waivers are true, correct and genuine and delivered unconditionally and that there is no claim either legal or equitable to defeat the validity of said waivers. That the following are the names and addresses of all parties who have furnished material or labor, or both, for said work and all parties having contracts or sub contracts for specific portions of said work or for material entering into the construction thereof and the amount due or to become due to each, and that the items mentioned include all labor and material required to complete said work according to plans and specifications:

Contractor's Names & Addresses	Kind of Work	Amount of Contract	Extras or Deductions	Amount Previously Paid	This Payment	Balance Due
TOTAL LABOR AND MATERIAL INCLUDING EXTRAS* TO COMPLETE						

That there are no other contracts for said work outstanding, and that there is nothing due or to become due to any person for material, labor or other work of any kind done or to be done upon or in connection with said work other than above stated.

Dated: \_\_\_\_\_

Company Name: \_\_\_\_\_

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

STATE OF ILLINOIS

COUNTY OF \_\_\_\_\_

On this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, before me personally appeared \_\_\_\_\_ who being by me duly sworn, did depose and say that he/she is the \_\_\_\_\_ of \_\_\_\_\_ as is authorized to execute the above document.

(SEAL)

---

NOTARY PUBLIC

\*EXTRAS INCLUDE BUT ARE NOT LIMITED TO CHANGE ORDERS, BOTH ORAL AND WRITTEN, TO THE CONTRACT

# ROCKFORD PUBLIC SCHOOLS

## REQUIRED CONSTRUCTION BID FORMS CHECK LIST

**Listed below are the REQUIRED forms all bidders are REQUIRED to submit with sealed bids on or before the bid due date and time. Failure to submit ALL required forms WILL result in bidder being deemed non-responsive.**

Required Forms	Yes	Comments
Bid Security Bond	<input type="checkbox"/>	5% of Base Bid
Bid Offer Form	<input type="checkbox"/>	
Bid Rigging Certification	<input type="checkbox"/>	
Minority and Women Owned Business Concern Representation	<input type="checkbox"/>	
Certificate Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion	<input type="checkbox"/>	
Certificate Regarding Lobbying	<input type="checkbox"/>	
OFAC Compliance	<input type="checkbox"/>	
Vendor Conflict of Interest Disclosure Form	<input type="checkbox"/>	
Certified Cleared Employee List	<input type="checkbox"/>	Complete, sign, and notarize the form. If you are uncertain of which employees will be working on the project, note this information on the form that the employee information will be forth coming BEFORE you start on the project, if awarded the contract.
Asbestos Notification	<input type="checkbox"/>	
AIA Document A305-1986 Contractor's Qualification Statement	<input type="checkbox"/>	
Bidders Certifications	<input type="checkbox"/>	
Vendor Entry Form/W-9	<input type="checkbox"/>	

**Listed below are REQUIRED FORMS/DOCUMENTS that must be submitted prior to starting work, if awarded the contract. Failure to submit forms below may result in project start delay.**

<input type="checkbox"/> Certificate of Liability Insurance	<input type="checkbox"/> Performance Bond (100% of contract)
<input type="checkbox"/> AIA Document A101-2007 Standard Form of Agreement between Owner and Contractor (as revised by owner)	<input type="checkbox"/> Labor and Material Payment Bond (100% of contract)

**Rockford Public Schools 205  
Asbestos Abatement at Page Park School  
Pre-Demolition Asbestos Abatement**

PAGE PARK SCHOOL ASBESTOS ABATEMENT BID FORM

PROJECT: Asbestos Abatement at Page Park School

SCHOOL DISTRICT: Rockford Public Schools – District #205

ENVIRONMENTAL CONSULTANT: Carnow, Conibear & Assoc., Ltd.

TO: Rockford Board of Education  
School District No. 205  
Rockford, Illinois

FROM: \_\_\_\_\_ (Bidder)

\_\_\_\_\_  
\_\_\_\_\_

Having carefully examined the Contract Documents dated August 26, 2021 and all addenda issued by Rockford Public Schools – District #205, receipt of which is hereby acknowledged:

Addendum No.:	_____	Dated.:	_____;
Addendum No.:	_____	Dated.:	_____;
Addendum No.:	_____	Dated.:	_____;
Addendum No.:	_____	Dated.:	_____;

and having inspected the Site of the Work and become familiar with the conditions affecting the cost of the Work and with the requirements of the Contract, the undersigned hereby proposes to furnish all labor, tools, materials, permits, notifications, accessories, transportation and other work necessary to perform and, within the specified Time for Performance, complete in a workman like manner the Work, as required by and in strict accordance with the Contract Documents and Environmental Project Manual dated August 26, 2021, for the total sum as follows:

NOTE: BIDDER MUST FILL IN NUMERICAL FIGURE FOR ALL ITEM #s BELOW OR BID SHALL BE DISQUALIFIED.

Item #	Base Bid Items:	Cost:
1.	Base Bid Asbestos Abatement as Identified in Scope of Work Drawings	\$

**Total Base Bid (Item #1)**

\$ \_\_\_\_\_

**ACKNOWLEDGEMENTS:**

The undersigned agrees that the Bidder has carefully examined the Contract Documents, Addenda (if any) and has inspected each site of proposed work; has become familiar with the conditions affecting the Contract.

The Bidder has not added any conditions or qualifying statements to this Bid, as such additions may cause the Bid to be declared informal and as not being responsive to the Invitation for Bids.

By submitting this Bid the Undersigned agrees that, if this Bid is accepted within sixty (60) days after bid opening, the Bidder will be liable to the Owner for damages the Owner may suffer by failure of the

Undersigned to enter into a Contract and deliver the necessary bonds together with required insurances and other documents within seven days after notice of Contract award.

**Bidding Company Name:** \_\_\_\_\_

**Authorized Signature:** \_\_\_\_\_

**Title:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Corporate Seal**



**ROCKFORD PUBLIC SHOOOLS**  
**BID-RIGGING CERTIFICATION**

I, \_\_\_\_\_, a duly authorized agent of  
(Agent)

\_\_\_\_\_, do hereby certify that neither  
(Contractor)

\_\_\_\_\_ nor any individual presently  
(Contractor)

affiliated with \_\_\_\_\_ has been barred from bidding on a  
(Contractor)

public contract as a result of a violation of either Section 33E-3 (bid-rigging) or Section 33E-4 (bid rotating) of the Illinois Criminal Code, contained in Chapter 750, Article 5 of the Illinois Compiled Statutes.

\_\_\_\_\_  
Authorized Agent

\_\_\_\_\_  
Contractor

# ROCKFORD PUBLIC SCHOOLS

## MINORITY, WOMEN and DISABLED-OWNED BUSINESS CONCERN REPRESENTATION

**Minority-Owned Business:** a minority-owned business concern means a business concern that: (1) is at least 51 percent unconditionally owned by one or more individuals who are considered to be a member of a minority group, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one or more members of a minority group; and (2) has its management and daily business controlled and operated by one or more such individuals. Individuals who certify that they are members of minority groups (African Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Asian-Indian Americans, and other minorities) are to be considered minority-owned enterprises.

**Women-Owned Business:** a business that is at least 51 percent owned by a woman or women who also control and operate it.

**Disabled Owned Business:** a business that is at least 51 percent owned by a person or persons with severe physical or mental disabilities which substantially limits one or more of the person's major life activities and which person or persons control and operate such business.

"Control" in this referenced context means exercising the power to make policy decisions. "Operate" means being actively involved in the day-to-day management of the business.

The District shall rely on written representations of concerns regarding their status as minority/women/disabled-owned businesses. Offeror agrees to submit information regarding the minority ownership of its subcontractors on request of District.

**COMPLETE THE SECTION BELOW AND RETURN THIS FORM WITH BID. FAILURE TO DO SO MAY RENDER THE OFFEROR'S BID UNACCEPTABLE.**

A. Representation. The offeror represents that it is ( ), is not ( ) a minority-owned business concern.

B. Representation. The offeror represents that it is ( ), is not ( ) a women-owned business concern.

C. Representation. The offeror represents that it is ( ), is not ( ) a disabled-owned business concern.

### Please Check Appropriate Box/Boxes

☐ African American (AFRAM)

☐ Caucasian (CAUC)

☐ Native American (NAAM)

☐ Hispanic American (HISP)

☐ Asian-Pacific American (ASIAP)

☐ Asian Indian (ASIAI) American

☐ Other, please identify:

☐ Woman Owned (W)

☐ Disabled Owned (D)

\_\_\_\_\_

The offeror has ☐/ has not ☐ used the following procedures in searching for and obtaining suppliers and subcontractors:

- Place Minority-Owned Businesses on solicitation lists.
- Ensure that Minority-Owned are solicited whenever they are potential sources.
- Consider contracting with consortia of Minority-Owned Businesses when an intended contract is too large for any one such firm to handle on its own or, if economically feasible, divide larger requirements into smaller transactions for which such organizations might compete.
- Make information on contracting opportunities available and establish delivery schedules that encourage participation by Minority-Owned Businesses.
- Use the services and assistance of the SBA and Department of Commerce Minority Business Development Agency, as appropriate.

Company Name \_\_\_\_\_ Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone # \_\_\_\_\_ Fax # \_\_\_\_\_ FEIN # \_\_\_\_\_

Signature of Company Official \_\_\_\_\_ Title \_\_\_\_\_

Date \_\_\_\_\_

**ILLINOIS STATE BOARD OF EDUCATION**

100 North First Street  
Springfield, IL 62777-0001

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY, AND VOLUNTARY EXCLUSION  
LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Orders 12549 and 12689, Debarment and Suspension, 2 CFR 417 Subpart C Responsibilities of Participants Regarding Transactions. The regulations were published in the May 25, 2010 Federal Register (pages 29183-29189). Copies of the regulations may be obtained by contacting the Illinois State Board of Education.

**BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS BELOW.**

**CERTIFICATION**

The prospective lower tier participant certifies, by submission of this Certification, that:

- (1) Neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- (2) It will provide immediate written notice to whom this Certification is submitted if at any time the prospective lower tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances;
- (3) It shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated;
- (4) It will include the clause titled Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions;
- (5) The certifications herein are a material representation of fact upon which reliance was placed when this transaction was entered into; and
- (6) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this Certification.

---

*Organization Name*

---

*PR/Award Number or Project Name*

---

*Name of Authorized Representative*

---

*Title*

---

*Original Signature of Authorized Representative*

---

*Date*

**Instructions for Certification**

1. By signing and submitting this Certification, the prospective lower tier participant is providing the certifications set out herein.
  2. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
  3. Except for transactions authorized under paragraph 3 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
  4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used herein, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549 and Executive Order 12689. You may contact the person to which this Certification is submitted for assistance in obtaining a copy of those regulations.
  5. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the "GSA Excluded Parties List System" at <http://epls.arinet.gov/>.
  6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
-

**ILLINOIS STATE BOARD OF EDUCATION**  
100 North First Street  
Springfield, IL 62777-0001

**CERTIFICATE REGARDING LOBBYING**

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit ISBE 85-37, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

---

*Organization Name*

---

*PR/Award Number or Project Name*

---

*Name of Authorized Representative*

---

*Title*

---

*Original Signature of Authorized Representative*

---

*Date*

---

## OFAC Compliance

The undersigned hereby certifies and represents that products and/or services provided under any contract with the Rockford Public Schools resulting from this bid shall be in compliance with economic or trade sanctions or restrictions implemented by the United States government such as those administered by the Office of Foreign Assets Control (“OFAC”) of the U.S. Department of the Treasury and shall not utilize or engage, for performance of any activities related to the products and/or services, any persons or entities that, (i) appear on OFAC's Specially Designated Nationals and Blocked Persons List (“SDN List”), as that list may be updated from time to time or any other similar list maintained by OFAC; (ii) are owned or controlled by any person or entities appearing on OFAC's SDN List, as that list may be updated from time to time or any other similar list maintained by OFAC; or (iii) are located in any country subject to U.S. economic or trade sanctions, such as those administered by OFAC.

---

Organization Name

---

Bid Number

---

Name of Authorized Representative

---

Title

---

Original Signature of Authorized Representative

---

Date

# ROCKFORD PUBLIC SCHOOLS

## VENDOR CONFLICT OF INTEREST DISCLOSURE FORM

### DISCLOSURE STATEMENT:

All businesses ("Vendors" or "Vendor" or "Vendor's") that wish to conduct business with the Rockford Public Schools "RPS" must complete this form. Please note that all contracts with RPS are subject to RPS Code of Ethics which prohibits RPS employees and Board of Education members from having certain relationships with persons or entities conducting (or proposing to conduct) business with RPS and which limits the acceptance of gifts from Vendors. The entire Board Member Conflict of Interest Board Policy 2.100 and Board Policy 5.120 may be viewed at <http://www2.rps205.com/District/BOE/Pages/GP-200.aspx>. The Code and its definitions are incorporated by reference into this Disclosure Form. If a Vendor has a disclosable relationship, the Vendor should assume the relationship may pose a conflict of interest until notified to the contrary in writing by a RPS administrative staff member authorized to confirm that a determination has been made that a conflict does not exist. A principle of the Code of Ethics is to ensure that relationships do not influence any official decision or judgment of RPS employees or Board of Education members. Accordingly, disclosure also should be made for any person connected with Vendor (e.g., officer, director, partner, shareholder, employee,) that is likely to: (i) materially contribute to Vendor's preparation, drafting, or presentation of a proposal or bid for services and/or supplies, (ii) materially contribute to Vendor's negotiation of a contract with RPS, or (iii) perform material services under a contract with RPS. Below, these persons are referred to as "Disclosable Persons."

### CERTIFICATION:

I hereby certify that, except as disclosed below, to Vendor's knowledge, there is no conflict of interest involving the Vendor named below that would violate the RPS Code of Ethics, including that: (a) after inquiry, neither Vendor nor any Disclosable Person is involved or engaged in any private business venture or enterprise, directly or indirectly, with any RPS employee or Board of Education member or his or her family member; (b) no RPS employee or Board member or his or her family member owns or has a material personal financial interest (directly or indirectly) in Vendor or is engaged in a material personal business transaction with Vendor; and (c) no RPS employee or board of Education member or his or her family is employed by Vendor.

I further certify that neither the Vendor nor anyone acting on its behalf has requested that any RPS employee or RPS Board of Education member exert any influence to secure the award of this bid to the Vendor. Furthermore, no RPS Board of Education member, employee or agent has offered to influence to secure the award of this bid to the Vendor

### VENDOR INFORMATION:

Vendor Name: \_\_\_\_\_

Vendor Address: \_\_\_\_\_

\_\_\_\_\_

Vendor Phone Number \_\_\_\_\_

Vendor Email: \_\_\_\_\_

Vendor FEIN: \_\_\_\_\_

# ROCKFORD PUBLIC SCHOOLS

## VENDOR CONFLICT OF INTEREST DISCLOSURE FORM

### DISCLOSURE STATEMENT:

I BELIEVE THE VENDOR NAMED ABOVE DOES have a potential conflict(s) of interest with a current RPS employee(s), or RPS Board of Education member(s).

☐

YES, the above statement is true.

☐

NO, the above statement is **NOT** true.

If you checked “**YES**” above, please provide the following information:

List all the Name(s) of RPS employee(s), RPS Board of Education member(s), or RPS employees’ or RPS Board of Education’s family member(s) with whom there may be a conflict of interest:

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

Provide a brief description of the nature of the potential conflict(s) of interest:

### SIGNATURE:

By my signature below, I certify that I am the Authorized Representative of the VENDOR named above and that all of the information provided above by signor is true and complete to the best of the signor’s knowledge:

\_\_\_\_\_  
Print the Name of the Vendor’s Authorized Representative

\_\_\_\_\_  
Print the Position Title of the Vendor’s Authorized Representative

\_\_\_\_\_  
Print the Name of the Vendor’s Authorized Representative

\_\_\_\_\_  
Date



## CERTIFIED CLEARED EMPLOYEE LIST

The undersigned \_\_\_\_\_, a vendor, supplier, professional services firm or contractor, hereby certifies under oath as follows:

1- a criminal history records check, a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check has been conducted for all employees as indicated by a check mark in the appropriate box in accordance with 105 ILCS 5/10-21.9 (the Act); and

2- that such employees have not been convicted of any of the enumerated criminal or drug offenses listed in the Act and their name does not appear on the noted Databases; and

3-the undersigned is an owner (if sole proprietor) or officer, member or partner of the undersigned authorized to execute this document binding the undersigned.

No.	Last Name	M.I.	First Name	SS # (last four)	Crim. Hst.	Databases

By: \_\_\_\_\_

This certificate Subscribed and Sworn to before me this \_\_\_\_ day of \_\_\_\_\_, 20\_\_.

Notary Public

Commission Expires : \_\_\_\_\_

Vendor Cert. Employee List No. \_\_\_\_

# ROCKFORD PUBLIC SCHOOL DISTRICT NO. 205

## BIDDER'S CERTIFICATIONS

### **NON-COLLUSION AFFIDAVIT**

The undersigned Bidder certifies that it has not, nor has any other member, representative, or agent of the firm, company, corporation or partnership represented by Bidder, entered into any combination, collusion, or agreement with any person relative to the price to be bid by anyone at such letting, nor to prevent any person from bidding, nor to induce anyone to refrain from bidding, and this Bid is made without reference to any other bid and without any agreement, understanding, or combination with any other person in reference to such bidding.

The undersigned Bidder further states that no person, firm, or corporation has, or will receive directly or indirectly, any rebate, fee, gift, commission, or thing of value based upon awarding of the Contract.

\_\_\_\_\_  
Name of Bidder (Please Print)

\_\_\_\_\_  
Bidder or authorized agent (Signature)/Date

### **EQUAL OPPORTUNITY**

The undersigned hereby certifies that Bidder is in compliance with the Equal Employment Opportunity Clause and the Illinois Fair Employment Practices Act.

\_\_\_\_\_  
Name of Bidder (Please Print)

\_\_\_\_\_  
Bidder or authorized agent (Signature)/Date

### **SEXUAL HARRASSMENT**

The undersigned hereby certifies that Bidder has complied and will comply with the requirement of Section 2-105 of the Illinois Human Rights Act (775 ILCS 5/2-105) with respect to sexual harassment policies. The terms of that law, as applicable, are hereby incorporated into the Contract.

\_\_\_\_\_  
Name of Bidder (Please Print)

\_\_\_\_\_  
Bidder or authorized agent (Signature)/Date

### **NO SMOKING/NO TOBACCO**

District are smoke free facilities. Bidder agrees that it and its employees will abide by the District's no smoking/no tobacco use policy (including snuff, electronic cigarettes and e-vapor products) at all times while on District grounds.

\_\_\_\_\_  
Name of Bidder (Please Print)

\_\_\_\_\_  
Bidder or authorized agent (Signature)/Date

### **DRUG FREE WORKPLACE**

Each Bidder, if having twenty-five employees or more, does hereby certify, pursuant to Section 3 of the Illinois Drug-Free Workplace Act (30 ILCS 580/3), that it shall provide a drug-free workplace for all employees engaged in the performance of services under the Contract by complying with the requirements of the Illinois Drug-Free Workplace Act, and further certifies that it is not ineligible for award of this Contract by reason of debarment for a violation of the Illinois Drug-Free Workplace Act.

\_\_\_\_\_  
Name of Bidder (Please Print)

\_\_\_\_\_  
Bidder or authorized agent (Signature)/Date

# ROCKFORD PUBLIC SCHOOL DISTRICT NO. 205

## BIDDER'S CERTIFICATIONS

## GENERAL BIDDING CERTIFICATIONS

The undersigned further certifies that:

1. Bidder has read, understands, and agrees that the District's acceptance of Bidder's offer by issuance of an award will create a binding Contract; subject to any District requirement for a formal written contract.
2. The undersigned is an authorized agent of Bidder, and is expressly authorized to execute this Certification on Bidder's behalf, to bind Bidder to the terms and conditions contained in this Bid Package, and to execute the Contract immediately upon notification by the District in the event Bidder's Bid is successful and Bidder is selected by the District to perform the Contract.
3. Bid submission is in compliance with Illinois Compiled Statutes 105 ILCS 5/10-20.21 - Contracts, and 105 ILCS 5/10-22.34c, Third Party Non-instructional Services
4. Bidder is the following type of business entity:    ☐ Corporation  
   ☐ Partnership  
   ☐ Sole Proprietor

Bidder is qualified to conduct business in the State of Illinois and is in good standing.

5. Bidder confirms it operates in compliance with HIPAA all other applicable legal requirements.
6. Bidder has and will at all times fully comply with the requirements of 105 ILCS 5/10-20.21(b) pertaining to the Illinois Use Tax Act.
7. All figures and responses submitted on the Bid Offer Form are true, complete, and accurate. All documents attached to and submitted with the Bid Offer Form are true, complete, and authentic.
8. Bidder's current financial statement is attached, which has been certified by a Certified Public Accountant or is authenticated by Bidders signature on this form as true, complete and accurate.
9. The Bid is firm and irrevocable for a period of sixty (60) days after Bid Opening, as detailed in the attached Instructions for Bidders.

---

Name of Bidder (Please Print)

Bidder or authorized agent (Signature)/Date

# **ROCKFORD PUBLIC SCHOOL DISTRICT NO. 205**

## **NOTICE OF ASBESTOS CONTAINING MATERIALS**

### **ACM ACKNOWLEDGEMENT**

The purpose of this Asbestos-Containing Material (ACM) Notice and acknowledgment is to ensure all bidders on Invitations for Bid which are awarded a contract, contractors and subcontractors who will perform work at facilities and grounds of the Rockford Public Schools (District Facilities) are aware that School District buildings may contain Asbestos Materials which should not be impacted or disturbed during the performance of contractor-related work.

Although inhalation of airborne asbestos fibers can cause adverse health reactions, the mere presence of materials containing asbestos is not dangerous. In good condition and left undisturbed, materials containing asbestos do not present a health hazard.

Prior to entering District Facilities for the purpose of performing any custodial, maintenance and/or construction work, all contractor personnel must familiarize themselves with any potential ACMs or presumed ACMs. All questions regarding whether building materials contain asbestos are to be directed to the RPS 205 Environmental Coordinator.

The Contractor and their personnel shall comply with all applicable federal, state, and local regulations, and ordinances, including, but not limited to all asbestos identification, training notification, handling, removal, and disposal regulations.

If working in District Facilities where ACM material(s) may be contacted but not disturbed, the contractor performing such work shall provide their employees with applicable Asbestos Awareness Training as required by OSHA 29 CFR 1926.1101. Such training shall be confirmed by the attachment of employee certificates to this ACM Notice.

I hereby acknowledge the information and instructions provided to me by the Rockford Public Schools in this ACM Notice regarding the presence of materials that contain asbestos or are presumed to contain asbestos within District Facilities. I agree that neither I, nor any person employed by me, will disturb any materials potentially containing asbestos under any circumstances. I understand that I may be responsible for the costs associated with any action required by the Rockford Public Schools as a result of any intentional disturbance of materials containing asbestos by myself or any of my employees.

---

Bidder/Contractor

Date:\_\_\_\_\_

---

Bidder/Contractor Authorized Signature



## Vendor Entry Form

FOR INTERNAL USE:

☐

**New Vendor**

☐

**Update Vendor**

**Reason for Entry/Change** (required): \_\_\_\_\_

**Type of Entry** (required):

☐

**Business**

☐

**Payroll**

☐

**Student/Parent**

☐

**Reimbursement External**

☐

**Reimbursement Internal**

☐

**Referee/Sports Official**

☐

**Other** \_\_\_\_\_

☐

**W-9 Attached**

W-9 should be included with all new vendor entry requests for payment. Reimbursements or internal record requests do not require a W-9 attachment but must include SSN after vendor name. All vendor entry requests will be entered but will remain inactive until required info/W-9 is provided.

### Entry Information:

**Vendor Affiliation:**

☐

**CORP**

☐

**PARTNERSHIP**

☐

**SOLE/IND**

☐

**LLC** (Indicate type below)

☐

**TRUST**

☐

**OTHER** \_\_\_\_\_

☐

**C-Corp**

☐

**S-Corp**

☐

**Woman-owned**

☐

**Minority-owned**

☐

**Veteran-owned**

☐

**Disabled**

☐

**P-Corp**

Single owner LLC select  
Sole Proprietorship above.

**Vendor Name/Business Name:** \_\_\_\_\_

SSN \_\_\_\_\_

**Address 1:**

☐

**Location/Mailing**

☐

**Billing/Payment**

☐

**Other** \_\_\_\_\_

**Address 2:**

☐

**Location/Mailing**

☐

**Billing/Payment**

☐

**Other** \_\_\_\_\_

**Phone:**

Primary/Cell: \_\_\_\_\_

Orders/Cust Service: \_\_\_\_\_

Billing/Payments: \_\_\_\_\_

**Fax(s):** \_\_\_\_\_

**Email(s):** \_\_\_\_\_

**Contact Person/Rep Name and Contact Information:** (include special order/payment instructions)

\* Please return completed form and required attachment(s) to purchasing@rps205.com.

# CONFIRMATION OF CALLED INSPECTION RECORDS

☐ 2009 International Building Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Footing			
2.	Foundation			
3.	Concrete Slab / Under-floor			
4.	Lowest Floor Elevation			
5.	Framing			
6.	Lathe and Gypsum Board			
7.	Fire Resistant Penetrations			
8.	Energy Efficiency			
9.	Special Inspection			
10.	Final IBC			

☐ 2009 International Electrical Code (Appendix K) Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Prefabricated Assembly Evaluation Report			
2.	Underground			
3.	Rough-in			
4.	Final IEC			

☐ 2009 International Energy Conservation Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Foundation (thermal envelope)			
2.	Framing (thermal envelope)			
3.	Insulation (thermal envelope)			
4.	Rough-in "Okay to Cover" (mechanical, service water heating, electrical, lighting)			
5.	Final (mechanical, service water heating, electrical, lighting)			
6.	Final IECC			

☐ 2009 International Fire Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Final IFC			

☐ 2009 International Mechanical and Fuel Gas Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Prefabricated Assembly Evaluation Report			
2.	Underground Piping			
3.	Rough-in			
4.	Final IMC & IFGC			

# **AIA® Document A305™ – 1986**

## ***Contractor's Qualification Statement***

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

**SUBMITTED TO:**

**ADDRESS:**

**SUBMITTED BY:**

**NAME:**

**ADDRESS:**

**PRINCIPAL OFFICE:**

- ☐ Corporation
- ☐ Partnership
- ☐ Individual
- ☐ Joint Venture
- ☐ Other

**NAME OF PROJECT:** *(if applicable)*

**TYPE OF WORK:** *(file separate form for each Classification of Work)*

- ☐ General Construction
- ☐ HVAC
- ☐ Electrical
- ☐ Plumbing
- ☐ Other: *(Specify)*

### **§ 1 ORGANIZATION**

**§ 1.1** How many years has your organization been in business as a Contractor?

**§ 1.2** How many years has your organization been in business under its present business name?

**§ 1.2.1** Under what other or former names has your organization operated?

**§ 1.3** If your organization is a corporation, answer the following:

**§ 1.3.1** Date of incorporation:

**§ 1.3.2** State of incorporation:

### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.



§ 1.3.3 President's name:  
§ 1.3.4 Vice-president's name(s)

§ 1.3.5 Secretary's name:  
§ 1.3.6 Treasurer's name:

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization:  
§ 1.4.2 Type of partnership (if applicable):  
§ 1.4.3 Name(s) of general partner(s)

§ 1.5 If your organization is individually owned, answer the following:

§ 1.5.1 Date of organization:  
§ 1.5.2 Name of owner:

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

## § 2 LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

## § 3 EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

§ 3.4.1 State total worth of work in progress and under contract:

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

§ 3.5.1 State average annual amount of construction work performed during the past five years:

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

#### § 4 REFERENCES

§ 4.1 Trade References:

§ 4.2 Bank References:

§ 4.3 Surety:

§ 4.3.1 Name of bonding company:

§ 4.3.2 Name and address of agent:

#### § 5 FINANCING

§ 5.1 Financial Statement.

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:

§ 5.1.3 Is the attached financial statement for the identical organization named on page one?

§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidary).

§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

## § 6 SIGNATURE

§ 6.1 Dated at this    day of

Name of Organization:

By:

Title:

§ 6.2

M    being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this    day of

Notary Public:

My Commission Expires:

# DRAFT AIA® Document A101™ – 2017

## *Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum*

AGREEMENT made as of the « » day of « » in the year « »  
(In words, indicate day, month and year.)

BETWEEN the Owner:  
(Name, legal status, address and other information)

Board of Education  
Rockford School District No. 205  
Winnebago and Boone Counties, Illinois  
501 Seventh Street  
Rockford, Illinois 61104

Project Manager: To be identified by Owner

and the Contractor:  
(Name, legal status, address and other information)

for the following Project:  
(Name, location and detailed description)

Project of the Rockford Public Schools as identified in the Invitation for Bid No. \_\_\_\_  
("Invitation for Bid")

The Architect:  
(Name, legal status, address and other information)

**APPLICATION:** A written and signed contract may be required by Owner. In the event no such written contract is required, the terms of this Agreement with all other terms and conditions certifications and forms contained in the Invitation for Bid and any Owner issued Addenda will comprise the Contract terms.

The Owner and Contractor agree as follows.

Owner is an Illinois public school district. This Contract is the result of the award of a publicly advertised Invitation for Bid issued by Owner pursuant to the provisions of the Illinois School Code pertaining to public contracts, particularly the provision of 105 ILCS 5/10-20.21. The Invitation for Bid, all Owner issued Addenda thereto and Contractor's Bid form a part of this Contract. The terms of Illinois statutes applicable hereto and policies of the Owner shall govern all terms and conditions of this Contract as though fully set forth herein.

**ADDITIONS AND DELETIONS:** The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

The parties should complete A101™-2017, Exhibit A, Insurance and Bonds, contemporaneously with this Agreement. AIA Document A201™-2017, General Conditions of the Contract for Construction, is adopted in this document by reference. Do not use with other general conditions unless this document is modified.

**ELECTRONIC COPYING** of any portion of this AIA® Document to another electronic file is prohibited and constitutes a violation of copyright laws as set forth in the footer of this document.

## TABLE OF ARTICLES

- 1 THE CONTRACT DOCUMENTS
- 2 THE WORK OF THIS CONTRACT
- 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
- 4 CONTRACT SUM
- 5 PAYMENTS
- 6 DISPUTE RESOLUTION
- 7 TERMINATION OR SUSPENSION
- 8 MISCELLANEOUS PROVISIONS
- 9 ENUMERATION OF CONTRACT DOCUMENTS

## EXHIBIT A INSURANCE AND BONDS

### ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, the documents contained within the Invitation for Bid, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

### ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

### ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The milestone dates of the Work shall be:

Commencement of Construction:

Substantial Completion:

Final Completion:

If a date of commencement of the Work is not provided above, the milestone dates shall be as included in the Invitation for Bid or any Owner issued Addenda; if none provided, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.

#### § 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work on or before the date set forth in Section 3.1.

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the date specified in the Invitation for Bid or Owner issued Addenda.

### § 3.3.3 Intentionally Deleted

## ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum shall be the amount of \_\_\_\_\_ and 00/100 Dollars (\$\_\_\_\_\_.00). If blank, the Contract Sum shall be the amount contained in Contractor's Bid as awarded by Owner to Contractor, subject to additions and deductions as provided in the Contract Documents.

### § 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum shall be as follows (if none included in this section, then the Alternates included in the Contractor's Bid as awarded by Owner to Contractor):

Item	Price

(All Alternates above are included in Contract Sum provided in § 4.1, above.)

### § 4.2.2 Intentionally Deleted

§ 4.3 Allowances, if any, included in the Contract Sum (if none listed, then the allowances included in Contractor's Bid as awarded by Owner to Contractor):

Item	Price

§ 4.4 Unit prices, if any (if none listed, then the unit prices included in Contractor's Bid as awarded by Owner to Contractor):

Item	Units and Limitations	Price per Unit (\$0.00)

### § 4.5 Intentionally Deleted

### § 4.6 Intentionally Deleted

## ARTICLE 5 PAYMENTS

### § 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to and approved by the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make payments on account of the Contract Sum to the Contractor pursuant to Owner's policies and procedures as included in the Invitation for Bid and as provided elsewhere in the Contract Documents.

§ 5.1.2 Contractor shall submit a schedule of values to the Architect before submitting the first payment request on AIA Document G703-2017 (or current) as required in the Supplementary Conditions. Contractor shall submit not more than one Application for Payment per month. The period covered by each Application for Payment shall be one calendar month ending on the last day of the month. Contractor shall submit a draft Application for Payment on or before the date(s) established by the Project Manager. A supplemental Application for Payment may be required by Owner at the end of a school year (June 30).

§ 5.1.3 Payments to Contractor shall be made according to the provisions contained in the Invitation for Bid and Owner's policies and procedures; provided, that Owner shall comply with the Local Government Prompt Payment Act (50 ILCS 505 et seq.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to



substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 In accordance with AIA Document A201™–2017, General Conditions of the Contract for Construction as revised by Owner, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall be the amount of Architect approved certificate for payment computed as follows:

- .1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values; and
- .2 That portion of changes in the Work pending final determination of cost to Owner and in accordance with Section 6 of the Supplementary Conditions; amounts not in dispute shall be included; and
- .3 The amount, if any, in accordance with and approved in writing for delivery of goods and materials stored offsite pursuant to the Supplementary Conditions Section 21.

§ 5.1.6.2 The amount of each payment shall be reduced by:

- .1 The aggregate of any amounts previously paid by the Owner;
- .2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017, as revised by Owner;
- .3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
- .4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017 as revised by Owner; and
- .5 Retainage withheld pursuant to Section 5.1.7.

§ 5.1.7 Retainage

§ 5.1.7.1 For each payment made prior to Substantial Completion of the Work, the Owner may withhold the amount of ten percent (10.00%), as retainage, from the payment otherwise due.

§ 5.1.7.1.1 Intentionally Deleted

§ 5.1.7.2 Contractor may request a reduction in retainage according to the provisions of the Supplementary Conditions Section 7.

§ 5.1.7.3 Intentionally Deleted

§ 5.1.8 Intentionally Deleted

§ 5.1.9 Except with the Owner's prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered to the site or incorporated into the Work.

§ 5.2 Final Payment

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Article 12 of AIA Document A201-2017 as revised by Owner, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.



§ 5.2.2 The Owner's final payment to the Contractor shall be made after the issuance of the Architect's final Certificate for Payment and within the time provided in the Invitation for Bid and in accordance with the Local Government Prompt Payment Act.

§ 5.3 Intentionally Deleted

## ARTICLE 6 DISPUTE RESOLUTION

### § 6.1 Initial Decision Maker

The Architect will serve as the Initial Decision Maker pursuant to AIA Document A201-2017 as revised by Owner

### § 6.2 Binding Dispute Resolution

For any Claim subject to, but not resolved by, mediation or arbitration pursuant to AIA Document A201-2017 as revised by Owner, the method of binding dispute resolution shall be litigation in a court of competent jurisdiction.

Owner and Contractor may but shall not be required to submit claims to arbitration or mediation. If Owner and Contractor each independently agree to mediation or arbitration, such arbitration or mediation shall proceed according to the provisions of AIA Document A201-2017 as revised by Owner. Arbitration may be requested by either party in writing. If the responding party declines to arbitrate or mediate or fails to respond to the written request within 7 days of receipt, the sole method of dispute resolution for such claim shall be litigation in a court of competent jurisdiction.

Jurisdiction for all purposes of this Agreement and all parties hereto shall be the laws of the State of Illinois and venue shall lie in Winnebago County, Illinois.

## ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated or suspended by the Owner as provided in AIA Document A201-2017 as revised by Owner and further as provided in the Invitation for Bid.

§ 7.1.1 Intentionally Deleted

## ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201-2017 as revised by Owner or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner's representative:

*(Name, address, email address, and other information)*

«Michael Phillips  
Chief of Operations  
Rockford Public Schools  
501 Seventh Street  
Rockford, Illinois 61104»

«The Project Manager: As identified by Owner.»

§ 8.3 The Contractor's representative:

*(Name, address, email address, and other information)*

«As identified on Contractor's Bid.»

§ 8.4 Neither the Owner's nor the Contractor's representative shall be changed without ten days' prior notice to the other party.

### § 8.5 Insurance and Bonds

§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in the Contract Documents.

§ 8.5.2 The Contractor shall provide performance and labor and materials payment bonds as set forth in the Contract Documents.

§ 8.6 Intentionally Deleted

§ 8.7 Intentionally Deleted

## ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:

- .1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor as revised by Owner; provided that Owner may require the execution of a written Contract in which event that document shall be part of the Agreement and this form AIA Document A101-2017 deleted.
- .2 AIA Document A201™–2017, General Conditions of the Contract for Construction as revised by Owner and included in the Invitation for Bid and the Addendum.
- .3 The terms and conditions included in the advertised Invitation for Bid including the Owner's General Terms and Conditions, Supplemental Instructions to Bidders, Addendum to Contract, and Supplementary Conditions.
- .4 Drawings and specifications as included in the advertised Invitation for Bid.
- .5 Addenda, if any, issued by Owner prior to bid opening:

Number	Date	Pages

This Agreement entered into as of the day and year first written above.

OWNER  
Board of Education  
Rockford School District No. 205  
Winnebago and Boone Counties

CONTRACTOR

« »« »

\_\_\_\_\_  
President

« »« »

\_\_\_\_\_  
Secretary

## ADDENDUM

**ADDENDUM TO A CERTAIN CONTRACT FOR CONSTRUCTION BY AND BETWEEN \_\_\_\_\_  
AND THE BOARD OF EDUCATION OF ROCKFORD SCHOOL DISTRICT  
No. 205, WINNEBAGO AND BOONE COUNTIES, ILLINOIS**

**THIS ADDENDUM IS ATTACHED TO AND MADE A PART OF SUCH CONTRACT**

This Addendum is attached to and made a part of the contract for construction ("Agreement") dated \_\_\_\_\_ between \_\_\_\_\_ ("Contractor"), and the Board of Education ("School Board") of Rockford School District No. 205, Winnebago and Boone Counties, Illinois ("District") (\_\_\_\_\_. Project (IFB # \_\_\_\_ - \_\_\_\_)).

**1. Conflict.**

In the event of conflict between the terms of the Agreement and this Addendum, the terms of this Addendum shall govern.

**2. Certifications.**

Upon or prior to execution of this Addendum, Contractor shall deliver to the District the following fully executed Certifications in the form as advertised by the District or as otherwise required by the District:

1. Certificate regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion;
2. Bid Rigging Certification;
3. Certificate Regarding Lobbying;
4. Certification regarding the Office of Foreign Asset Control;
5. Certified Cleared Employee List;
6. Vendor Conflict of Interest Disclosure Form;
7. Asbestos Notification;
8. Bidder's Certifications;
9. Contractor's Qualification Statement;
10. IRS Form W-9;
11. Certificate of Liability Insurance Acord form 25;
12. Performance Bond;
13. Labor and Materials Payment Bond;
14. Minority and Women Owned Business Concern Representation

By execution of this Addendum, Contractor represents and warrants that the certifications set forth in certificates 1 through 14 shall remain true at all times during the existence of this Addendum and the Agreement and shall immediately notify the District in the event Contractor becomes subject to debarment, suspension, ineligibility, or voluntarily excludes itself from federal programs; or, becomes barred from participation in public contracts due to a violation of the bid-rigging or bid-rotating statutes of the State of Illinois, or in the event an employee of Contractor becomes ineligible to be present on District grounds. Contractor further certifies by execution of this Agreement that it shall comply, if the Project is funded in whole or in part with federal grant funds, with the **Enhancement of Whistleblower Protection for Contractor and Grantee Employees** applicable to Contractors under federal grant funded programs as specified in 41 U.S.C. 4712 (Public Law 114-261, enacted December 14, 2016). Contractor shall comply with the following requirements of such Act if providing services funded by federal grants:

1. Inform its employees working on the Projects they are subject to the Whistleblower rights and remedies of the pilot program;
2. Inform its employees in writing of employee whistleblower protections under 41 U.S.C. §4712 in the predominantly native language of the workforce; and
3. Include such requirements in and agreements made with any subcontractor.

Whistleblower rights include that an employee of a Consortium may not be discharged, demoted, or otherwise discriminated against as a reprisal for "Whistleblowing"; and such rights cannot be waived by agreement, policy, form or condition of employment. Whistleblowing is defined as making a disclosure to an authorized person or entity that the employee reasonably believes is evidence of:

1. Gross mismanagement of a federal contract or grant;
2. A gross waste of federal funds;
3. An abuse of authority relating to a federal contract or grant;
4. A substantial and specific danger to public health or safety; or
5. A violation of law, rule, or regulation related to a federal contract or grant (including competition for, or negotiation of, a contract or grant).

**3. Conflict of Interest.**

Contractor and its officers, employees and agents shall at all times during the duration of this Addendum and the Agreement refrain from violation of conflict of interest statutes in the state of Illinois.

**4. Employment Costs and Compliance with Laws.**

Contractor shall keep and perform and be solely responsible for all the duties and responsibilities of an employer in the state of Illinois including without limitation providing and paying for Unemployment Compensation coverage and Workers Compensation coverage for its employees. Contractor herewith stipulates and agrees that all persons acting by and through Contractor are employees of Contractor or its consultants, and not the District, and Contractor shall keep and hold harmless the District from and against any and all claims relating to employment matters of Contractor employees. Contractor herewith expressly stipulates and agrees that it will adhere to and abide by all Federal, State and local laws, ordinances, regulations and rules applicable to its performance under the Addendum and Agreement. Contractor is an "independent contractor" and the Agreement and this Addendum shall not create nor infer an employer/employee relationship between the District and Contractor. Contractor shall bear all risk of loss and remain liable for any Federal or State Income, Social Security, Unemployment Compensation and Workers Compensation taxes, contributions or deductions and shall indemnify the District, its Board members, agents, officers, employees, successors and assigns for any liability including interest and penalties and attorney's fees, if any, assessed against the District as a result of any violation of this provision.

**5. Certifications of Hours Worked.**

In all circumstances where Contractor seeks payment based upon an hourly rate for itself or its consultants, time cards or time records of such person or persons for whom such hourly rate compensation is requested shall be kept and maintained by Contractor. At any time or times, at the election of the District, the District may inspect and audit all time records kept by Contractor. Each submission of requests for payment of hourly rate amounts shall be accompanied by a certification under oath that the payment requested is for time actually worked which has been verified by Contractor.

**6. Drugs, Alcohol and Smoking.**

The District maintains a drug-free and alcohol-free workplace. Contractor shall prohibit the use of drugs and alcohol on District premises at all times. The District also maintains all its properties as smoke free, tobacco free environments. Smoking (including electronic cigarettes and e-vapor) and tobacco use (including chewing tobacco and snuff) is not permitted on any District property; Contractor shall require all its employees, agents and representatives and its consultants to refrain from smoking and tobacco use on District property.

6.1 No Contractor employee, agent, representative, consultant and consultant's employees, agents and representatives may use, possess, distribute, deliver, or be under the influence of a drug, or use or be under the influence of alcohol, while performing work on a public works project. An employee is considered to be under the influence of alcohol for purposes of this Act (820 ILCS 265) if the alcohol concentration in his or her blood or breath at the time alleged as shown by analysis of the employee's blood or breath is at or above 0.02.

6.2 Contractor acknowledges and agrees that the provisions and requirements established by the Substance Abuse Prevention on Public Works Projects Act has been complied with and Contractor has in place all requirements for testing of its employees suspected of or challenged to be tested by the District as provided under the Act (820 ILCS 265).

**7. Contract Payments.**

All contract payments shall be processed in the manner specified in the Agreement or the IFB. The Board of Education regular meetings generally occur on the second and fourth Tuesdays of each month (except one meeting in July and December) as specified by the Board Calendar. The Board Calendar may be accessed at the District web site [www.rps205.com](http://www.rps205.com). Payment applications by the Contractor must be received in the District Finance

Department not less than 10 business days prior to a scheduled Board meeting for the approved application for payment to be considered at that Board meeting. Contractor shall further submit a pencil draft of any payment application to the Architect no less than twenty-three (23) days prior to a scheduled Board meeting to allow for Architect's and Owner's review of the payment application and implementation of any necessary revisions by Contractor.

The Board of Education will comply with the provisions of the Local Government Prompt Payment Act, 50 ILCS515/1 *et seq.*

**8. Miscellaneous.**

8.1 To the fullest extent permitted by applicable law, Contractor and its employees and consultants shall and do agree to indemnify and hold harmless the District, and its respective Board members, officers, directors, representatives and employees as specified in the conditions of the bid set forth in the IFB.

8.2 Notwithstanding any other provision in any document, the District shall not, in any manner, be deemed or intended to have waived any claim by making a payment of any amount.

8.3 The Certificate of Insurance and all insurance policies required to be obtained by Contractor shall provide that coverages afforded under the policies will not be cancelled, reduced or allowed to expire without at least thirty (30) days prior written notice to the District.

8.4 Under no circumstances shall the District be deemed to have waived any of the insurance requirements of this Agreement by any action or omission.

8.5 Subject to the waiver of subrogation as may otherwise apply by agreement, nothing contained in the insurance requirements of the Agreement is to be construed as limiting the liability of Contractor or any of its insurance carriers. District does not represent that the coverages or limits of insurance specified is sufficient or adequate to protect the District or Contractor's interest or liabilities but are mere minimums. The obligation of Contractor to purchase insurance shall not limit its obligations to the District in the event the District should suffer an injury or loss in excess of the amount recoverable through insurance, or any loss or portion of loss which is not covered by insurance.

8.6 Contractor shall notify District, in writing, of any actual or potential claim for personal injury or property damage relating to the Project and of any occurrence which might give rise to such claim, promptly upon receiving first knowledge of same.

8.7 Contractor agrees to fully comply with the requirements of the Illinois Human Rights Act, 775 ILCS 5/1-101 *et seq.*, including but not limited to the provisions regarding sexual harassment policies and procedures under Section 2-105 of said Act. Contractor further agrees to comply with all federal Equal Employment Opportunity laws including, without limitation, the American's with Disabilities Act and the rules and regulations promulgated thereunder. Pursuant to the requirements of the regulations of the Illinois Department of Human Rights (Department), Title 44, Part 750 of the Illinois Administrative Code and to the extent applicable Contractor will comply with Illinois human rights laws. In the event of non-compliance with the provisions of this Equal Employment Opportunity Clause, the Illinois Human Rights Act or the rules and regulations of the Illinois Department of Human Rights, this Agreement may be cancelled or voided in whole or in part, and Contractor acknowledges that it may be subject to further sanctions or penalties imposed by the Illinois Human Rights Commission, as provided for in the Illinois Human Rights Act, and to such other sanctions or penalties may be imposed or remedies invoked as provided by statute or regulations. During the performance of this Agreement, Contractor agrees:

A. It will not discriminate against any employee or applicant for employment because of race, color, religion, creed, sex, marital status, national origin or ancestry, age, citizenship, physical or mental handicap or disability, military status, or an unfavorable discharge from military service or arrest record status; and further that it will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any such underutilization.

B. If it hires additional employees in order to perform this Agreement it will determine the availability (in accordance with applicable agency rules) of minorities and women in the areas(s) from which it may reasonably recruit and it will hire for each job classification for which employees are hired in such a way that minorities and women are not underutilized.

C. In all solicitations or advertisements for employees placed by it or on its behalf, it will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, marital status, national origin, ancestry, age, physical or mental handicap unrelated to ability, or an unfavorable discharge from military service.

D. It will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining agreement or understanding, a notice advising such labor organization or representative of Contractor's obligation under the Illinois Human Rights Act and the Rules of the Department. If any such labor organization or representative fails or refuses to cooperate with Contractor in its efforts to comply with such Act and Rules, Contractor will promptly so notify the Department and the contracting agency and will recruit employees from other sources when necessary to fulfill its obligations thereunder.

E. Contractor will submit reports as required by the District's rules, furnish all relevant information as may, from time to time, be requested by the Department or the District, and in all respects comply with the Illinois Human Rights Act and the Department rules.

F. Contractor will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Department for purposes of investigation to ascertain compliance with the Illinois Human Rights Act and Department rules.

G. Contractor will include verbatim or by reference the provisions of this clause in every subcontract it awards under which any portion of the Agreement obligations are undertaken or assumed. In the same manner as with other provisions of the Agreement, Contractor will be liable for compliance with applicable provisions of this clause by its consultants or contractors; and further it will promptly notify the contracting agency and the Department in the event any consultant or contractor fails or refuses to comply therewith. In addition, Contractor will not utilize any consultant or contractor declared by the Illinois Human Rights Commission to be ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

8.8 Weapons. Neither Contractor nor any of its employees, officers, agents or representatives shall be in possession of any firearm or weapon (as defined by the District's designated representative) while on District premises, including on the person or within any vehicle parked on or adjacent to any District property. Violation of this section may result in immediate removal from District premises and referral to local law enforcement.

#### **9. Federal Funds Contract.**

The Agreement provides for the construction of certain improvements on behalf of the District identified as the Projects. If the Projects are funded in whole or in part by federal grant funds the Projects are subject to certain rules and regulations as may be contained in the regulations of the funding agency, in the federal common rule as set forth in 45 CFR Part 92, and in the grant award. Contractor agrees to abide by all such rules and regulations as part of its services.

#### **10. Representation of Authority.**

Contractor herewith covenants, represents and warrants that the person executing this Addendum and the Agreement and any and all amendments hereto and thereof, as and if such may occur, are fully empowered to execute this Addendum, the Agreement and any amendments thereto in such fashion as to fully and completely bind Contractor to these agreements and undertakings. The signature on this Addendum and the Agreement further serves to assure the District that any and all action necessary by law, and under the terms of Contractor's by-laws or operating agreement, and pursuant to the policies of Contractor have been taken prior to execution of this document on behalf of Contractor. The signatures on the Agreement and this Addendum are a representation that the Contractor is a corporation in good standing in the state of Illinois. This representation, covenant and warranty are made by Contractor with the intent that the District fully rely hereon and as an inducement to the District to execute this Addendum and the Agreement.

#### **11. Entire Agreement.**

The Agreement together with all its Exhibits and this Addendum shall constitute the complete understanding between the parties and no other or further agreement shall be or constitute an amendment to or modification of this Agreement absent the same being reduced to writing and executed by both parties hereto. This

Agreement shall be interpreted and enforced under the laws of the State of Illinois and jurisdiction and venue for all purposes shall lie in Winnebago County, Illinois.

**12. Use of Digital Exhibits.**

The final contract signed by the District and the Contractor may have digital exhibits attached. In the event of a discrepancy or conflict between digital exhibits and the published specifications and drawings, the specifications and drawings as published and advertised by the District under IFB # \_\_\_\_-\_\_\_\_ and any issued Addenda thereto shall control.

**13. Program/Project Management.**

The District has ongoing construction projects both independent of and included within its Facility Master Plan. The District may, in its discretion, obtain the services of a third-party Program Manager or self-manage its construction projects. In the event a third-party program or project manager is engaged by the District; the third-party program or project manager will be identified by the District in the Agreement. District self-managed projects will be identified by the District in the Agreement and its designated project manager disclosed. The term Program Manager, as contained within the General Conditions document attached as an exhibit to the Agreement shall include both engaged third-party program or project managers and District employee project managers. The District reserves the right to change its project management at any time in its discretion.

DISTRICT:

CONTRACTOR:

BOARD OF EDUCATION  
ROCKFORD SCHOOL DISTRICT NO. 205  
WINNEBAGO AND BOONE COUNTIES, ILLINOIS

[\_\_\_\_\_]

By: \_\_\_\_\_  
Its: President

By: \_\_\_\_\_  
Its: President

Attest: \_\_\_\_\_  
Its: Secretary

Attest: \_\_\_\_\_  
Its: Secretary



# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)  
12/20/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Agency Name Address Info	CONTACT NAME: AGENT NAME AND CONTACT INFORMATION	
	PHONE (A/C, No, Ext):	FAX (A/C, No):
INSURED DEF CONSTRUCTION COMPANY 456 MAIN STREET ANYWHERE, IL U.S.A.	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	
	INSURER A: A VII or Higher Rated Ins. Co.	
	INSURER B:	
	INSURER C:	
	INSURER D:	
INSURER E:		
INSURER F:		

## COVERAGES

CERTIFICATE NUMBER: 1608124671

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input checked="" type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:	Y		00-00-00	1/1/2018	1/1/2019	EACH OCCURRENCE \$1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$50,000 MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$3,000,000 PRODUCTS - COMP/OP AGG \$3,000,000 \$
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY	Y		00-00-00	1/1/2018	1/1/2019	COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
A	<input type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> EXCESS LIAB <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS-MADE DED <input type="checkbox"/> RETENTION \$	Y		00-00-00	1/1/2018	1/1/2019	EACH OCCURRENCE \$5,000,000 AGGREGATE \$5,000,000 \$
A	<input checked="" type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below	Y/N	N/A	00-00-00	1/1/2018	1/1/2019	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$1,000,000 E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT \$1,000,000
A	Professional Liability			00-00-00	1/1/2018	1/1/2019	Per Claim 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

SCHOOL DISTRICT 999 is named as additional insured on a primary and non-contributory basis as their interests may appear except for workers compensation. Umbrella or Excess Liability provides follow form coverage. Notice of Cancellation/Material change, if applicable, should be attached to Certificate of Insurance or otherwise evidenced as in effect under the policies listed.

## CERTIFICATE HOLDER

## CANCELLATION

SCHOOL DISTRICT 999 789 MAIN STREET ANYWHERE U.S.A.	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE

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# **PRE-DEMOLITION ASBESTOS ABATEMENT PROJECT MANUAL**

**Carnow Conibear Project Number:** A139670195

**FOR:** Rockford Public Schools  
501 7<sup>th</sup> Street  
Rockford, Illinois 61104

**AT:** Page Park School  
5949 Safford Road  
Rockford, Illinois 61101

**PROJECT:** Pre-Demolition Asbestos Abatement

**ENVIRONMENTAL CONSULTANT:**

**CARNOW, CONIBEAR & ASSOC., LTD.**  
600 W. Van Buren Street, Suite 500  
Chicago, IL 60607  
(312) 762.2900  
(312) 782-5145 (fax)

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Prepared by:



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Rod Harvey, PE, CIH, CSP  
Project Designer  
IDPH #100-01548

August 26, 2021

**CARNOW  
CONIBEAR**

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**SPECIFICATION SECTION 02135**

**ASBESTOS ABATEMENT FOR PRE-DEMOLITION**

## SECTION 02135 - ASBESTOS ABATEMENT FOR PRE-DEMOLITION

### PART 1 - GENERAL

#### 1.1 Introduction

- A. Asbestos abatement work prior to demolition is required to follow IEPA NESHAP rules. This specification is intended to provide for the removal of friable and Category I and II non-friable asbestos-containing materials prior to a structural demolition. Abatement of these items is specified in this section. When only a portion of the structure is being demolished, related paragraphs in the Interior Abatement section may be referenced or included for barrier walls or related ACM in the areas to remain.

#### 1.2 Definitions: In addition to the terms listed below, all definitions in the laws and regulations listed in Section 1.5 are incorporated by reference, whether or not restated herein.

- A. Abatement Contractor (AC) means the entity responsible for performing the work in this section and has the training and accreditation to competently perform the work. This entity will obtain and maintain licenses required for the indoor work in this section.
- B. Asbestos Abatement Supervisor, hereinafter referred to as “supervisor” means any person who supervises asbestos abatement workers. This person must be trained, accredited, and licensed as required, and must also meet OSHA “competent person” criteria for asbestos abatement.
- C. Rockford Public Schools District 205 (RPS205) means the owner and the authority ordering the work specified herein.
- E. Environmental Project Manager (EPM) is the person selected by RPS205 to perform environmental monitoring, and acts on behalf of RPS205 or its agents on the project.
- F. HEPA Filter means a High Efficiency Particulate Air filter capable of trapping 99.97% percent of particles greater than 0.3 micrometers in mass median aerodynamic equivalent diameter.
- G. IDPH means the Illinois Department of Public Health.
- H. Carnow Conibear & Assoc., Ltd. means the entity with overall responsibility for the environmental aspects of the project, including design, organization, direction, and control as well as investigations, assessments, and supervision of project management.
- I. SDS means Safety Data Sheet, required by OSHA for any chemical in the workplace that that could be expected to cause an exposure to workers during normal use or in emergency situations.

- J. Owners' Representative (OR) means the entity responsibility for overall project coordination and completion.
- J. Plasticize means to apply plastic sheeting over surfaces or objects to protect them from contamination or water damage.
- K. PPE (Personal Protection Equipment) means the protective suits, head and foot covers, gloves, respirators and other items used to protect persons from asbestos or other hazards.
- L. Work Area means the area or areas where asbestos abatement is being conducted.

### 1.3 Scope of Work.

Refer to Environmental Scope Forms and Drawings included in Appendices.

### 1.4 Work Included

- A. The work includes all labor, equipment, materials, and supplies necessary to perform the scope of work in the documents by the procedures described herein. The contractor, by submitting a bid for the work, represents itself as knowledgeable and expert in the performance of the work, and includes all things usually and customarily necessary to provide a complete and finished job, whether specifically mentioned or not. Related work may be shown in other related documents, prepared by others, if applicable.
- B. Removal of friable and non-friable asbestos-containing materials listed in the documents, including isolating the work areas, protection of adjacent areas, cleanup, proper packaging and disposal of wastes, and all other steps necessary to complete the scope of work.
- C. Repair or replacement of damaged surfaces, fixtures, or furnishings in portions of the structure that will not be demolished, if any, to restore them to their pre-existing condition to the satisfaction of RPS205.
- D. Compliance with all applicable laws, regulations, standards, and these specifications. In the case of a conflict, the contractor will comply with the most stringent.
- E. All licenses, accreditations, permits, fees, notifications, reports, or other documents required by law, regulation, this specification, or the Documents.
- F. Provide project closeout documentation to the EPM within thirty (30) days after final clearance. This documentation shall include, but is not limited to, items listed in paragraph 1-7, Submittals.

### 1.5 Laws, Regulations and Standards

A. The following laws, regulations, and standards are incorporated by reference:

1. 105 ILCS 105: Illinois Asbestos Abatement Act
2. 77 Ill. Adm. Code 855: Asbestos Abatement for Public and Private Schools and Commercial and Private Buildings in Illinois
3. 29 CFR 1910: US OSHA General Industry Standards
4. 29 CFR 1926: US OSHA Construction Standards
5. 29 CFR 1926.1101: US OSHA Asbestos Construction Standards
6. 40 CFR Part 61: US EPA National Emissions Standards for Hazardous Air Pollutants (NESHAP), 11/90 revision
7. 40 CFR 763 Subpart E, US EPA Asbestos Model Accreditation Plan (MAP): Appendix C - Interim Final Rule

#### 1.6 Assessment, Monitoring, Testing and Analysis

A. Carnow Conibear will perform inspection, testing and design services prior to the start of work, and monitoring during the project and upon its completion:

1. Prior to the start of the work

Carnow Conibear shall identify suspect materials and confirm their asbestos content through review of the school's management plan or by testing.

- b. Carnow Conibear will design the project and address any design changes as requested.
- c. Carnow Conibear shall collect background air samples (as necessary) before conditions are disturbed. Background samples will be analyzed by PCM.

2. During the work, Carnow Conibear shall:

- a. Observe the work with sufficient frequency to ensure contractor compliance with the specifications.
- b. Assure that all personnel and visitors have the proper current medical screening, respirator fit test, and training for their respective duties prior to entering a regulated area.
- c. Collect air samples in and around the work area, as needed, to verify exposure conditions.
- d. Carnow Conibear may stop the work if airborne asbestos concentrations at the work area perimeter exceed 0.01 f/cc. Contractor will be responsible for taking corrective action to reduce exposure levels and prevent recurrence, and cleaning adjacent areas that become contaminated by the asbestos abatement activities.

3. Upon completion of the work, Carnow Conibear shall:

- a. Visually inspect for visible debris. Contractor shall be required to re-clean the area or portions of areas until no visible debris remains.
- b. Conduct final clearance testing as required.
- c. Prepare the project report.

- B. The Contractor shall provide OSHA compliance air monitoring to determine exposures to its employees in accordance with OSHA 29 CFR 1926.1101. Frequency of testing will comply with OSHA requirements for the anticipated and actual exposure levels.
  - 1. A written Exposure Assessment may be provided prior to the start of the work to determine the requirements for respiratory protection and frequency of OSHA monitoring for each type of activity. The contractor should note that a Negative Exposure Assessment (NEA) may be possible for many tasks.
  - 2. Analysis may be performed on site.
- C. Credentials required for testing and analysis of PCM air samples:
  - 1. Accreditation by AIHA or AAR; or
  - 2. Participation in the Proficiency Analytical Testing (PAT) program.
  - 3. Certification of individual qualification to read samples on site when on site analysis is conducted.

#### 1.7 Submittals by the Contractor:

The following shall be submitted to the MEC no less than 10 days prior to the start of the asbestos abatement work activities.

- A. Ten (10) day NESHAP notification to the Illinois EPA when the asbestos quantities reach or exceed 260 linear feet or 160 square feet. Two (2) day IDPH notification for asbestos abatement quantities less than 260 linear feet or 160 square feet. NESHAP notification may not be applicable for residential properties.
  - 1. Ten (10) day IEPA Asbestos Notification on revised form, including inspector license number and landfill permit number.
  - 2. Evidence that all contractor employees in the work areas are licensed per IDPH and accredited in accordance with OSHA, NESHAP, and EPA MAP requirements:
    - a. Current Annual refresher training certificate.
    - b. Current IDPH asbestos license
    - c. Current physicians written opinion
    - d. Current respirator fit test for negative pressure respirators when respirators are used.
  - 3. Copy of OSHA exposure assessment, if available.
  - 4. Documentation confirming arrangements for the transportation and disposal of asbestos containing waste.
  - 7. Safety Data Sheets (SDS) for any chemicals to be used on site.
  - 8. Work schedule identifying duration of project and any project phasing and drawings identifying the isolation of work areas.
- B. Prior to beginning work, the AC shall submit required notifications to applicable regulatory agencies for buildings where asbestos abatement will take place. The

AC will provide copies of all regulatory notices to Carnow Conibear within 24 hours of sending such notices to the regulatory authority.

C. PART 2 - Products

2.1 Tools and Equipment: All tools and equipment shall at least conform to minimum industry standards and IDPH regulations.

A. Equipment:

1. Negative Air Machines shall provide HEPA filtration and conform to ANSI Z9.2 fabrication criteria.
2. Respirators shall be NIOSH approved for use with lead, asbestos, or other contaminants anticipated in the work.
3. Contractor is fully responsible for complying with OSHA rules for other safety equipment, such as hard hats, safety harnesses, eye protection, gloves, footwear, and any other safety devices used on the site.
4. Pressure differential manometer with readable tape shall be provided by the contractor including calibration documentation.

B. Tools:

1. Shovels and scoops shall be rubber or plastic, suitable for use in plasticized containment. Metal shovels are not permitted.
2. Scrapers, brushes, utility knives and other hand tools shall be of good quality and suitable for the intended uses. The contractor shall keep an ample supply on hand for the completion of the work.
3. Power tools such as, but not limited to saws, pneumatic chisels, brushes, sanders, and needle guns shall be equipped with shrouds and HEPA-filtered local exhaust systems to capture released particles.

2.2 Materials: All materials shall at least conform to minimum industry standards and IDPH regulations.

B. Abatement materials

1. Poly sheeting for all applications shall be 6 mil nominal thickness for critical seals, floors, ceilings and drop cloths, and 4 mil for walls.
2. Tape shall be 2" or 3" duct tape or other waterproof tape suitable for joining poly seams and attaching poly sheeting to surfaces.
3. Spray adhesives shall be non-flammable and free of methylene chloride solvents.
4. Disposal bags shall be 6 mil.
5. Disposable suits, hoods, and foot coverings shall be TYVEK or similar.
6. Solvents shall be compatible with any primers, mastics, adhesives, paints, coatings, or other surfacing materials to be installed following their use.



## PART 3 - EXECUTION

### 3.1 Employee Training, Qualification and Medical Screening

- A. Supervisors and Workers shall be trained, accredited, and licensed in accordance with IDPH rules.
  - 1. Contractor shall keep copies of licenses, initial training course certificate, and most recent annual refresher training certificate at the jobsite at all times for all contractor personnel.
  - 2. A supervisor (competent person) shall be present at the worksite at all times when work under this section is being conducted.
- B. Medical Screening. All contractor personnel shall have a current medical examination in accordance with OSHA requirements. Copies of the Physician's Written Opinions shall be kept on site.

### 3.2 Permissible Exposure Limits

- A. The OSHA permissible exposure limit (PEL) for worker exposure to airborne asbestos is 0.1 f/cc as an 8-hour time-weighted average (TWA).
- B. The OSHA short term excursion limit for worker exposure to airborne asbestos is 1.0 f/cc for a 30-minute sample.

### 3.3 Exposure Assessment and Monitoring

- A. The Contractor shall make a written assessment of the potential airborne asbestos fiber exposures for this project. Assessments shall conform with OSHA requirements and may be based upon:
  - 1. Initial monitoring of representative workers who the contractor believes are exposed to the greatest airborne concentrations of asbestos, or
  - 2. Past monitoring (within the past 12 months) or objective data for conditions closely resembling the processes, type of material, control methods, work practices and environmental conditions to be used for this project, or
- B. The contractor shall perform personal monitoring in accordance with the following requirements:
  - 1. Initially, to establish an exposure assessment when past monitoring or objective data are not available for an initial determination.
  - 2. Periodically if the exposures are, or are expected to be, below the PEL.
  - 3. Daily, if exposures are above the PEL.
  - 4. Whenever there has been a change of equipment, process, control, personnel, or a new task has been initiated that may affect employee exposures, the exposure assessment shall be updated, and monitoring shall be re-instituted if exposures are unknown or are expected to exceed the PEL.

### 3.4 Respiratory Protection

- A. Respiratory protection shall be worn in accordance with all applicable regulations noted in section 1.5.

### 3.5 Hygiene Practices

- A. Eating, drinking, smoking, chewing gum or tobacco, and applying of cosmetics are not allowed in the work area.
- B. All persons entering the work area are required to wear appropriate PPE and follow the entry and exit procedures posted in the Personnel Decontamination Enclosure System.
- C. Personal Protection Equipment (PPE) is required when airborne exposures are or are expected to be above background levels or as needed to protect the safety of personnel and visitors. PPE includes:
  - 1. Full body disposable suits, headgear, and footwear.
  - 2. Gloves.
  - 3. Hardhats.
  - 4. Non-disposable footwear and clothing shall remain in the work area and shall be disposed of as contaminated waste when the job is completed.
  - 5. Authorized visitors shall be provided with suitable PPE when PPE is required in the work area. Carnow Conibear shall assure that visitors have proper and current medical screening and fit test, and awareness training or other appropriate training.
- D. A Personnel Decontamination Facility is required when removing friable asbestos containing materials or worker exposures are expected to exceed the PEL. The decontamination unit may be remotely located if not feasible to locate adjacent to the work area.
  - 1. When a remote decon unit is used, personnel shall use a double-suiting procedure for traveling between the work area and the decon. Persons shall HEPA-vacuum the exterior of their disposable suits at the entry to the work area, put on a clean suit over the existing suit, and proceed to the decon unit for shower decontamination and change into street clothes.
- E. When exposures are below the PEL, protective disposable suits are recommended, but not required. To exit, persons shall HEPA-vacuum down clothing at the work area entry and leave the work area. When disposable suits are used, they shall be HEPA-vacuumed, stripped off, and deposited in an asbestos disposal bag. Personnel may then leave the work area.

### 3.6 Prohibited Activities

- A. Dry removal or dry sweeping, except:

1. During freezing weather. In this case, temperature and weather conditions must be recorded at the start, during, and at the end of the shift.
  2. On roofs with 3:1 slope or greater. In this case, roofing shall be removed in an intact condition, as much as possible.
  3. For roofing areas of less than 25 square feet.
  4. When equipment damage or other hazard exists. In this case, written permission from IEPA is required prior to performing dry removal.
- B. Use of compressed air for cleaning.
- C. Use of high-speed power tools not equipped with a HEPA-filtered local exhaust or water spray system.
- D. Eating, drinking, smoking, chewing gum, or applying cosmetics in the work area.
- E. Removing respirators or other PPE in the work area.
- F. Contractor shall not salvage or recycle building materials unrelated to abatement scope of work.

### 3.7 Work Area Isolation and Preparation

- A. General Preparation. Contractor shall:
1. Post:
    - a. Caution signs meeting the specifications of OSHA 29 CFR 1926.1101 (k)(6) at any location and approaches to a location where airborne concentrations of asbestos may exceed ambient background levels.
    - b. Decontamination and work procedures in equipment rooms and clean rooms.
    - c. EPA NESHAP asbestos rules (40 CFR Part 61, subparts A & M) in the clean room.
    - d. OSHA Asbestos Construction Standards (29 CFR 1926.1101) in the clean room.
    - e. List of telephone numbers in the clean room for:
      - 1) local hospital and/or local emergency squad.
      - 2) school security office (if applicable).
      - 3) owner representative reachable 24 hours per day.
      - 4) contractor's headquarters.
      - 5) architects or consultants directly involved in the project.
  2. Secure the work area from entry by unauthorized persons.
- B. Exterior Preparation
1. 6 mil plastic sheeting shall be placed over the ground, foundation, or other surfaces below the abatement area.
  2. Unauthorized entry shall be prevented by using appropriate barriers, such as

- warning tape, fencing, or other suitable barriers.
3. Nearby air intakes, grilles, and other openings into the building interior areas not being demolished above, below, or besides the work area that could be exposed to airborne dust shall be closed or sealed off with poly and tape.
  4. All electric power in the work area shall be protected with ground-fault circuit interruptors.

### 3.8 Abatement Procedures

#### A. General Removal Requirements

1. Asbestos materials shall be wetted and kept wet during removal.
2. ACM shall be bagged or containerized as it is removed. Wastes shall not be dropped or thrown to the ground. Unless the material is carried or passed to the ground by hand, it shall be lowered via covered, dust-tight chute, crane, hoist, or other means that prevent the wastes from being dropped or thrown.
3. Appropriate OSHA fall protection shall be provided when appropriate:
  - a. Scaffolding more than one section high shall be equipped with handrails and midrails designed to provide fall protection, or full-body safety harnesses shall be worn and tied off to a secure anchor point.
  - b. Workers in manlifts shall wear full body harnesses and tie to the tie-off point provided on the manlift basket whenever the basket is elevated from ground level.
  - c. Personal fall protection consisting of full body harnesses, lanyards, and OSHA-compliant lifelines, anchorage, and deceleration devices shall be provided whenever personnel are within 6 feet of an opening, hole, or edge where there is a risk of falling 6 feet or more.

#### B. Door/Windows Caulks/Putty and Roofing Materials

1. General: Remove in an intact state to the extent feasible. ACM caulk, putty, adhesive, roof mastics, cements, underlayments, and flashings. Asbestos-containing materials may occasionally break even when removed carefully. The fact that otherwise intact materials become separated or broken does not by itself render them non-intact. However, if they become pulverized, reduced to powder or dust, they have become non-intact.
  - a. The contractor shall take care to minimize the amount of material damage during abatement related activities.
  - b. If the materials are rendered non-intact, the AC shall employ methods to contain the dust and debris and utilize hygiene practices appropriate for friable (OSHA Class I) ACM, including PPE, decontamination units, and monitoring. Monitoring may include area samples at the work area perimeter to determine that airborne asbestos fibers are not being released in concentrations above the PEL.
2. Built-up roofing:
  - a. Power cutting machines shall be equipped with a HEPA-filtered dust

- collection system or shall be misted during use.
    - b. Dust generated by the cutting operation shall be collected with HEPA vacuums or wet cleaning methods.
  - 3. Rigid roofing materials, such as cement asbestos shingles: remove intact and minimize breakage.
- C. Transite, Galbestos sheeting (galvanized metal with a baked-on asbestos paint), Asbestos/Cement pipe, or other rigid panels shall be removed intact using wet methods and applicable OSHA regulations.
- D. Other
  - 1. Coatings, electric cable insulation or joint coverings, and other miscellaneous materials that are to be removed with the substrate or that can be removed without becoming friable may be removed as intact (OSHA Class II, EPA NESHAP Category I or II non-friable) in accordance with procedures described in the "General" and "Roofing" Sections 3.8 A. and C.
  - 2. Coatings, and other miscellaneous materials that must be removed from the substrate or that otherwise will become friable must be removed as non-intact (OSHA Class I, EPA NESHAP friable) in accordance with procedures described in "General" and "Roofing" Sections herein.

### 3.9 Cleaning and Decontamination

- A. All visible accumulations of ACM, debris, tools, and unnecessary equipment shall be removed from the work area.
- B. Protective poly shall be folded in on itself, rolled up, placed in asbestos disposal bags, and disposed as asbestos waste.
- C. Surfaces which have been exposed to friable ACM or its dust shall be HEPA vacuumed.
- D. Dry sweeping of surfaces that have been exposed to friable ACM or its dust is not permitted.

### 3.10 Final Clearance

- A. Cleaning may be discontinued when there is no visible debris and area air monitoring results verify that exposures are below the PEL.
- B. Final (aggressive) clearance sampling will be conducted by Carnow Conibear. Each sample result, as determined by Phase Contract Microscopy, shall be less than or equal to 0.01 f/cc. If the sampling results indicate a concentration of airborne fibers in excess of this clearance criteria, the contractor shall re-clean the contained and/or regulated area. The contractor shall not be released until the contained and/or regulated work area meets the clearance criteria.

### 3.11 Waste Disposal and Equipment Load-out

- A. Category I and II non-friable waste may be adequately wetted and loaded in bulk into lined receptacles, such as dumpsters or trailers. Receptacles shall be closeable and lockable to provide security and to prevent air emissions. It is the abatement contractor's responsibility to determine and provide for more stringent manifesting or packaging requirements that may be imposed by transporters or landfills.
- B. Packaged friable asbestos wastes:
  - 1. Asbestos-containing wastes, including removed ACM and debris, poly, critical barrier materials, suits, respirator filters, vacuum HEPA filters, water filters, and other asbestos-containing items shall be properly packaged for disposal.
  - 2. Use 6 mil plastic bags with a gooseneck seal, drums, or other type of sealed container.
  - 3. Wrap large or irregular items in 6 mil poly sheeting and seal with tape.
  - 4. Sharp, jagged, or other items that may puncture poly shall be packaged in rigid impermeable containers such as drums or boxes or wrapped in burlap or other protective covering before sealing in bags or poly sheeting.
  - 5. Label containers for friable ACM waste:
    - a. OSHA warning label.
    - b. DOT performance-oriented hazardous material label.
    - c. Name and address of generator and abatement location.
- C. Removing items from the work area:
  - 1. Packaged asbestos wastes shall be HEPA-vacuumed before removing from the work area.
- D. Storage of packaged asbestos wastes shall be in a completely enclosed dumpster, or other suitable container that can be secured. The secured area shall be kept locked at all times to prevent unauthorized access.
- E. Shipment of items from the project.
  - 1. Decontaminated tools and equipment may be shipped by normal carrier to warehouse, another jobsite, or other destination.
  - 2. For asbestos wastes:
    - a. Line shipping container with 6 mil poly prior to loading packaged friable asbestos wastes.
    - b. Post NESHAP placards during loading of friable asbestos wastes.
    - c. Execute the NESHAP-required Waste Shipment Record (WSR) to be signed by the generator, transporter, and landfill. All WSRs shall be returned to Carnow Conibear within 30 days of shipment.
    - d. Only landfills approved and permitted by Illinois for accepting asbestos wastes may be used for disposal.

END OF SECTION

**SPECIFICATION SECTION 02136**

**GENERAL DUST, FUME, AND ODOR CONTROL**

## SECTION 02136 - GENERAL DUST, FUME, AND ODOR CONTROL

### PART 1 - GENERAL

#### 1.1 Introduction

- A. Dust and fume emission control is required to maintain a healthful learning environment for students, maintain good public relations with neighbors and employees, prevent damage, minimize cleaning and maintenance costs, and to comply with regulations and laws. All contractors (including subcontractors, lower-tier subcontractors, and suppliers) who perform work or provide services at Rockford Public Schools facilities are required to control dust and fume emissions from their operations and/or activities.

#### 1.2 Definitions: In addition to the terms listed below, all definitions in the laws and regulations listed in Section 1.4 are incorporated by reference, whether or not restated herein.

- A. Rockford Public Schools - means the owner of the property and the authority ordering the work specified herein.
- B. HEPA Filter means a High Efficiency Particulate Air filter capable of trapping 99.97% percent of particles greater than 0.3 micrometers in mass median aerodynamic equivalent diameter.
- C. IDPH means the Illinois Department of Public Health.
- D. Carnow Conibear & Assoc., Ltd. (CCA) designs the environmental work, maintains the documents, conducts oversight, and reviews the environmental work, submittals, and reports.
- E. SDS means Safety Data Sheets, required by OSHA for any chemical in the workplace that that could be expected to cause an exposure to workers during normal use or in emergency situations.
- F. Plasticize means to apply plastic sheeting over surfaces or objects to protect them from contamination or water damage.
- G. Personal Protective Equipment (PPE) means the protective suits, head and foot covers, gloves, respirators and other items used to protect persons from potential hazards.
- H. Work Area means the area or areas where work is being conducted.

#### 1.3 Work Included

- A. The work includes the control of all nuisance or noxious dust, vapors, fumes, odors or emissions caused by construction, demolition, renovation, restoration, or related activities including, but not limited to sawing, cutting, grinding, sanding, abrading, sweeping, crushing, scraping, gluing, prying, plowing, heating, finishing, painting welding, torch cutting or burning, or any other related processes at Rockford Public Schools facilities that can create noxious dust, fumes or odors.



- B. No visible emissions or unreasonable odors will be permitted outside the work area.
- C. All products to be used that will possibly cause emissions shall be accompanied with SDS sheets to be submitted to CCA prior to the use of the product.

#### 1.4 Laws, Regulations, and Standards.

- A. The Contractor is responsible for compliance with all applicable federal, state, county and municipal laws, regulations and ordinances including, but not limited to, those listed below, which are incorporated by reference.
- B. The following laws, regulations and standards are incorporated by reference:
  - 1. 29 CFR 1910: US OSHA General Industry Standards
  - 2. 29 CFR 1926: US OSHA Construction Standards
  - 2. 40 CFR Part 61: USEPA National Emissions Standards for Hazardous Air Pollutants (NESHAP)

### PART 2 - EXECUTION

#### 3.1 Barriers or Work Area Isolation

- A. Contractors shall prevent the spread of dust, fumes and odors from their immediate work areas by:
  - 1. Erecting dust-tight barriers between indoor work areas and adjacent occupied areas. Construction barriers may be used for this purpose if suitably constructed to prevent dust and fume migration.
  - 2. Closing and or covering windows, intake vents, louvers, or other building openings in the immediate vicinity of outdoor work, sufficient to prevent dust, fume or odor migration into the building interior. If such openings cannot be adequately sealed by closing, then poly sheeting, tape, or other impermeable covers shall be used.
  - 3. The contractor shall provide a filtered, local exhaust system for the isolated work area.
- B. Contractor is prohibited from creating other hazardous or uncomfortable conditions for building occupants, such as very hot, humid, cold, or other conditions created by ventilation system alterations or blockages, closed or open windows in hot or cold weather conditions.
- C. Contractor is responsible for making itself familiar with building conditions and shall take care to isolate its work area in such a manner that building occupant activities and comfort are not unreasonably disrupted.

#### 3.2 Dust, Fume and Odor Control

- A. Dust, fume or odor release shall be prevented by a suitable means, including but not limited to:
  - 1. Tools equipped with shrouds, HEPA filter equipped vacuum pickups
  - 2. Alteration, shut down, or isolation of building ventilation systems in the immediate

work vicinity

3. Shrouding around work activities
  4. Shrouding stages, scaffolds, or other work platforms
  5. Local exhaust ventilation systems exhausted to the outside of the building
  6. Wet work methods
- B. It is the Contractor's responsibility to select the means and methods it considers most suitable to achieve dust, fume and odor control.
- C. In the event that dust or fumes escape from the work area or create dirty conditions or contamination to nearby building spaces or grounds, the Contractor is responsible for all costs associated with the cleaning, testing and/ or repair deemed necessary by the Owner

END of SECTION

# **APPENDIX A**

## **ASBESTOS SURVEY REPORT**



August 26, 2021

Mr. Guy Carynski  
Environmental Manager  
Rockford Public School District 205  
5052 28<sup>th</sup> Avenue  
Rockford, Illinois 61109  
[guy.carynski@rps205.com](mailto:guy.carynski@rps205.com)

**RE: Pre-Demolition Asbestos Inspection Report**  
**Page Park School – 5949 Safford Road, Rockford, IL 61101**  
**Carnow Conibear Project No.: A139670195**

Dear Mr. Carynski,

Please find the attached summary and results of the pre-demolition asbestos inspection performed by Carnow, Conibear & Assoc., Ltd. (Carnow Conibear) at the above referenced property. The inspection and sampling were performed to determine the presence of asbestos-containing building materials prior to demolition. The survey and sampling were conducted July 27, 2021, and August 19, 2021. Sampling was conducted by Carnow Conibear representative Mr. Evan Christian, licensed by the Illinois Department of Public Health (IDPH) as an Asbestos Building Inspector.

STAT Analysis Corporation (STAT) performed all related asbestos sample analysis. Analysis was completed by Polarized Light Microscopy (PLM) at 400x magnification. PLM is the EPA recommended laboratory method for the identification of asbestos in bulk building materials. STAT is accredited for asbestos bulk sample analysis by PLM through NIST's National Voluntary Laboratory Accreditation Program (NVLAP).

The results of the sampling are presented in the following tables.

**Table I**  
**Asbestos Sample Results**  
**Rockford Public Schools – Page Park School**  
**5949 Safford Road, Rockford, IL 61101**

SAMPLE NO.	MATERIAL	GENERAL LOCATIONS	ASBESTOS SAMPLE RESULT	COMMENTS
EC072721-01 thru 03	Hard Elbows on Fiberglass Pipe Insulation	Boiler Room / Receiving Room	Asbestos Not Detected	Historical Sampling Indicates Asbestos Present; Removal/Abatement prior to Demolition
EC072721-04	Expansion Tank Insulation	Boiler Room	Asbestos Not Detected	Historical Sampling Indicates Asbestos Present; Removal/Abatement prior to Demolition

**Table I**  
**Asbestos Sample Results**  
**Rockford Public Schools – Page Park School**  
**5949 Safford Road, Rockford, IL 61101**

<b>SAMPLE NO.</b>	<b>MATERIAL</b>	<b>GENERAL LOCATIONS</b>	<b>ASBESTOS SAMPLE RESULT</b>	<b>COMMENTS</b>
EC072721-05	Hot Water Tank Insulation	Receiving Room	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-06 thru 08</b>	<b>Boiler Gaskets – Johnson Boiler</b>	<b>Boiler Room</b>	<b>20-25% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
<b>EC072721-09 thru 11</b>	<b>Pump Tank Insulation</b>	<b>Boiler Room</b>	<b>5-10% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-12 thru 14	Pyrobar Structural Block	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-15 thru 17	Pyrobar Mortar	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-18 thru 20	2'x2' Gypsum Ceiling Tiles – Circular Holes	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-21</b>	<b>9"x9" Gray Floor Tile</b>	<b>1961 Building</b>	<b>5-10% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
<b>EC072721-22</b>	<b>Black Mastic beneath 9"x9" Gray Floor Tile</b>	<b>1961 Building</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-23	4" Black Baseboard	Various Areas	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-24	Glue on 4" Black Baseboard	Various Areas	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-25</b>	<b>Transite Panels beneath Radiators</b>	<b>1961 Building</b>	<b>20-25% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-26 thru 28	Beige Laminate Countertop	Room 8	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-29 thru 31</b>	<b>White with Black Dots Laminate Countertop</b>	<b>Room 7</b>	<b>20-25% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-32 thru 34	2'x4' Ceiling Tiles – Plain White	Multipurpose Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-35 thru 37	12"x12" Off-white with Beige Specks Floor Tile	Multipurpose Room, 1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-38 thru 40	Mastic beneath 12"x12" Off-white with Beige Specks Floor Tile	Multipurpose Room, 1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-41 thru 43	Drywall	Multipurpose Room, Kitchen	Asbestos Not Detected	No Action Required prior to Demolition

**Table I**  
**Asbestos Sample Results**  
**Rockford Public Schools – Page Park School**  
**5949 Safford Road, Rockford, IL 61101**

<b>SAMPLE NO.</b>	<b>MATERIAL</b>	<b>GENERAL LOCATIONS</b>	<b>ASBESTOS SAMPLE RESULT</b>	<b>COMMENTS</b>
EC072721-44 thru 46	Drywall Mud	Multipurpose Room, Kitchen	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-47 thru 49	2'x2' Ceiling Tiles – Fissured Pinholes	Corridor by Multipurpose Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-50 thru 52	2'x2' Ceiling Tiles – Textured	Kitchen	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-53 thru 55	12"x12" Dark Brown Mottled Floor Tile	Kitchen, Bed Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-56 thru 58	Mastic on 12"x12" Dark Brown Mottled Floor Tile	Kitchen, Bed Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-62 thru 64	Hard Coat Plaster	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-65 thru 67	Textured Ceiling Plaster	1974 Building Restrooms	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-68	Tan Carpet Glue	Main Office Suite	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-69	Carpet Square Glue	Main Office Reception	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-70 thru 72	12"x12" Beige with Wide Tan Streaks	Main Office Suite Corridor	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition
EC072721-73 thru 75	Black Mastic beneath 12"x12" Beige with Wide Tan Streaks	Main Office Suite Corridor	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition
EC072721-76	Black Sink Undercoating	Kitchen by Gymnasium	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition
EC072721-77 thru 79	White with Green Laminate Countertop	Main Office Closet	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-80	Cardboard-type Partition	1974 Classrooms	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-81	Partition Paper	1974 Classrooms	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-82 thru 84	12"x12" Beige with Olive Floor Tiles	Coat Hanger Areas – 1974 Classrooms	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition
EC072721-85 thru 87	Black Mastic beneath 12"x12" Beige with Olive Floor Tiles	Coat Hanger Areas – 1974 Classrooms	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition

**Table I**  
**Asbestos Sample Results**  
**Rockford Public Schools – Page Park School**  
**5949 Safford Road, Rockford, IL 61101**

<b>SAMPLE NO.</b>	<b>MATERIAL</b>	<b>GENERAL LOCATIONS</b>	<b>ASBESTOS SAMPLE RESULT</b>	<b>COMMENTS</b>
EC072721-88 thru 90	1'x1' Fissured Ceiling Tiles	Corridor by Gymnasium	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-91 thru 93	Dark Brown Ceiling Tile Adhesive Pucks	Corridor by Gymnasium	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-94 thru 96	Textured Drywall and Mud	LKR Room	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-97 thru 99</b>	<b>12"x12" Gray Mottled Floor Tile</b>	<b>Gymnasium</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
<b>EC072721-100 thru 102</b>	<b>Black Mastic beneath 12"x12" Gray Mottled Floor Tile</b>	<b>Gymnasium</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-103 thru 105	Tectum Ceiling Panels	Gymnasium, Mezzanine Mechanical Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-106 thru 108	AHU Vibration Collars	Mezzanine Mechanical Room	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-109 thru 111	2'x4' Ceiling Tiles – Fissured Pinholes	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-112	Tar Paper Jacket	Boiler Room	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-113</b>	<b>White/Purple Sink Undercoating</b>	<b>1974 Pod Rooms</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
<b>EC072721-114 thru 116</b>	<b>White Boiler Insulation – American Standard Boiler</b>	<b>Boiler Room</b>	<b>10-15% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
<b>EC072721-117</b>	<b>Exterior Transite-type Panels</b>	<b>Above Windows – 1961 Building</b>	<b>20-25% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-118	Exterior Door Caulk	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-119	Exterior Gray Construction Caulk / Sealant	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-120 thru 122</b>	<b>Hard Elbows on Fiberglass Pipe Insulation</b>	<b>1961 Building</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>
EC072721-123 thru 124	Hard Elbows on Fiberglass Pipe Insulation	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
<b>EC072721-125</b>	<b>Tan Interior Window Caulk</b>	<b>1961 Building</b>	<b>1-5% Chrysotile Asbestos</b>	<b>Removal/Abatement prior to Demolition</b>

**Table I**  
**Asbestos Sample Results**  
**Rockford Public Schools – Page Park School**  
**5949 Safford Road, Rockford, IL 61101**

SAMPLE NO.	MATERIAL	GENERAL LOCATIONS	ASBESTOS SAMPLE RESULT	COMMENTS
EC072721-126	Gray Interior Window Glazing Putty	1961 Building	1-5% Chrysotile Asbestos	Removal/Abatement prior to Demolition
EC072721-127	Gray Interior Window Caulk	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-128	Gray Exterior Window Caulk	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-129 thru 131	Black Chalkboard Adhesive Pucks	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC072721-132 thru 134	Brown Chalkboard Adhesive Pucks	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC-01	Roof Field	1961 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC-02	Roof Field	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC-03	Asphalt Roof Material	1974 Building	Asbestos Not Detected	No Action Required prior to Demolition
EC-04	Off-white Interior Door Caulk	1961 Corridors	Asbestos Not Detected	No Action Required prior to Demolition

The Occupational Safety and Health Administration (OSHA), Illinois Department of Public Health (IDPH), and the Environmental Protection Agency (EPA) define an asbestos-containing material as any material containing greater than one percent (>1%) asbestos.

Based on the results of the inspections and sampling Carnow Conibear recommends the following prior to demolition at Page Park Elementary School located at 5949 Safford Road, Rockford, Illinois 61102.

- **Asbestos Abatement** - Removal and disposal of all identified asbestos containing materials per applicable EPA and OSHA asbestos regulations. Abatement by Illinois Licensed Asbestos Abatement Contractor.

See Attachment A for laboratory reports, sample chain of custody documentation, 2019 Three Year Reinspection Report, and Attachment B for Inspector License and Current Accreditation.

Please feel free to contact me directly at 312-296-1287 or [echristian@caltld.com](mailto:echristian@caltld.com) should you have any questions or require any additional information.



Sincerely,

**CARNOW, CONIBEAR & ASSOC., LTD.**

A handwritten signature in black ink, appearing to read "Evan Christian". The signature is fluid and cursive, with the first name "Evan" and last name "Christian" clearly distinguishable.

Evan Christian  
Senior Department Manager

# **ATTACHMENT A**

**ASBESTOS LABORATORY RESULTS  
SAMPLE CHAIN OF CUSTODY DOCUMENTATION  
2019 THREE-YEAR REINSPECTION RERPORT**

## ASBESTOS ANALYSIS BY POLARIZED LIGHT MICROSCOPY

Method: EPA/600/R-93/116

Carnow, Conibear, & Associates  
 600 W. Van Buren Street, Suite 500  
 Chicago, IL 60607  
 Phone: (312) 782-4486  
 Fax: (312) 782-5145

Reference: A139670195  
 Location: RPS-Page Park  
 Batch No.: 354058  
 Customer No.: 141

Date Received: 08/05/2021  
 Date Analyzed: 08/12/2021  
 Date Reported: 08/12/2021  
 Turn Around Time: 5 Days

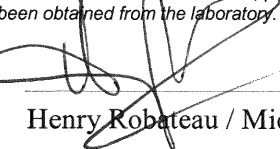
Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058001	EC072721-01	ND	Binder 80-85% Glass 15-20%
354058002	EC072721-02	ND	Binder 80-85% Glass 15-20%
354058003	EC072721-03	ND	Binder 80-85% Glass 15-20%
354058004	EC072721-04	ND	Binder 99-100%
354058005	EC072721-05	ND	Binder 80-85% Glass 15-20%
354058006	EC072721-06	Chrysotile 20-25%	Binder 75-80%
354058007	EC072721-07	Chrysotile 20-25%	Binder 75-80%
354058008	EC072721-08	Chrysotile 20-25%	Binder 75-80%
354058009	EC072721-09	Chrysotile 5-10%	Binder 90-95%
354058010	EC072721-10	ND	Binder 99-100%
354058011	EC072721-11	Chrysotile 5-10%	Binder 90-95%
354058012	EC072721-12	ND	Cellulose 5-10% Binder 90-95%
354058013	EC072721-13	ND	Cellulose 5-10% Binder 90-95%

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Analyzed by Name: 

Henry Robateau / Microscopist

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058014	EC072721-14	ND	Cellulose 5-10% Binder 90-95%
354058015	EC072721-15	ND	Cellulose 5-10% Binder 90-95%
354058016	EC072721-16	ND	Cellulose 5-10% Binder 90-95%
354058017	EC072721-17	ND	Cellulose 5-10% Binder 90-95%
354058018	EC072721-18	ND	Cellulose 5-10% Binder 90-95%
354058019	EC072721-19	ND	Cellulose 5-10% Binder 90-95%
354058020	EC072721-20	ND	Cellulose 5-10% Binder 90-95%
354058021	EC072721-21	Chrysotile 5-10%	Binder 90-95%
354058022	EC072721-22	Chrysotile 1-5%	Binder 95-99%
354058023	EC072721-23	ND	Binder 99-100%
354058024	EC072721-24	ND	Binder 99-100%
354058025	EC072721-25	Chrysotile 20-25%	Binder 75-80%
354058026	EC072721-26	ND	Binder 99-100%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058027	EC072721-27	ND	Binder 99-100%
354058028	EC072721-28	ND	Binder 99-100%
354058029	EC072721-29	ND	Binder 99-100%
354058030	EC072721-30	ND	Binder 99-100%
354058031	EC072721-31	Chrysotile 20-25%	Binder 75-80%
354058032	EC072721-32	ND	Binder 5-10% Glass 90-95%
354058033	EC072721-33	ND	Binder 5-10% Glass 90-95%
354058034	EC072721-34	ND	Binder 5-10% Glass 90-95%
354058035	EC072721-35	ND	Binder 99-100%
354058036	EC072721-36	ND	Binder 99-100%
354058037	EC072721-37	ND	Binder 99-100%
354058038	EC072721-38	ND	Binder 99-100%
354058039	EC072721-39	ND	Binder 99-100%
354058040	EC072721-40	ND	Binder 99-100%
354058041	EC072721-41	ND	Cellulose 5-10% Binder 90-95%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058042	EC072721-42	ND	Cellulose 5-10% Binder 90-95%
354058043	EC072721-43	ND	Cellulose 5-10% Binder 90-95%
354058044	EC072721-44	ND	Binder 99-100%
354058045	EC072721-45	ND	Binder 99-100%
354058046	EC072721-46	ND	Binder 99-100%
354058047	EC072721-47	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058048	EC072721-48	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058049	EC072721-49	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058050	EC072721-50	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058051	EC072721-51	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058052	EC072721-52	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058053	EC072721-53	ND	Binder 99-100%
354058054	EC072721-54	ND	Binder 99-100%
354058055	EC072721-55	ND	Binder 99-100%
354058056	EC072721-56	ND	Binder 99-100%
354058057	EC072721-57	ND	Binder 99-100%
354058058	EC072721-58	ND	Binder 99-100%
354058059	EC072721-62	ND	Binder 90-95% Other 5-10%
354058060	EC072721-63	ND	Binder 90-95% Other 5-10%
354058061	EC072721-64	ND	Binder 90-95% Other 5-10%
354058062	EC072721-65	ND	Binder 90-95% Other 5-10%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058063	EC072721-66	ND	Binder 90-95% Other 5-10%
354058064	EC072721-67	ND	Binder 90-95% Other 5-10%
354058065	EC072721-68	ND	Binder 99-100%
354058066	EC072721-69	ND	Binder 99-100%
354058067	EC072721-70	Chrysotile 1-5%	Binder 95-99%
354058068	EC072721-71	Chrysotile 1-5%	Binder 95-99%
354058069	EC072721-72	Chrysotile 1-5%	Binder 95-99%
354058070	EC072721-73	Chrysotile 1-5%	Binder 95-99%
354058071	EC072721-74	Chrysotile 1-5%	Binder 95-99%
354058072	EC072721-75	Chrysotile 1-5%	Binder 95-99%
354058073	EC072721-76	Chrysotile 1-5%	Binder 95-99%
354058074	EC072721-77	ND	Binder 99-100%
354058075	EC072721-78	ND	Binder 99-100%
354058076	EC072721-79	ND	Binder 99-100%
354058077	EC072721-80	ND	Cellulose 95-99% Binder 1-5%
354058078	EC072721-81	ND	Binder 99-100%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058079	EC072721-82	Chrysotile 1-5%	Binder 95-99%
354058080	EC072721-83	Chrysotile 1-5%	Binder 95-99%
354058081	EC072721-84	Chrysotile 1-5%	Binder 95-99%
354058082	EC072721-85	Chrysotile 1-5%	Binder 95-99%
354058083	EC072721-86	Chrysotile 1-5%	Binder 95-99%
354058084	EC072721-87	Chrysotile 1-5%	Binder 95-99%
354058085	EC072721-88	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058086	EC072721-89	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058087	EC072721-90	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058088	EC072721-91	ND	Binder 99-100%
354058089	EC072721-92	ND	Binder 99-100%
354058090	EC072721-93	ND	Binder 99-100%
354058091	EC072721-94	ND	Cellulose 5-10% Binder 90-95%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058092	EC072721-95	ND	Cellulose 5-10% Binder 90-95%
354058093	EC072721-96	ND	Cellulose 5-10% Binder 90-95%
354058094	EC072721-97	Chrysotile 1-5%	Binder 95-99%
354058095	EC072721-98	Chrysotile 1-5%	Binder 95-99%
354058096	EC072721-99	Chrysotile 1-5%	Binder 95-99%
354058097	EC072721-100	Chrysotile 1-5%	Binder 95-99%
354058098	EC072721-101	Chrysotile 1-5%	Binder 95-99%
354058099	EC072721-102	Chrysotile 1-5%	Binder 95-99%
354058100	EC072721-103	ND	Cellulose 99-100%
354058101	EC072721-104	ND	Cellulose 99-100%
354058102	EC072721-105	ND	Cellulose 99-100%
354058103	EC072721-106	ND	Binder 99-100%
354058104	EC072721-107	ND	Binder 99-100%
354058105	EC072721-108	ND	Binder 99-100%
354058106	EC072721-109	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%

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Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354058107	EC072721-110	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058108	EC072721-111	ND	Cellulose 30-35% Binder 30-35% Glass 30-35%
354058109	EC072721-112	ND	Cellulose 80-85% Binder 15-20%
354058110	EC072721-113	Chrysotile 1-5%	Binder 95-99%
354058111	EC072721-114	Chrysotile 10-15%	Binder 85-90%
354058112	EC072721-115	Chrysotile 10-15%	Binder 85-90%
354058113	EC072721-116	Chrysotile 10-15%	Binder 85-90%
354058114	EC072721-117	Chrysotile 20-25%	Binder 75-80%
354058115	EC072721-118	ND	Binder 99-100%
354058116	EC072721-119	ND	Binder 99-100%
354058117	EC072721-120	Chrysotile 1-5%	Binder 95-99%
354058118	EC072721-121	Chrysotile 1-5%	Binder 95-99%
354058119	EC072721-122	Chrysotile 1-5%	Binder 95-99%

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354058120	EC072721-123	ND	Binder 80-85% Glass 15-20%
354058121	EC072721-124	ND	Binder 80-85% Glass 15-20%
354058122	EC072721-124	ND	Binder 80-85% Glass 15-20%
354058123	EC072721-125	Chrysotile 1-5%	Binder 95-99%
354058124	EC072721-126	Chrysotile 1-5%	Binder 95-99%
354058125	EC072721-127	ND	Binder 99-100%
354058126	EC072721-128	ND	Binder 99-100%
354058127	EC072721-129	ND	Binder 99-100%
354058128	EC072721-130	ND	Binder 99-100%
354058129	EC072721-131	ND	Binder 99-100%
354058130	EC072721-132	ND	Binder 99-100%
354058131	EC072721-133	ND	Binder 99-100%
354058132	EC072721-134	ND	Binder 99-100%

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Environmental Consulting Services

600 W. Van Buren St., Suite 500, Chicago, IL 60607

t: 312.782.4486 f: 312.782.5145

www.ccaltd.com

CHAIN OF CUSTODY RECORD  
PLM ASBESTOS**CARNOW  
CONIBEAR**

Client: Rockford Public Schools Project #: A139670195

Street Address: \_\_\_\_\_ Project Name: RPS - Page Park

City, State, Zip: \_\_\_\_\_ Project Location: 5944 Safford Rd

Date: 8/5/21 Project Manager: Erin Christian

Turn Around: 5 Day Collected By: 100-18466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721 - 01		Hard Elbows on Fiberglass T&I Elbow off of Boiler
- 02		↓ Heater Receiving
- 03		↓ BR Pumps
- 04		Expansion Tank Insulation - Horse Hair
- 05		Hot Water Tank Insulation
- 06		Boiler Gaskets Johnston Boilers
- 07		↓ ↓
- 08		↓ ↓
- 09		Pump Tank Insulation Boiler Room
- 10		↓ ↓
- 11		↓ ↓
- 12		Pyrobar Structural Block
- 13		↓
- 14		↓
- 15		Pyrobar Mortar
- 16		↓
- 17		↓

Comments: PLEASE EMAIL RESULTS TO ECHRISTIAN@CCALTD.COM

Relinquished  
By/Date/Time:

Received By/Date/Time:

Relinquished  
By/Date/Time:

Received By/Date/Time:

354058

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PLM ASBESTOS**CARNOW  
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Client: Rockford Public Schools Project #: 1139670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5949 Safford Rd

Date: 8/5/21 Rockford IL

Turn Around: 5 Day Project Manager: Erin Christian

Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721	-18	2x2 Gypsum Ceiling Tiles - Circular Holes Rm 2
	-19	↓ Rm 1
	-20	↓ Hall by 5
	-21	9x9 Gray Floor Tile Hall 1961
	-22	Black Mastic on Floor Tile ↓
	-23	4" Black Baseboard Hall
	-24	Glue on Baseboard ↓
	-25	Transite Panels beneath units Rm 1
	-26	Beige Laminate Counter Top Rm 8
	-27	↓ ↓
	-28	↓ ↓
	-29	White w/ Black Dots Laminate Counter Top Rm 7
	-30	↓ ↓
	-31	↓ ↓
	-32	2x4 Ceiling Tiles - Plain White Paper Multipurpose Rm
	-33	(Fiberglass) ↓
	-34	↓ ↓

Comments: PLEASE EMAIL RESULTS TO ECHRISTIAN@CCALTD.COM

Relinquished  
By/Date/Time:Erin Christian 8/5/21 1:35

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id 9/25/21 1425Relinquished  
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354059

Carnow, Conibear &amp; Assoc., Ltd.

Environmental Consulting Services

600 W. Van Buren St., Suite 500, Chicago, IL 60607

t: 312.782.4486 f: 312.782.5145

www.ccaltd.com

# CHAIN OF CUSTODY RECORD PLM ASBESTOS

# CARNOW CONIBEAR

Client: Rockford Public Schools Project #: A139670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 6999 Safford Rd

Date: 8/5/21 Project Manager: Erin Christian

Turn Around: 5 Day Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721	-35	12x12 Offwhite Floor Tiles - Beige specks Multipurpose
	-36	↓ Hall by Office
	-37	↓ Hall by Gym
	-38	2x4 Ceiling Tiles - Fissured Pinholes Master
	-39	↓ on Floor tile
	-40	↓
	-41	Drywall Multi Purpose Offices
	-42	↓ Kitchen
	-43	↓ Kitchen
	-44	Drywall Mul Multi Offices
	-45	↓ Kitchen
	-46	↓ Kitchen
	-47	2x2 Ceiling Tiles - Fissured Pinholes Hall by Multi
	-48	↓
	-49	↓
	-50	2x2 Ceiling Tiles - Textured Kitchen
	-51	↓
	-52	↓

## Comments:

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CHAIN OF CUSTODY RECORD  
PLM ASBESTOS**CARNOW  
CONIBEAR**

Client: Rockford Public Schools Project #: A134670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5449 Sultford Rd

Date: 8/5/21 Project Manager: Evan Christian

Turn Around: 5 Day Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721- 53		12x12 Dark Brown Mottled Floor Tiles Kitchen
54		↓ Bed Room
55		↓ Bed Room
56		Mastic on Floor Tile
57		↓
58		↓
X 59		2x2 Textured Ceiling Tiles OMIT
60		↓ SAMPLES
61		↓
62		Hard Coat Plaster
63		↓
64		↓
65		Textured Ceiling Plaster BR 24
66		↓ BR 9
67		↓ BR 31
68		Tan Carpet Glue Office Suite
69		Carpet Square Glue Main Office

## Comments:

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ML 8/5/21 1425Relinquished  
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# CHAIN OF CUSTODY RECORD

## PLM ASBESTOS

# CARNOW CONIBEAR

Client: Rockford Public Schools Project #: A134670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5949 Safford Rd

Date: 8/5/21 Rockford IL

Turn Around: 5 Day Project Manager: Erin Christian

Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721 - 70		12x12 Base w/ Wide Tan Streaks Office Hall
-71		↓
-72		↓
-73		Mastic on Floor Tiles
-74		↓
-75		↓
-76		Black Sink Undercounting Kitchen by Gym
-77		White w/ Green Laminate Counter Top Office Cloak
-78		↓
-79		↓
-80		Paperboard Room Dividers
-81		Divider Paper Extension
-82		12x12 Base w/ Olive Floor Tiles Court Room 13
-83		↓ 14
-84		↓ 15
-85		Black Mastic on Floor Tiles
-86		↓
-87		↓

### Comments:

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ul 8/5/21 1420

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Environmental Consulting Services600 W. Van Buren St., Suite 500, Chicago, IL 60607  
t: 312.782.4486 f: 312.782.5145  
www.ccaltd.comCHAIN OF CUSTODY RECORD  
PLM ASBESTOS**CARNOW  
CONIBEAR**

Client: Rockford Public Schools Project #: A134670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5449 S. Hawth Rd

Date: 8/5/21 Rockford IL

Turn Around: 5 Day Project Manager: Erin Christian

Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
PC072721- 88		1x1 Fissured Ceiling Tiles Hall by Gym
89		↓
90		↓
91		Give assoc. w/ Ceiling Tiles
92		↓
93		↓
94		Textured Drywall - Mod LKR Room
95		↓
96		↓
97		12x12 Grey Mottled Floor Tile Gym
98		↓
99		↓
100		Black Mastic on Floor Tile
101		↓
102		↓
103		Tectum Panels Gym
104		↓ Gym
105		↓ Mezz Mezz

## Comments:

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Jul 8/5/21 1425Relinquished  
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CHAIN OF CUSTODY RECORD  
PLM ASBESTOS**CARNOW  
CONIBEAR**

Client: Rockford Public Schools Project #: #139670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5949 Safford Rd

Date: 8/5/21 Rockford IL

Turn Around: 5 Day Project Manager: Erin Christian

Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072221 - 106		HV Vibration Collars Mezz. Mech Rm
107		↓
108		↓
109		2x4 Ceiling Tiles - Fissured Pinholes
110		↓
111		↓
112		Expansion Tank Tar Paper Jacket
113		White-Purple Sink Undercasing Pod Rooms
114		Boiler Insulation - White American Standard
115		↓
116		↓
117		Exterior/Interior Transite Panels Above Windows 1961
118		Exterior Door Caulk Door B 1961
119		Exterior Caulk/Sealant Grey 1961
120		Hand Elbows on Fiberglass 1961 Bldg
121		↓
122		↓

Comments: PLEASE EMAIL RESULTS TO ECHRISTIAN@CCALTD.COM

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# CHAIN OF CUSTODY RECORD PLM ASBESTOS

# CARNOW CONIBEAR

Client: Rockford Public Schools Project #: A139670195

Street Address: \_\_\_\_\_ Project Name: Page Park School

City, State, Zip: \_\_\_\_\_ Project Location: 5949 Safford Rd

Date: 8/5/21 Rockford IL

Turn Around: 5 Day Project Manager: Erin Christian

Collected By: 100-19466

Sample ID/Number:	PLM % Asb.	Sample Description/Location
EC072721-123		Hard Elbows on Fiberglass Above Ceiling Door
124		Mezz. AHU
124		Mezz. HW
125		Interior Window Caulk Tan 1961 Rm2
126		Interior Window Glazing Gray 1961 Rm2
127		Interior Window Caulk <del>gray</del> Gray 1974
128		Exterior Window Caulk gray 1974
129		Black Chalkboard Adhesive
130		↓
131		↓
132		Brown Chalkboard Adhesive
133		↓
134		↓

## Comments:

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Received By/Date/Time:

## ASBESTOS ANALYSIS BY POLARIZED LIGHT MICROSCOPY

Method: EPA/600/R-93/116

Carnow, Conibear, & Associates  
600 W. Van Buren Street, Suite 500  
Chicago, IL 60607  
Phone: (312) 782-4486  
Fax: (312) 782-5145

Reference: A139670195  
Location: Page Park School  
Batch No.: 354344  
Customer No.: 141

Date Received: 08/24/2021  
Date Analyzed: 08/24/2021  
Date Reported: 08/24/2021  
Turn Around Time: 8 Hour

Laboratory Sample	Customer Sample Number	Asbestos Components (%)	Non-Asbestos Components (%)
354344001	EC-01	ND	Cellulose 10-15% Binder 85-90%
354344002	EC-02	ND	Cellulose 35-40% Binder 60-65%
354344003	EC-03	ND	Cellulose 1-5% Binder 95-99%
354344004	EC-04	ND	Cellulose 1-5% Binder 95-99%

ND = Asbestos Not Detected (Not Present)    NA = Not Analyzed    NS = Not Submitted

Components of inhomogeneous samples are analyzed per our Standard Operating Procedure, or per customer request.

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*The information contained in this report and any attachments is confidential information intended only for the use of the individual or entities named above. The results of this report relate only to the samples tested. If you have received this report in error, please notify us immediately by phone. This report shall not be reproduced, except in its entirety, unless written approval has been obtained from the laboratory. This report remains property of STAT Analysis until payment is received in full (see invoice).*

Analyzed by Name :

  
Daniel Mikos / Microscopist

**STAT** Analysis Corporation

2242 W. Harrison, Suite 200, Chicago, Illinois 60612 Phone: (312) 733-0551 Fax: (312) 733-2386  
e-mail address: STATinfo@STATAnalysis.com

## CHAIN OF CUSTODY RECORD

Page : 1 of 1

[illegible]

Comments: Email results to [echristian@ccaltd.com](mailto:echristian@ccaltd.com)

# ASBESTOS HAZARD EMERGENCY RESPONSE ACT (AHERA) THREE-YEAR REINSPECTION REPORT PAGE PARK SPECIAL EDUCATION SCHOOL

**FACILITY ADDRESS:**

5949 Safford Road  
Rockford, Illinois 61101  
School ID# 04-101-2050-3069

**CLIENT**

Rockford Public Schools  
1907 Kishwaukee Street  
Rockford, Illinois 61104  
Mr. Guy Carynski

**REPORT NO**

00473644

**COMPILED BY**

Professional Service Industries, Inc.  
4421 Harrison Street  
Hillside, Illinois 60102

Industrial Hygiene Services  
Jeff Chapman, Project Manager  
708-236-0720

**DATE**

July 9, 2019



# ASBESTOS HAZARD EMERGENCY RESPONSE ACT (AHERA) THREE-YEAR REINSPECTION REPORT PAGE PARK SPECIAL EDUCATION SCHOOL

**FACILITY ADDRESS:**

5949 Safford Road  
Rockford, Illinois 61101  
School ID# 04-101-2050-3069

**CLIENT**

Rockford Public Schools  
1907 Kishwaukee Street  
Rockford, Illinois 61104  
Mr. Guy Carynski

**REPORT NO**

00473644

**COMPILED BY**

Professional Service Industries, Inc.  
4421 Harrison Street  
Hillside, Illinois 60102

Industrial Hygiene Services  
Jeff Chapman, Project Manager  
708-236-0720

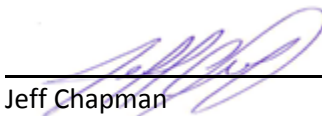
**DATE**

July 9, 2019



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Ron Tulke  
Branch Manager



---

Jeff Chapman  
Management Planner  
Principal Consultant

This report has been prepared for the exclusive use of Rockford Public School District 205 and affiliates thereof. Results are based solely on the methodology stated in this report and the report should be relied upon in its entirety. Any reliance a third party makes of this report is the responsibility of such third party.



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# PAGE PARK SPECIAL EDUCATION SCHOOL

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## 1.0 INTRODUCTION

### 1.1 GENERAL INFORMATION

Professional Service Industries, Inc. was retained by Rockford Public School District 205 to conduct an AHERA Three-Year Reinspection of known or assumed asbestos-containing building materials (ACBM) previously identified in the school located at 5949 Safford Road, Rockford, Illinois. The reinspection was performed by Michael Chapman, IDPH License No. 100-10061 on July 9, 2019. This reinspection report has been prepared for the exclusive use of Rockford Public School District 205.

### 1.2 AUTHORIZATION

Authorization to perform the Three-Year Reinspection was given via a signed copy of PSI proposal No. 0047-269670 between Rockford Public School District 205 and PSI, dated February 28, 2019.

### 1.3 PURPOSE

The purpose of this Three-Year Reinspection was to reassess the friability and condition of known or assumed ACBM identified in the Local Education Agency's (LEA)'s management plan as required by the Asbestos Hazard Emergency Response Act (AHERA).

## 2.0 HOW TO USE THIS REPORT

### 2.1 REPORT UTILIZATION

It is very important that this Three Year Reinspection report be utilized in conjunction with the school's Initial Asbestos Management Plan and all subsequent Three Year Reinspection reports. This particular report represents the results of the most current reinspection and assessment of the asbestos containing building materials (ACBM) indicated in the school's Initial Asbestos Management Plan and previous Three Year Reinspection reports. The EPA and IDPH require this report to be filed along with the school's Initial Asbestos Management Plan and previous Three Year Reinspection reports.

### 2.2 REINSPECTION

This report contains the findings of the reinspection and reassessment. This report identifies the homogenous sampling area (which may have been termed a "unified sampling area" in the original management plan), the type of material, its location, friability, accessibility, damage category, perceived cause of damage and whether its condition has changed since the AHERA Inspection or most recent Three Year Reinspection.

This reinspection covered only areas which were identified in the LEA's management plan and which were exposed and/or physically accessible to the inspector.

The scope of the Three-Year Reinspection covers only those buildings included in the original Management Plan and/or subsequent Three-Year Reinspections at the time of the reinspection. Any newly added areas or buildings have not been included. If a new building, addition or area was constructed after October 12, 1988, was not included in the original management plan, and an architect or project engineer responsible for the construction of the new school building signed a statement that: 1) no ACBM was specified as a building material in any construction documents for the building, or 2) to the best of his or her knowledge no ACBM was used as a building material in the building, then no inspection is necessary. A copy of the signed statement shall be provided to the EPA Regional Office and shall be included in the Management Plan.

If said documentation does not exist, or AHERA 763.99 exclusions are not met, the new building, addition or area requires a complete AHERA inspection and development of a Management Plan. PSI can provide a cost proposal to perform this service upon your request.

An architect's letter does not exempt the building from an inspection prior to demolition or renovation activities. If demolition or renovation is to be performed on a building, a survey must be conducted where bulk samples are collected and laboratory analysis is available to document if the materials are asbestos containing.

All 9"x 9" resilient vinyl floor tile, regardless of color or pattern, and its associated mastic shall be considered as asbestos containing materials until proven to be non-asbestos containing through collection and analysis of a minimum of three samples for each homogeneous type.

A list of all asbestos-containing building materials (ACBM) can be found in Section 4 "Results of Reinspection." The table will include:

# PAGE PARK SPECIAL EDUCATION SCHOOL

- UNCHANGED MATERIALS

Asbestos Containing Building Materials (ACBM) which were identified in the Management Plan and/or previous Three Year Reinspections and were found to be unchanged and in the same condition as identified in the Management Plan and/or previous Three Year Reinspections are included in this report Section 4.

- CHANGED MATERIALS

Asbestos Containing Building Materials (ACBM) which were identified in the Management Plan and/or previous Three Year Reinspections and were found to be changed and not in the same condition as identified in the Management Plan and/or previous Three Year Reinspections have been included in the table and detailed in the “Notes” section at the bottom of the page. A form for each material is included in Section 4.

- NEW MATERIALS IDENTIFIED

Any new material identified during a Three Year Reinspection, which was not identified during the initial AHERA Inspection or previous Three Year Reinspections, has been included in the table and detailed in the “Notes” section at the bottom of the page. These new materials are then added to the Management Plan by inclusion of this report. In addition, they are to be considered as an assumed asbestos-containing form for each material is included in Section 4.

## 2.3 ASSESSMENT CRITERIA

The condition of each homogeneous known or assumed ACBM was assessed using the EPA decision tree approach, which considers the following:

1. Source and type of damage:
  - a. Physical contact
  - b. Water or air erosion
  - c. Deterioration or material delamination
  - d. Abrasions, punctures, tears, blistering, crumbling, etc.
2. Extent of damage:
  - a. Good: No damage or little damage.
  - b. Damaged: Less than 10% damaged, evenly distributed over the entire material or less than 25 % damaged confined to localized area of the material.
  - c. Significantly Damaged: More than 10% damaged distributed evenly over the entire material or more than 25% damaged within a localized area of the material.
3. Potential for future damage:
  - a. Frequency of access to material
  - b. Height of material
  - c. Location of material in a plenum
  - d. Degree of exposure of material
  - e. Accessibility of material
  - f. Presence of an area of air movement, vibrations, or loud noises

Based on the above criteria, identified known and/or assumed ACBM were classified into one of the following damage categories:

# PAGE PARK SPECIAL EDUCATION SCHOOL

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1. Damaged or Significantly Damaged Thermal System Insulation
2. Damaged Friable Surfacing or Miscellaneous ACBM
3. Significantly Damaged Friable Surfacing Material
4. Damaged or Significantly Damaged Friable Miscellaneous ACBM
5. ACBM with Potential for Damage
6. ACBM with Potential for Significant Damage
7. Any Remaining Friable ACBM or suspect ACBM

## 3.0 WARRANTY

### WARRANTY

PSI warrants that the findings contained herein have been prepared with the level of care and skill exercised by experienced and knowledgeable environmental consultants who are appropriately licensed or otherwise trained to perform asbestos activities pursuant to NESHAP, EPA and OSHA as well as state and local requirements as applicable.

The client acknowledges that mold is ubiquitous to the environment with mold amplification occurring when building materials are impacted by moisture. The client further acknowledges that site conditions are outside of PSI's control, and that mold amplification will likely occur, or continue to occur, in the presence of moisture. As such, PSI cannot and shall not be held responsible for the occurrence or reoccurrence of mold amplification.

No other warranties are implied or expressed.

### USE BY THIRD PARTIES

This report was prepared pursuant the contract the client has with PSI. That contractual relationship included an exchange of information about the subject site that was unique and between PSI and the client and serves as the basis upon which this report was prepared. Because of the importance of the communication between PSI and the client, reliance or any use of this report by anyone other than the client, for whom it was prepared, is prohibited and therefore not foreseeable to PSI.

Reliance or use by any such third party without explicit authorization in the report does not make said third party a third party beneficiary to PSI's contract with the client. Any such unauthorized reliance on or use of this report, including any of its information or conclusions, will be at third party's risk. For the same reasons, no warranties or representations, expressed or implied in this report, are made to any such third party.

### UNIDENTIFIED CONDITIONS

Although PSI believes that the findings and conclusions provided in this report are reasonable, the survey is necessarily limited to the conditions observed and to the information available at the time of the work. Due to the nature of the work, there is a possibility that there may exist conditions which could not be identified within the scope of the survey or which were not apparent at the time of our site work. The survey is also limited to information available from the client at the time it was conducted. It is also possible that the testing methods employed at the time of the report may later be superseded by other methods. PSI does not accept responsibility for changes in the state of the art.

## 4.0 - ASBESTOS-CONTAINING BUILDING MATERIALS

HSA NO.	ACM TYPE	LOCATION/ SYSTEM	CATEGORY	FRIABLE	DAMAGE CATEGORY	REASON FOR DAMAGE	RECOMMENDED RESPONSE ACTION	DAMAGED QUANTITY	ACM - Y/N/ ASSUMED
13	Expansion tank insulation	Boiler room	TSI	F	Damaged or significantly damaged thermal system insulation ACBM	Age, contact	Repair/O&M	4 SF	Yes
14M	Floor tile mastic	1961 building	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes
15M	Floor tile mastic	1974 building	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes
20 (2)	White sink undercoating	Rooms 1, 12 – 20	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes
22	Vibration collars	Mechanical spaces	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Assumed
24	Interior window caulk	Throughout building	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes
25	Interior window glaze	Throughout building	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes
26	Boiler insulation	Boiler room	TSI	F	Any remaining friable or suspected ACBM	No damage observed	O&M	0 SF	Yes
27	Boiler gaskets	Boiler room	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Assumed
28	Insulation panels	Classrooms, storage rooms	MISC	NF	ACBM with potential for damage	No damage observed	O&M	0 SF	Yes


These homogeneous areas were re-inspected and reassessed in accordance with Section 763.85 and 763.88 of the AHERA and their conditions HAVE/ HAVE NOT CHANGED when compared to the condition determined during the previous AHERA 3-year re-inspection.

NOTES: HSA 13 (Expansion Tank Insulation) is damaged, repair or remove.

HSA 20 (1) (Black sink undercoating) was not observed during the 2016 or 2019 reinspection, remove from management plan.

# PAGE PARK SPECIAL EDUCATION SCHOOL

## 5.0 MANAGEMENT PLANNER AND LEA SIGNATURES

LEA NAME: Rockford Public School District 205  
CITY/STATE: Rockford, Illinois  
CAMPUS NAME: Page Park Special Education School  
CAMPUS NUMBER: 04-101-2050-3069  
CITY/STATE: Rockford, Illinois  
BUILDING NAMES: School Building  
BUILDING NUMBER: 001  
PROJECT NUMBER: 00473644  
MANAGEMENT PLANNER: Jeff Chapman  
MANAGEMENT PLANNER SIGNATURE:  7/31/19  
MANAGEMENT PLAN REVIEW DATE:  
CERTIFICATION NUMBER: 1901MPR01  
STATE LICENSE NUMBER: 100-07826

The LEA's response to all recommendations regarding the above mentioned campus is:

- X   A. The recommended response action is ACCEPTED.
- Response action schedule is:  
Start Date: July 9, 2019  
Completion Date: Ongoing
- B. The recommended response action is NOT ACCEPTED. The LEA's intended response action is: \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- Response action schedule is:  
Start Date: \_\_\_\_\_  
Completion Date: \_\_\_\_\_

Individual authorized to sign for LEA:

Signature: \_\_\_\_\_  
Name: Guy Carynski  
Title: Environmental Manager  
Telephone Number: 815.490.4106  
Date: \_\_\_\_\_



## 6.0 IDPH SCHOOL INFORMATION FORM

**ILLINOIS DEPARTMENT OF PUBLIC HEALTH  
AHERA THREE YEAR REINSPECTION  
ASBESTOS ABATEMENT PROGRAM  
SCHOOL INFORMATION FORM**

**SECTION I-\***

NAME OF SCHOOL DISTRICT: ROCKFORD SCHOOL DIST 205  
SCHOOL ID NUMBER: 04-101-2050-3069  
SCHOOL NAME: PAGE PARK SPECIAL SCHOOL  
ADDRESS OF SCHOOL: 5949 SAFFORD ROAD  
CITY: ROCKFORD, IL 61103

DATE LAST THREE YEAR REINSPECTION WAS COMPLETED 08/02/2016

**If the address on this form is different than the address of the building, submit a written explanation.**

**SECTION II** (Please type or print)

**PLEASE COMPLETE THE FOLLOWING FOR YOUR THREE YEAR REINSPECTION:**

DATE REINSPECTION COMPLETED: July 9, 2019 ENROLLMENT \_\_\_\_\_  
IDPH INSPECTOR LICENSE NUMBER: 100- 10061  
IDPH LICENSED INSPECTOR NAME (Please print): Mike Chapman  
IDPH MP LICENSE NUMBER: 100- 07826  
IDPH LICENSED MANAGEMENT PLANNER NAME (Please Print): Jeff Chapman

DESIGNATED PERSON (Please print): Guy Carynski PHONE: 815 490-4106



Signature of Designated Person

8-6-19

Date

**SECTION III**

**PLEASE COMPLETE THE FOLLOWING INFORMATION FOR ANY CHANGES WITHIN THE SCHOOL DISTRICT.**

School building has been sold. Date of Sale: \_\_\_\_\_  
School has been closed. Date closed: \_\_\_\_\_  
School building has been demolished. Date: \_\_\_\_\_  
School building is asbestos free since last reinspection: \_\_\_\_\_

**Please explain in writing why the school building is now asbestos free and include the supporting documentation.**

If a new school building has been added to the district, submit either an exclusionary statement or a management plan and inspection report. Include the complete name, address and city of school building.

**Explain in writing if the address of the building is different than the address on this form:**

# PAGE PARK SPECIAL EDUCATION SCHOOL

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## 7.0 CERTIFICATIONS



**ASBESTOS  
PROFESSIONAL  
LICENSE**

ID NUMBER  
**100 - 10061**

ISSUED  
**2/1/2019**

EXPIRES  
**05/15/2020**

**MICHAEL D CHAPMAN**  
1701 NEWTON DRIVE  
MORRIS, IL 60450

Environmental Health



**ENDORSEMENTS**

**TC EXPIRES**

INSPECTOR

8/2/2019

PROJECT MANAGER

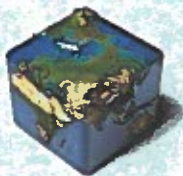
8/3/2019

AIR SAMPLING PROFESSIONAL

**Alteration of this license shall result in legal action**  
This license issued under authority of the State of Illinois  
Department of Public Health

This license is valid only when accompanied by a valid  
training course certificate.





**EARTHTECH, INC.**

**435 SHADOW WOOD DRIVE, YORKVILLE, IL 60560**

## Asbestos Building Inspector Refresher

**THIS CERTIFIES THAT**  
**Michael Chapman**

**Has successfully completed the IL and IN Approved Asbestos Training Course and passed the Examination for purposes of accreditation under section 206 of Title II, 15 USC 2646 of the Toxic Substances Control Act (TSCA) and 326 IAC 18-2. Conducted by EarthTech, Inc  
630-417-6951**

**CLASS DATES: 8/2/2018**

**LOCATION: Amerisafe**

**EXAMINATION: 8/2/2018**

**EXPIRATION: 8/2/2019**

**CERTIFICATE NUMBER: 108982X05S003533**





**ASBESTOS  
PROFESSIONAL  
LICENSE**

ID NUMBER  
**100 - 07826**

ISSUED  
**2/28/2019**

EXPIRES  
**05/15/2020**

**JEFF D CHAPMAN**  
**9 OAK LEAF COURT**  
**WOODRIDGE, IL 60517**

Environmental Health



**ENDORSEMENTS**

**TC EXPIRES**

INSPECTOR

1/4/2020

MANAGEMENT PLANNER

1/4/2020

PROJECT MANAGER

2/7/2020

AIR SAMPLING PROFESSIONAL

**Alteration of this license shall result in legal action**  
This license issued under authority of the State of Illinois  
Department of Public Health

This license is valid only when accompanied by a valid  
training course certificate.

# CERTIFICATE OF ACHIEVEMENT ASBESTOS ABATEMENT

Accredited by the Illinois Department of Public Health

This is to certify that JEFF CHAPMAN  
has completed the Asbestos Management Planner Refresher course and passed the examination  
on 01/04/2019 with a minimum score of 70% or better. Training was in accordance with U.S.  
E.P.A. 40 CFR 763 Subpart E, Appendix C, Asbestos Containing Materials in Schools: Model  
Accreditation Plan, TSCA II, Authorized by both AHERA & ASHARA.

01/04/2019

Course Dates:

01/04/2020

Expires:

1901MPR01

Certificate Number:



**PUBLIC HEALTH & SAFETY inc.**

Environmental and Occupational Services  
37 S. Ashland Ave., Chicago, IL 60607

[www.public-health-safety.com](http://www.public-health-safety.com)

Office: 312-491-0081  
Fax: 312-421-2901

*N. Peneff*

**Director of Training**

**Nicholas J. Peneff**

**Doctor of Public Health**

Phone: 312-491-0081

**FORM # A-011**

# **ATTACHMENT B**

**INSPECTOR LICENSE AND CURRENT ACCREDITATION**





**ASBESTOS  
PROFESSIONAL  
LICENSE**

ID NUMBER  
**100 - 19466**

ISSUED  
**4/14/2021**

EXPIRES  
**05/15/2022**

**EVAN I CHRISTIAN**  
1119 N HOYNE AVE, APT 1  
CHICAGO, IL 60622

Environmental Health



**ENDORSEMENTS****TC EXPIRES**

INSPECTOR

11/13/2021

MANAGEMENT PLANNER

11/19/2021

PROJECT MANAGER

3/29/2022

AIR SAMPLING PROFESSIONAL

**Alteration of this license shall result in legal action**

This license issued under authority of the State of Illinois  
Department of Public Health

This license is valid only when accompanied by a valid  
training course certificate.

2020



**OCCUPATIONAL TRAINING & SUPPLY, INC.**

7233 S. Adams Street | Willowbrook, IL 60527 | (630) 655-3900 | [www.otssafety.com](http://www.otssafety.com)

# Asbestos Building Inspector Refresher

Occupational Training & Supply, Inc. certifies that

**Evan Christian**

has successfully completed the Asbestos Building Inspector Refresher course and has passed the competency exam with a minimum score of 70%. The course is accredited by the Illinois Department of Public Health and Indiana Department of Environmental Management for purposes of accreditation in accordance with EPA 40 CFR 763, Asbestos Hazard Emergency response Act (AHERA) and TSCA Title II.

Course Date: 11/13/2020

Exam Date: 11/13/2020

Expiration Date: 11/13/2021

Certificate Number: BIR2011132424

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Kathy DeSalvo, Director

**APPENDIX B**

**IDPH LETTER OF DECOMMISSION**

**APPENDIX C**

**ASBESTOS SCOPE OF WORK DRAWINGS**

ASBESTOS ABATEMENT SCOPE OF WORK:

Asbestos Abatement: Removal and disposal of asbestos-containing materials prior to demolition per specification section 02135 and applicable IDPH, EPA, and OSHA rules and regulations.

SCHEDULE:


- Abatement Work scheduled to be conducted as soon as possible following notice of award by Owner. Abatement Contractor shall coordinate schedule of work with Owner and Carnow Conibear.
- Contractor shall complete all work within 3 consecutive work weeks. Work hours shall be limited to 7:00am to 3:30pm Monday thru Friday.

ASBESTOS ABATEMENT KEYNOTES	
1	Abate All Hard Elbows/Fittings
2	Abate All Floor Tile and Mastic
3	Abate All Transite Panels beneath Radiators
4	Abate All White with Black Dots Laminate Countertop
5	Abate All Sinks with White/Purple Undercoating
6	Abate All Sinks with Black Undercoating
7	Abate/Demo All Windows
8	Abate Boiler Insulation(American Standard Boiler)
9	Abate All Non-Fiberglass Thermal Systems Insulation
10	Abate All Transite Panels Above Windows (Interior + Exterior)
11	Abate Fire Rated Doors
12	Abate All Floor Tile and Mastic in Coat Hanger Areas

GENERAL NOTES:

- Contractor responsible for removal of all asbestos-containing thermal system insulation throughout building.
- Asbestos-containing thermal system insulation is located on all floors of building including behind chase walls, above ceilings, crawl spaces, etc. Contractor responsible for all exploratory demolition required to access and remove all thermal system insulation. All exploratory demolition shall be completed following isolation of work area via physical barriers and negative air pressure.
- Contractor not responsible for removal of fiberglass pipe run insulation.
- The contractor is responsible for verifying quantities in the field before pricing. Any questions about the scope or clarifications shall be obtained from the Project Designer prior to bidding. Any interpretations of the design documents or scope of work shall only be made by the Project Designer.
- Sequencing/scheduling of the abatement is to be coordinated with the building owner and Environmental Consultant prior to mobilization.
- Where asbestos-containing flooring materials are identified for abatement Contractor responsible for removal of all layers of flooring (including existing carpeting) down to the lowest substrate.
- Clearance sample results will be made available on 1 day turnaround basis. Any rush sample analysis requested by the contractor shall be at the contractor's expense.
- The contractor shall pay any additional costs which arise from failure of clearance testing which may include costs for services of the Environmental Project Manager, laboratory or Project Designer.
- Contractor to exhaust negative air to the exterior of the building. When window assemblies require dis-assembly to accommodate exhaust discharge, the Contractor shall be responsible for the removal of the window and subsequent boarding.
- Negative air shall be maintained on a 24-hour basis until applicable and acceptable final clearance is achieved. The contractor shall be responsible for having adequate personnel and backup equipment to maintain operation.
- Caution signs shall be posted adherent to specification requirements of OSHA 29 CFR 1926.1101(k)(6) at all potential entrances or barriers to the regulated asbestos abatement area.
- Contractor to verify "lock-out" and "tag-out" of all hazardous energy sources.
- If disconnect of any building system is required, including, but not limited to, emergency circuits, fire protection equipment, or mechanical systems, the contractor shall notify the owner and obtain approval, prior to the commencement of work.
- Abatement contractor responsible to supply any power and/or water necessary for abatement.
- The abatement contractor shall submit, ten days prior to start of work, drawings indicating locations of isolation barriers, decontamination units, and negative air exhaust.

K:\conimages\Projects\Rockford School District\Contract 2013\Page Park School\2021 PreDemoCAD\Page Park.dwg

DRAWING HISTORY					CLIENT:  <b>Rockford Public Schools</b> <b>501 7th Street</b> <b>Rockford, Illinois 61104</b>	PROJECT NAME:  <b>Asbestos Abatement</b> <b>Page Park School</b> <b>5949 Safford Rd</b> <b>Rockford, Illinois 61101</b>	SHEET TITLE:  PRE-DEMOLITION ASBESTOS ABATEMENT  GENERAL NOTES	Carnow, Conibear & Assoc., Ltd. Environmental Consulting Services 600 W. Van Buren St., Suite 500 Chicago, IL 60607 t: 312.782.4486 f: 312.782.5145 www.ccaltd.com 	CCA PROJECT NO. A139670195	
NO.	DATE	DRAWN BY:	CHECKED BY:	REMARKS					PLOT DATE:	
1	08/24/2021	M. Gomez	E. Christian	ISSUED FOR BID					August 26th, 2021	
										ASB-G

K:\\_comps\Projects\Rockford School District\Contract 2013\Page Park School\2013 PreDemo\CA\DP\Page Park.dwg



1 ASBESTOS ABATEMENT  
FIRST FLOOR PLAN

NOT TO SCALE

ASBESTOS ABATEMENT KEYNOTES

1	Abate All Hard Elbows/Fittings
2	Abate All Floor Tile and Mastic
3	Abate All Transite Panels beneath Radiators
4	Abate All White with Black Dots Laminate Countertop
5	Abate All Sinks with White/Purple Undercoating
6	Abate All Sinks with Black Undercoating
7	Abate/Demo All Windows
8	Abate Boiler Insulation(American Standard Boiler)
9	Abate All Non-Fiberglass Thermal Systems Insulation
10	Abate All Transite Panels Above Windows (Interior + Exterior)
11	Abate Fire Rated Doors
12	Abate All Floor Tile and Mastic in Coat Hanger Areas

ASBESTOS ABATEMENT SCOPE OF WORK:


1. ASBESTOS ABATEMENT: Removal and disposal of asbestos containing materials prior to demolition per specification section 02135 and all applicable IDPH, EPA and OSHA regulations.

SCHEDULE:

1. Abatement Work scheduled to be conducted as soon as possible following notice of award by Owner. Abatement Contractor shall coordinate schedule of work with Owner and Carnow Conibear.
2. Contractor shall complete all work within 3 consecutive work weeks. Work hours shall be limited to 7:00am to 3:30pm Monday thru Friday.

GENERAL NOTES:

4. See Sheet ASB-G

DRAWING HISTORY					CLIENT:  <b>Rockford Public Schools</b> <b>501 7th Street</b> <b>Rockford, Illinois 61104</b>	PROJECT NAME:  <b>Asbestos Abatement</b> <b>Page Park School</b> <b>5949 Safford Rd</b> <b>Rockford, Illinois 61101</b>	SHEET TITLE:  <b>PRE-DEMOLITION ASBESTOS ABATEMENT</b>  <b>FIRST FLOOR</b>	Carnow, Conibear & Assoc., Ltd. Environmental Consulting Services 600 W. Van Buren St., Suite 500 Chicago, IL 60607 t: 312.782.4486 f: 312.782.5145 www.ccaltd.com  <b>CARNOW CONIBEAR</b>	CCA PROJECT NO. A139670195
NO.	DATE	DRAWN BY:	CHECKED BY:	REMARKS					PLOT DATE: August 26th, 2021
1	08/24/2021	M. Gomez	E. Christian	ISSUED FOR BID					
PROJECT DESIGNER Rod Harvey IDPH #: 100-01548						DESIGNER SIGNATURE 		SHEET NO.  <b>ASB-1</b>	