



**ROCKFORD BOARD OF EDUCATION
INVITATION FOR BID ON SUPPLIES, MATERIALS, EQUIPMENT OR SERVICES
FOR SCHOOL DISTRICT NO. 205
ROCKFORD, ILLINOIS**

IFB No. **16-29 West View Elementary School Asbestos Abatement Project**

DATE: **January 28, 2016**

OFFERS WILL BE RECEIVED UNTIL: **1:00 P.M. (CST) on Thursday, February 11, 2016**

RE: **IFB No. 16-29 West View Elementary School Asbestos Abatement Project.** The purpose of this Invitation for Bid (IFB) is to solicit bids for asbestos abatement to include window removal and mock up, flooring, transite panels, pipe insulation, and ceiling tile to support ongoing renovations at West View Elementary School, 1720 Halsted Road, Rockford, IL 61103.

IFB Opening: **Thursday, February 11, 2016 at 1:00 p.m., Rockford Board of Education, 6th floor Conference Room, 501 Seventh St., Rockford, IL 61104.**

If you plan to hand deliver your IFB submission on the due date, please note you must check in on the 3rd floor prior to coming to the 6th floor. Please allow time for this as late submission will not be accepted.

Copies of the bidding documents are available from Onvia DemandStar, by email from the Purchasing Department, BHFx Digital Imaging and Printing, DG Digital Printing, YCS Printing, Inc., or by download from the District's Purchasing Bids-RFPs webpage at www.rps205.com.

A MANDATORY PRE-BID MEETING WILL BE CONDUCTED ON, WEDNESDAY, FEBRUARY 3, 2016 AT 3:30 P.M. (CST), AT WEST VIEW ELEMENTARY SCHOOL, 1720 HALSTED ROAD, ROCKFORD, IL 61103 BY OWNER'S REPRESENTATIVE. MEET IN THE LIBRARY.

Refer all questions relative to the business aspect, Instructions to Bidders, Special Conditions, and questions concerning the technical aspect of the documents to the Purchasing Process Manager by email at tamara.pugh@rps205.com.

INVITATION TO BID

Project	West View Elementary School Asbestos Abatement Project
Location	West View Elementary School 1720 Halsted Road Rockford, Illinois 61103
Owner	Rockford Public School District 205 501 Seventh Street Rockford, Illinois 61104
Project Designer	Carnow, Conibear & Assoc., LTD. 600 .W Van Buren Street, Suite 500 Chicago, IL 60607 312-762-2900
Bid Scope	Multiple-phase asbestos abatement to support ongoing school renovations, window removal and mock up, flooring, transite panels, pipe insulation, and ceiling tile.
Bid Due Date	1:00 P.M. (CST), Thursday, February 11, 2016
Pre-Bid Meeting	Mandatory Meeting: 3:30 PM (CST), Wednesday, February 3, 2016; at West View Elementary School, 1720 Halsted Road, Rockford, IL 61103, meet in the library.
Addendums	Last RFI accepted; 12:00 P.M. (CST), February 8, 2016 Last Addendum issued; 12:00 P.M. (CST), February 9, 2016
Other Key Dates	Tuesday, February 23, 2016; RPS Board Meeting Wednesday, February 24, 2016; Award / Notice to Proceed
Bid Security	5% of Base Bid.

INVITATION TO BID

Obtain Bid Documents By	Emailing the District's Purchasing Department, by downloading from the on District's Purchasing Bids-RFPs webpage at www.rps205.com , or by contacting the following: Onvia Demandstar BHFX Digital Imaging and Printing 1404 21st Street Rockford, IL 61108 P. (815) 397-8800 F. (815) 397-8844 rockford@bhfx.net DG Digital Printing 214 N. Rockton Avenue Rockford, IL 61103 P. (815) 961-0000 F. (815) 961-0004 http://www.dgdplanroom.com/ YCS Printing, Inc. 305 E. Riverside Blvd. Loves Park, IL 61111 P. (815) 636-2058 F. (815) 636-2059 print@ycsprinting.com
Performance Bond and Labor And Material Payment Bond	Furnish in the amount of 100% of the Contract after award.
Rights Reserved by Owner	The Owner reserves the right to waive any irregularities and/or reject any or all bids when, in the opinion of the Owner, such action will serve the best interests of the Owner.
Withdrawal of Bids	No bid may be withdrawn for a period of 60 days after the opening of bids without written consent of the Owner.

STATEMENT OF NO INTEREST - BID

NOTE: If you are unable to submit a bid for this work, please complete and return this form immediately.

The Purchasing Department of the Rockford School District wishes to keep its vendors list file current. If for any reason you cannot supply the commodity/service noted on the attached solicitation, this form must be completed and returned to remain on the particular vendor list for future projects of this type.

We, the undersigned, have declined to submit a proposal on:

Bid No. & Name: Bid 16-29 West View Elementary School Asbestos Abatement Project

We are unable to submit a proposal for this work due to the following:

____ Too busy at this time ____ Unable to meet specifications

____ Bond requirement ____ Not engaged in this type work

____ Insurance requirement ____ Site location too distant

____ Length of time required to obtain payment

____ Project is ____ too large ____ too small

____ Remove us from your bidder's list for this commodity/service

____ Other (specify below)

____ Do you wish to be considered in the future for similar projects? ____ Yes ____ No

REMARKS:

Signature: _____ Name & Title: _____

Firm: _____ Phone: _____

Fax: _____ E-mail: _____

Address: _____
(Street Address) (City) (State) (Zip-Code)

Date: _____

Return to: Executive Director of Budgeting and Purchasing
Rockford Public School District
501 7th Street
Rockford, IL 61104

LATE BIDS CANNOT BE ACCEPTED!

SEALED BID PROPOSAL

BID NO.: 16-29
OPENING DATE: February 11, 2016
OPENING TIME: 1:00 PM (CST)
DESCRIPTION: West View Elementary School Asbestos Abatement
Project

ATTN: PURCHASING DEPT.

DATED MATERIAL-DELIVER IMMEDIATELY

PLEASE CUT OUT AND AFFIX THIS BID LABEL TO THE
OUTERMOST ENVELOPE OF YOUR PROPOSAL TO HELP ENSURE
PROPER DELIVERY!

LATE OFFERS CANNOT BE ACCEPTED!



AIA® Document A701™ – 1997

Instructions to Bidders

for the following PROJECT:

(Name and location or address)

MFP Bid docs boilerplate

THE OWNER:

(Name, legal status and address)

Board of Education

Rockford School District No. 205

Winnebago and Boone Counties, Illinois

501 Seventh Street

Rockford, Illinois 61104

THE ARCHITECT:

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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ARTICLE 1 DEFINITIONS

§ 1.1 Bidding Documents include the Bidding Requirements and the proposed Contract Documents. The Bidding Requirements consist of the Advertisement or Invitation to Bid, Instructions to Bidders, Supplementary Instructions to Bidders, the bid form, and other sample bidding and contract forms. The proposed Contract Documents consist of the form of Agreement between the Owner and Contractor, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications and all Addenda issued prior to execution of the Contract.

§ 1.2 Definitions set forth in the General Conditions of the Contract for Construction, AIA Document A201 as revised by Owner, or in other Contract Documents are applicable to the Bidding Documents.

§ 1.3 Addenda are written or graphic instruments issued by the Architect prior to the execution of the Contract which modify or interpret the Bidding Documents by additions, deletions, clarifications or corrections.

§ 1.4 A Bid is a complete and properly executed proposal to do the Work for the sums stipulated therein, submitted in accordance with the Bidding Documents.

§ 1.5 The Base Bid is the sum stated in the Bid for which the Bidder offers to perform the Work described in the Bidding Documents as the base, to which Work may be added or from which Work may be deleted for sums stated in Alternate Bids.

§ 1.6 An Alternate Bid (or Alternate) is an amount stated in the Bid to be added to or deducted from the amount of the Base Bid if the corresponding change in the Work, as described in the Bidding Documents, is accepted.

§ 1.7 A Unit Price is an amount stated in the Bid as a price per unit of measurement for materials, equipment or services or a portion of the Work as described in the Bidding Documents.

§ 1.8 A Bidder is a person or entity who submits a Bid and who meets the requirements set forth in the Bidding Documents.

§ 1.9 A Sub-bidder is a person or entity who submits a bid to a Bidder for materials, equipment or labor for a portion of the Work.

ARTICLE 2 BIDDER'S REPRESENTATIONS

§ 2.1 The Bidder by making a Bid represents that:

§ 2.1.1 The Bidder has read and understands the Bidding Documents or Contract Documents, to the extent that such documentation relates to the Work for which the Bid is submitted, and for other portions of the Project, if any, being bid concurrently or presently under construction.

§ 2.1.2 The Bid is made in compliance with the Bidding Documents and all required information required by Owner in the Bidding Documents has been furnished by Bidder..

§ 2.1.3 The Bidder has visited the site, become familiar with local conditions under which the Work is to be performed and has correlated the Bidder's personal observations with the requirements of the proposed Contract Documents.

§ 2.1.4 The Bid is based upon the materials, equipment and systems required by the Bidding Documents without exception.

ARTICLE 3 BIDDING DOCUMENTS

§ 3.1 COPIES

§ 3.1.1 Bidders may obtain complete sets of the Bidding Documents from the issuing office designated in the Advertisement or Invitation to Bid in the number and for the deposit sum, if any, stated therein. The deposit will be refunded to Bidders who submit a bona fide Bid and return the Bidding Documents in good condition within ten days after receipt of Bids. The cost of replacement of missing or damaged documents will be deducted from the deposit. A Bidder receiving a Contract award may retain the Bidding Documents.

§ 3.1.2 Bidding Documents will not be issued directly to Sub-bidders unless specifically offered in the Advertisement or Invitation to Bid, or in supplementary instructions to bidders.

§ 3.1.3 Bidders shall use complete sets of Bidding Documents in preparing Bids; neither the Owner nor Architect assumes responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

§ 3.1.4 The Owner and Architect may make copies of the Bidding Documents available on the above terms for the purpose of obtaining Bids on the Work. No license or grant of use is conferred by issuance of copies of the Bidding Documents.

§ 3.2 INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS

§ 3.2.1 The Bidder shall carefully study and compare the Bidding Documents with each other, and with other work being bid concurrently or presently under construction to the extent that it relates to the Work for which the Bid is submitted, shall examine the site and local conditions, and shall at once report to the Architect errors, inconsistencies or ambiguities discovered.

§ 3.2.2 Bidders and Sub-bidders requiring clarification or interpretation of the Bidding Documents shall submit inquiries to the Director of Purchasing for Owner, 501 Seventh Street, Rockford, Illinois 61104.

§ 3.2.3 Interpretations, corrections and changes of the Bidding Documents will be made by Addendum. Interpretations, corrections and changes of the Bidding Documents made in any other manner will not be binding, and Bidders shall not rely upon them.

§ 3.3 SUBSTITUTIONS

§ 3.3.1 The materials, products and equipment described in the Bidding Documents establish a standard of required function, dimension, appearance and quality to be met by any proposed substitution.

§ 3.3.2 No substitution will be considered prior to receipt of Bids unless written request for approval has been received by the Architect and Program Manager at least ten days prior to the date for receipt of Bids. Such requests shall include the name of the material or equipment for which it is to be substituted and a complete description of the proposed substitution including drawings, performance and test data, and other information necessary for an evaluation. A statement setting forth changes in other materials, equipment or other portions of the Work, including changes in the work of other contracts that incorporation of the proposed substitution would require, shall be included. The burden of proof of the merit of the proposed substitution is upon the proposer. The Architect's decision of approval or disapproval of a proposed substitution shall be final.

§ 3.3.3 If the Architect approves a proposed substitution prior to receipt of Bids, such approval will be set forth in an Addendum. Bidders shall not rely upon approvals made in any other manner.

§ 3.3.4 No substitutions will be considered after the Contract award unless specifically provided for in the Contract Documents.

§ 3.4 ADDENDA

§ 3.4.1 Addenda will be transmitted to all who are known by the issuing office to have received a complete set of Bidding Documents.

§ 3.4.2 Copies of Addenda will be made available for inspection wherever Bidding Documents are on file for that purpose.

§ 3.4.3 Addenda will be issued no later than four days prior to the date for receipt of Bids except an Addendum withdrawing the request for Bids or one which includes postponement of the date for receipt of Bids.

§ 3.4.4 Each Bidder shall ascertain prior to submitting a Bid that the Bidder has received all Addenda issued, and the Bidder shall acknowledge their receipt in the Bid.

ARTICLE 4 BIDDING PROCEDURES

§ 4.1 PREPARATION OF BIDS

§ 4.1.1 Bids shall be submitted on the forms included with the Bidding Documents.

§ 4.1.2 All blanks on the bid form shall be legibly executed in a non-erasable medium.

§ 4.1.3 Sums shall be expressed in both words and figures. In case of discrepancy, the amount written in words shall govern.

§ 4.1.4 Interlineations, alterations and erasures must be initialed by the signer of the Bid.

§ 4.1.5 All requested Alternates shall be bid. If no change in the Base Bid is required, enter "No Change."

§ 4.1.6 Where two or more Bids for designated portions of the Work have been requested, the Bidder may, without forfeiture of the bid security, state the Bidder's refusal to accept award of less than the combination of Bids stipulated by the Bidder. The Bidder shall make no additional stipulations on the bid form nor qualify the Bid in any other manner.

§ 4.1.7 Each copy of the Bid shall state the legal name of the Bidder and the nature of legal form of the Bidder. The Bidder shall provide evidence of legal authority to perform within the jurisdiction of the Work. Each copy shall be signed by the person or persons legally authorized to bind the Bidder to a contract. A Bid by a corporation shall further give the state of incorporation and have the corporate seal affixed. A Bid submitted by an agent shall have a current power of attorney attached certifying the agent's authority to bind the Bidder.

§ 4.2 BID SECURITY

§ 4.2.1 Each Bid shall be accompanied by a bid security in the form and amount required if so stipulated in the Instructions to Bidders. The Bidder pledges to enter into a Contract with the Owner on the terms stated in the Bid and will, if required, furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Should the Bidder refuse to enter into such Contract or fail to furnish such bonds if required, the amount of the bid security shall be forfeited to the Owner as liquidated damages, not as a penalty. The amount of the bid security shall not be forfeited to the Owner in the event the Owner fails to comply with Section 6.2.

§ 4.2.2 If a surety bond is required, it shall be written on AIA Document A310, Bid Bond, unless otherwise provided in the Bidding Documents, and the attorney-in-fact who executes the bond on behalf of the surety shall affix to the bond a certified and current copy of the power of attorney.

§ 4.2.3 The Owner will have the right to retain the bid security of Bidders to whom an award is being considered until either (a) the Contract has been executed and bonds, if required, have been furnished, or (b) the specified time has elapsed so that Bids may be withdrawn or (c) all Bids have been rejected.

§ 4.3 SUBMISSION OF BIDS

§ 4.3.1 All copies of the Bid, the bid security, if any, and any other documents required to be submitted with the Bid shall be enclosed in a sealed opaque envelope. The envelope shall be addressed to the party receiving the Bids and shall be identified with the Project name, the Bidder's name and address and, if applicable, the designated portion of the Work for which the Bid is submitted. If the Bid is sent by mail, the sealed envelope shall be enclosed in a separate mailing envelope with the notation "SEALED BID ENCLOSED" on the face thereof.

§ 4.3.2 Bids shall be deposited at the designated location prior to the time and date for receipt of Bids. Bids received after the time and date for receipt of Bids will be returned unopened.

§ 4.3.3 The Bidder shall assume full responsibility for timely delivery at the location designated for receipt of Bids.

§ 4.3.4 Oral, telephonic, telegraphic, facsimile or other electronically transmitted bids will not be considered.

§ 4.4 MODIFICATION OR WITHDRAWAL OF BID

§ 4.4.1 A Bid may not be modified, withdrawn or canceled by the Bidder during the stipulated time period following the time and date designated for the receipt of Bids, and each Bidder so agrees in submitting a Bid.

§ 4.4.2 Prior to the time and date designated for receipt of Bids, a Bid submitted may be modified or withdrawn by notice to the party receiving Bids at the place designated for receipt of Bids. Such notice shall be in writing over the

signature of the Bidder. Written confirmation over the signature of the Bidder shall be received, and date- and time-stamped by the receiving party on or before the date and time set for receipt of Bids. A change shall be so worded as not to reveal the amount of the original Bid.

§ 4.4.3 Withdrawn Bids may be resubmitted up to the date and time designated for the receipt of Bids provided that they are then fully in conformance with these Instructions to Bidders.

§ 4.4.4 Bid security, if required, shall be in an amount sufficient for the Bid as resubmitted.

ARTICLE 5 CONSIDERATION OF BIDS

§ 5.1 OPENING OF BIDS

This bid is form a project for the Rockford Public Schools. All bids advertised, submitted, and selected for award by Owner and other matters relating to the bidding process shall adhere to the provisions of Illinois law, in particular the provisions of the School Code, including without limitation, the provisions of 105 ILCS 5/10-20.21.

At the discretion of the Owner, if stipulated in the Advertisement or Invitation to Bid, the properly identified Bids received on time will be publicly opened and will be read aloud. An abstract of the Bids may be made available to Bidders.

§ 5.2 REJECTION OF BIDS

The Owner shall have the right to reject any or all Bids. A Bid not accompanied by a required bid security or by other data required by the Bidding Documents, or a Bid which is in any way nonresponsive, incomplete or irregular is subject to rejection.

§ 5.3 ACCEPTANCE OF BID (AWARD)

§ 5.3.1 It is the intent of the Owner to award a Contract to the lowest responsible Bidder provided the Bid has been submitted in accordance with the requirements of the Bidding Documents and does not exceed the funds available. The Owner shall have the right to waive informalities and irregularities in a Bid received and to accept the Bid which, in the Owner's judgment, is in the Owner's own best interests.

§ 5.3.2 The Owner shall have the right to accept Alternates in any order or combination, unless otherwise specifically provided in the Bidding Documents, and to determine the low Bidder on the basis of the sum of the Base Bid and Alternates accepted.

ARTICLE 6 POST-BID INFORMATION

§ 6.1 CONTRACTOR'S QUALIFICATION STATEMENT

Bidders to whom award of a Contract is under consideration shall submit to the Architect, upon request, a properly executed AIA Document A305, Contractor's Qualification Statement, unless such a Statement has been previously required and submitted as a prerequisite to the issuance of Bidding Documents.

§ 6.2

(Paragraphs deleted)

SUBMITTALS

(Paragraphs deleted)

§ 6.2.1 The Bidder shall, as soon as practicable or as stipulated in the Bidding Documents, after notification of selection for the award of a Contract, furnish to the Owner through the Architect in writing:

- .1 a designation of the Work to be performed with the Bidder's own forces;
- .2 names of the manufacturers, products, and the suppliers of principal items or systems of materials and equipment proposed for the Work; and
- .3 names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for the principal portions of the Work.

§ 6.2.2 The Bidder will be required to establish to the satisfaction of the Architect, Program Manager and Owner the reliability and responsibility of the persons or entities proposed to furnish and perform the Work described in the Bidding Documents.

§ 6.3.3 Prior to the execution of the Contract, the Architect will notify the Bidder in writing if either the Owner, Program Manager or Architect, after due investigation, has reasonable objection to a person or entity proposed by the Bidder. If the Owner or Architect has reasonable objection to a proposed person or entity, the Bidder may, at the Bidder's option, (1) withdraw the Bid or (2) submit an acceptable substitute person or entity. The Owner may accept the substitute person or entity or disqualify the Bidder. In the event of either withdrawal or disqualification, bid security will not be forfeited.

§ 6.3.4 Persons and entities proposed by the Bidder and to whom the Owner and Architect have made no reasonable objection must be used on the Work for which they were proposed and shall not be changed except with the written consent of the Owner and Architect.

ARTICLE 7 PERFORMANCE BOND AND PAYMENT BOND

§ 7.1 BOND REQUIREMENTS

§ 7.1.1 If stipulated in the Bidding Documents, the Bidder shall furnish bonds covering the faithful performance of the Contract and payment of all obligations arising thereunder. Bonds may be secured through the Bidder's usual sources.

§ 7.1.2 If the furnishing of such bonds is stipulated in the Bidding Documents, the cost shall be included in the Bid. If the furnishing of such bonds is required after receipt of bids and before execution of the Contract, the cost of such bonds shall be added to the Bid in determining the Contract Sum.

§ 7.1.3 If the Owner requires that bonds be secured from other than the Bidder's usual sources, changes in cost will be adjusted as provided in the Contract Documents.

§ 7.2 TIME OF DELIVERY AND FORM OF BONDS

§ 7.2.1 The Bidder shall deliver the required bonds to the Owner not later than three days following the date of execution of the Contract. If the Work is to be commenced prior thereto in response to a letter of intent, the Bidder shall, prior to commencement of the Work, submit evidence satisfactory to the Owner that such bonds will be furnished and delivered in accordance with this Section 7.2.1.

§ 7.2.2 Unless otherwise provided, the bonds shall be written on AIA Document A312, Performance Bond and Payment Bond. Both bonds shall be written in the amount of the Contract Sum.

§ 7.2.3 The bonds shall be dated on or after the date of the Contract.

§ 7.2.4 The Bidder shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

ARTICLE 8 FORM OF AGREEMENT BETWEEN OWNER AND CONTRACTOR

The Agreement for the Work will be written on AIA Document A101-2007 as revised by Owner and be accompanied by General Conditions on AIA Document A201-2007, as revised by Owner and further revised by Supplementary Conditions issued by Owner all as included in the Bidding Documents.

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

1. SPECIAL NOTICE TO BIDDERS:

- A. Proposals shall be submitted in duplicate on the forms provided. The sample proposal form bound into these Specifications is for reference only and shall not be detached. Proposals shall be enclosed in a sealed envelope, with name of the project clearly identified, and bearing the words "SEALED BID ENCLOSED".
- B. Proposals shall be based upon the drawing and specifications and each bidder shall acknowledge the receipt and inclusion of any further instruction or addenda which may be issued prior to receipt of proposal.
- C. Bids shall be opened publicly by the Owner, immediately after bid closing time at the office of the Board of Education, 501 Seventh Street, Rockford, Illinois.

2. METHOD OF BIDDING

Bids will be received for a single contract.

3. SIGNING BIDS:

- A. Bids which are signed for a partnership shall be signed by all partners or by an Attorney-In-Fact. If signed by an Attorney-In-Fact, there shall be attached to the bid, a Power of Attorney evidencing such authority.
- B. Bids which are signed for a corporation shall have the correct corporation name thereon and the signature of the president or other authorized officer of the corporation.
- C. Proposals shall be made on the form provided and shall not be altered in any way.

4. QUALIFICATIONS:

Statement as to whether the bidder has adequate equipment to do the work properly and expeditiously.

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

5. AWARD (SEE ARTICLE 5.3)

The Contract shall be deemed as having been awarded when formal written notice shall have been duly served by an officer or agent of the Owner duly authorized to give such notice.

6. TAXES:

The bidder shall not include any Illinois Retailers Occupation or use taxes on tangible property purchased in the State of Illinois in his bid. Exemption Certificates for these taxes will be furnished by the Board of Education to the Contractor when requested by him/her in writing. See Section 17 regarding sales of tangible property into the State of Illinois.

7. FORM OF CONTRACT:

The Owner-Contractor agreement shall be the Standard Form of Agreement between Owner and Contractor, AIA Document A101-2007 as revised by Owner, (form included in bidding documents), including the General Conditions AIA A201-2007 as revised by Owner (form included in Invitation for Bid), the Addendum included in the Invitation for Bid, the Invitation for Bid, all amendments and addenda to the Invitation for Bid issued by the Owner, and the successful bidders bid.

8. ACCEPTANCE OR REJECTION OF BIDS:

The Owner reserves the right to reject any or all bids and to waive informalities in order to accept the bid that in his judgment will be best for the interest of the School District. Any bidder may withdraw his bid either personally or by telephone written request at any time prior to the scheduled closing time for receipt of bids.

9. QUESTION ON BIDDING DOCUMENT:

Refer all questions relative to the business aspect, Instructions to Bidders, Special Conditions, and questions concerning the technical aspect of the documents to the Executive Director of Budget and Purchasing by email at stacie.scott@rps205.com.

10. BID DEPOSIT:

Each bidder shall provide a Bid Bond, a Certified Check or Bank Draft in the amount of 5% of the bid total. Bid deposits will be returned to unsuccessful bidders within (30) days after award. Bid deposits will be returned to successful bidder as soon as Contract is accepted for the work outlined in this proposal.

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

11. EXAMINATION OF SITE:

Bidder shall examine the sites of the work prior to bidding. He shall satisfy himself/herself as to existing conditions, local facilities and governing factors under which he will be obliged to operate in performing his part of the work, or that may in any manner affect the work under this contract. No allowance shall be subsequently made in this connection in behalf of the Bidder for any error or negligence on his/her part due to this failure to fully examine the sites or the work prior to bidding.

12. PREVAILING WAGE:

This Bid requires that the successful Contractor comply with all statutes, both Federal and State, governing payment of wages to employees. The Contractor certifies that by submitting his bid that he will pay the prevailing rate of wage in this area, for the particular type of labor, in accordance with State of Illinois Codes and the Illinois department of Labor. The Contractor and each Subcontractor shall keep an accurate record to show names and occupation of all workmen employed by them in connection with this contract. The actual hourly wage paid to each shall be recorded. These records shall be open for inspection during all working hours to the Owner's agent and the agent of the Illinois Dept. of Labor. In accordance with the amendment of the Illinois Prevailing Wage Act effective 1-1-90, as amended, the following clause shall be apart of this contract. *"If during the course of this contract the Department of Labor revises the prevailing rate hourly wages to be paid under this contract for any trade or occupation, Owner will notify contractor and each Subcontractor of the change in the prevailing rate of hourly wages. Contractor shall have the sole responsibility and duty to ensure that the revised prevailing rate of hourly wage is paid by Contractor and all Subcontractors to each worker to whom a revised rate is applicable. Revisions to the prevailing wage as set forth above shall not result in an increase in the contract sum."*

13. DOCUMENTS TO BE RETURNED:

Forwarded with this bidding document is one complete set of specifications and bidding forms. The bidding forms are included within the bidding document, *Two copies of the bidding forms are to be returned as your Bidding Document, along with the Bid Deposit, signatures, and other required information.* A self-addressed label, properly identified, is provided for your use.

It is required that the Bidder's signature appears on the following bidding forms:

- A. Statement of No Interest (if applicable)
- B. Bid-Rigging Certification
- C. Minority and Women Owned Business Form
- D. Certification Regarding Debarment Form
- E. Certificate Regarding Lobbying Form
- F. OFAC Compliance Form
- G. Vendor Conflict of Interest Disclosure Form
- H. Bid Offer Form

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

14. ILLINOIS FAIR EMPLOYMENT PRACTICES

The bidder's signature on the bid form of this Face Sheet will be construed as his/her acceptance of and willingness to comply with all provisions of the Acts of the General Assembly of the State of Illinois relating to wages of laborers, preferences and discrimination and intimidation of employees. This bid and the resulting Contract are specifically subject to the Equal Employment Opportunity requirements of the Illinois Fair Employment Practices Commission and the policies of the Rockford Board of Education. Bidder agrees to comply in all respect with Federal, State and local laws and ordinances pertaining to this bid and to the performance of the Contract in the event bidder is awarded the bid. Provisions of applicable acts are hereby incorporated by reference and become a part of this proposal and specifications.

15. EMPLOYMENT OF ILLINOIS WORKERS ON PUBLIC WORKS ACT

Pursuant to the Employment of Illinois Workers on Public Works Act, during any time of excessive unemployment (defined as any month immediately following 2 consecutive calendar months during which the level of unemployment in the State of Illinois has exceeded 5% as measured by the United States Bureau of Labor Statistics in its monthly publication of employment and unemployment figures) any person or entity charged with the duty, either by law or contract, of (1) constructing or building any public works, as defined in this Act, or (2) the clean-up and on-site disposal of hazardous waste for the State of Illinois or any political subdivision of the State, and that clean-up or on-site disposal is funded or financed in whole or in part with State funds or funds administered by the State of Illinois, then that person or entity shall employ at least 90% Illinois laborers on such project. Persons or entities entering into a contract with the Rockford Public Schools in which they are obligated to construct or build any public works (defined any fixed work construction or improvements funded in whole or part by the State of Illinois) agree to abide by the requirements of the Employment of Illinois Workers on Public Works Act.

16. TAX IDENTIFICATION NUMBER:

Under Federal Law and in accordance with instructions from the Department of Treasury and the Internal Revenue Service, our School District is required to have on file appropriated tax identification information concerning you or your firm. This information will be a Federal Employer's Identification Number, but in some instances of independent contractors, it might be a Social Security Number. This information is needed to determine on which vendors we must file a Form 1099.

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

BEFORE A BID CAN BE CONSIDERED BY THE SCHOOL DISTRICT, THE ABOVE REFERENCED TAX IDENTIFICATION NUMBER MUST BE ON THE BID FORM IN THE SIGNATURE SECTION. WE ALSO SPECIFICALLY REQUIRE THAT YOU IDENTIFY THE LEGAL ORGANIZATIONAL STATUS OF YOUR FIRM IN THE SIGNATURE SECTION AS TO WHETHER IT IS A CORPORATION, PARTNERSHIP, PROPRIETORSHIP, ETC., SHOULD YOU HAVE ANY QUESTIONS CONCERNING THIS TAX IDENTIFICATION NUMBER, PLEASE CONTACT US.

17. **CONTRACTOR RESPONSIBILITY TO COLLECT AND REMIT ILLINOIS USE TAX**

The bidders acknowledge and understand that any resulting contract for goods and services awarded to a bidder requires that as a contractor the person or entity and all affiliates of the person or entity will collect and remit Illinois Use Tax on all sales of tangible personal property into the State of Illinois in accordance with the provisions of the Illinois Use Tax Act (35 ILCS 105/1 et seq.) regardless of whether the person/entity or affiliate is a “retailer maintaining a place of business within this State” as defined by the Use Tax Act (35 ILCS 105/2). (Reference the School Code of Illinois; 105 ILCS 5/10-20.21(b))

18. **PERFORMANCE BOND:** Shall be submitted on AIA Document 312-2010, “Performance Bond” and “Labor & Material Payment Bond”.

The successful bidder will be required to furnish a Performance Bond and a Labor & Materials Bond satisfactory to the Board of Education. The amount of said bond shall be equal to 100% of the contract award and the cost of any said bond shall be included in the Contractor’s proposal.

19. **PREQUALIFICATION OF MATERIALS:**

Approval of other “or equal” materials shall be pre-qualified by the Architect’s at least five (5) working days before the bid opening. Proposals may be offered on more than one manufacturer.

20. **PREQUALIFICATION OF BIDDER:**

A bidder may be required to furnish evidence satisfactory to the Owner that he/she and his/her proposed subcontractors have sufficient means and experience in the types of work call for to assure completion of the contract in a satisfactory manner. A new bidder may be required to properly execute AIA Document A305, “Contractor’s Qualification Statement” before submitting his bid.

SUPPLEMENTARY INSTRUCTIONS TO BIDDERS

21. MINORITY AND FEMALE OWNED BUSINESSES

District #205 supports the policy of the State of Illinois to support Minority Owned Business Enterprise (MBE) and Female Owned Business Enterprise (FBE). The District seeks to identify and encourage the amount of minority and female involvement in each of the construction-related contracts issued by the District. A bidder will be required to submit the minority certification form enclosed with the bid documents. Additionally, in the event and to the extent State of Illinois funds in excess of \$250,000.00 are awarded to and used by the District for capital construction costs and design services on a school construction project, and goals are established for MBE and FBE participation in such school construction project involving the use of State of Illinois funds, and to the extent such goals are not inconsistent with Federal guidelines the District will follow such goals unless waived. The successful bidder agrees to cooperate with the District to provide necessary information to meet state funding requirements and on participation by MBE and WBE and to assist in meeting goals through certification as a MBE or WBE or certification of subcontractors.

GENERAL TERMS AND CONDITIONS

“District” means Rockford School District No. 205, Winnebago and Boone Counties, Illinois.

“IFB” means an Invitation for Bid issued by the District at any time or times, identified by a unique bid number.

“Bidder” means a person or entity submitting a bid to the District in response to an IFB; including successful Bidders.

1. BID OPENING. Sealed bids will be received at the District Purchasing Department until the date and time specified at which time they shall be opened in public. No other bids will be considered after this date and time unless it is evidenced and determined that the bid was in the District’s possession prior to the scheduled bid opening time and date. Late bids shall be rejected and shall remain unopened. The District does not prescribe the method by which bids are to be transmitted; therefore, it cannot be held responsible for any delay, regardless of the reason, in transmission of the bids. All bids delivered in person shall be deposited with the District Purchasing Department, 6th Floor, 501 Seventh Street, Rockford, IL, 61104.

2. BID PREPARATION. Bids must be submitted on this form and all information and certifications called for must be furnished. Bids submitted in any other manner, or which fail to furnish all information or certificates required, may be summarily rejected. Bids may be modified or withdrawn prior to the time specified for the opening of the bids. Bids shall be filled out legibly in ink or typewritten with all erasures, strikeouts and corrections initialed in ink by the person signing the bid. The bid shall include the legal name of the bidder, the complete mailing address, and be signed in ink by a person or persons legally authorized to bind the bidder to a contract. Name of person signing should be typed or printed below the signature.

3. BID ENVELOPES. Envelopes containing bids must be sealed and addressed to the District Purchasing Department. The name and address of the Bidder and the bid number must be shown on the envelope.

4. ERRORS IN BIDS. Bidders are cautioned to verify their bids before submission. Negligence on the part of the Bidder in preparing the bid confers no right for withdrawal or modification of the bid after it has been opened. In case of error in the extension of prices in the bid, the unit prices will govern.

5. RESERVED RIGHTS. The District reserves the right at any time and for any reason to cancel an IFB, accept or reject any or all bids or any portion thereof, or to accept an alternate offer. The District reserves the right to waive any minor informality defect in any IFB. Unless otherwise specified, the District will award a bid or reject bids within 60 days. The District may seek clarification from any Bidder at any time and failure to respond promptly is cause for rejection.

6. INCURRED COSTS. The District will not be liable for any costs incurred by Bidders in responding to an IFB.

7. AWARD. The District will evaluate bids and will award a contract to the lowest responsive and responsible bidder whose bid, conforming to the solicitation and specifications will be most advantageous to the District. Determination of the lowest responsible bidder conforming to the solicitation shall not be restricted to the price quotation alone, but will include such other factors (where applicable) as (a) adherence to all conditions and requirements of the technical specifications; (b) price; (c) qualifications of the bidder, including past performance, financial responsibility, general reputation, experience, service capabilities, and facilities; (d) delivery or completion date; (e) product appearance, workmanship, finish, taste, feel, overall quality, and results of product testing; (f) maintenance costs and warranty provisions; (g) repurchase or residual value; and (h) other such related items. The District is interested in obtaining the best overall value and reserves the right to make a selection based on its judgment of the bid that is best suited for the purpose intended. The District may (1) reject any or all bids, (2) accept other than the lowest bidder, and (3) waive informalities or minor irregularities in bids received. The District may accept any item or group of items of an offer, unless the bidder qualifies the bid by specific limitations. The District reserves the right to determine the lowest responsible bidder on the basis of an individual item, groups of items, or in any way determined to be in the best interests of the District. A written award or acceptance of a bid mailed or otherwise furnished to the successful Bidder within the time for acceptance specified in the bid shall result in a binding contract without further action by either party.

8. PRICING. The price quoted for each item is the full purchase price, **including delivery to destination**, and includes all transportation and handling charges, premiums on bonds, material or service costs, patent royalties and all other overhead charges of every kind and nature. Unless otherwise specified, prices shall remain firm for the contract period.

If at any time after a contract is awarded to the successful Bidder(s) makes a general price reduction in the comparable price of any material covered by the contract to customers generally, an equivalent price reduction based on similar quantities and/or considerations shall apply to the contract for the duration of the contract period (or until the price is further reduced). Such price reduction shall be effective at the same time and in the same manner as the reduction in the price to customers generally. For the purpose of this provision, a “general price reduction” shall mean any horizontal reduction in the price of an article or service offered (1) to successful Bidder’s customers generally, or (2) in the successful Bidder’s price schedule for the class of customers, i.e., wholesalers, jobbers, retailers, etc., which was used as the basis for bidding on this contract. An occasional sale at a lower price, or sale of distressed merchandise at a lower price, would not be considered a “general price reduction” under this provision. The successful Bidder shall invoice the District at such reduced prices indicating on the invoice that the reduction is pursuant to the “price reduction” provision of this contract. The successful Bidder, in addition, shall within ten (10) days of any general price reduction, notify the Executive Director of Budget and Purchasing of such reduction by letter. Failure to do so may result in termination of the contract.

9. DISCOUNTS. Prices quoted must be net after deducting all trade and quantity discounts.

10. SPECIFICATIONS. Reference to brand names and numbers is descriptive, but not restrictive, unless otherwise specified. Bids on equivalent items will be considered, provided the bidder clearly states exactly what is proposed to be furnished, including complete specifications. Unless the Bidder specified otherwise, it is understood the Bidder is offering a referenced brand item as specified or is bidding as specified when no brand is referenced, and does not propose to furnish an “equal.” The District reserves the right to determine whether a substitute offer is equivalent to and meets the standard of quality and salient characteristics indicated by the brand name and number.

11. SAMPLES. Samples of items, when called for, must be furnished free of expense. Individual samples must be labeled with the Bidder’s name, bid number, item reference, manufacturer’s brand name and number. If samples are requested, they must be sent under separate cover and not included with bid. The District will not be responsible for any bid enclosed with sample boxes.

12. INTERPRETATION OR CORRECTION OF BIDDING DOCUMENTS. Bidders shall promptly notify the Rockford Public School District of any ambiguity, inconsistency or error which they may discover upon examination of the IFB documents. Interpretations, corrections and changes will be made by amendment. Each Bidder shall ascertain prior to submitting a bid that all amendments have been received and acknowledged in the offer.

13. INDEMNIFICATION. The Bidder shall indemnify and hold harmless the District, its agents, officials, and employees from and against all injuries, losses, claims, suits, costs and expenses which may accrue against the District as a consequence of granting the contract.

14. DEFAULT. If delivery of acceptable items or rendering of services is not completed by the time promised, the District reserves the right, without liability, in addition to its other rights and remedies, to terminate the contract by notice effective when received by Bidder, as to stated items not yet shipped or services not yet rendered and to purchase substitute items or services elsewhere and charge the Seller with any or all losses incurred. The District shall be entitled to recover its attorney fees and expenses in any successful action by the District to enforce this contract.

15. INSPECTION. Materials or equipment purchased are subject to inspection and approval at the District’s destination. The District reserves the right to reject and refuse acceptance of items which are not in accordance with the IFB, instructions, specifications, drawings or data or Bidder’s warranty (express or implied). Rejected materials or equipment shall be removed by, or at the expense of, the Bidder promptly after rejection and if not removed within 10-calendar days after notice, such shall be returned via collect shipping.

16. WARRANTY. Bidder warrants that all goods and services furnished hereunder will conform in all respects to the terms of this proposal, including any drawings, specification or standards incorporated herein, and that they will be free from latent and patent defects in materials, workmanship and title, and will be free from such defects in design to the best of the Bidder's knowledge. In addition, Bidder warrants that said goods and services are suitable for, and will perform in accordance with, the purposes for which they are purchased, fabricated, manufactured and designed or for such other purposes as are expressly specified in this solicitation. The District may return any nonconforming or defective items to the Bidder or require correction or replacement of the item at the time the defect is discovered, all at the Bidder's risk and expense. Acceptance shall not relieve the Bidder of its responsibility.

17. REGULATORY COMPLIANCE. Bidder represents and warrants that the goods or services furnished hereunder (including all labels, packages and container for said goods) comply with all applicable standards, rules and regulations in effect under the requirements of all Federal, State and local laws, rules and regulations as applicable, including the Occupational Safety and Health Act as amended, with respect to design, construction, manufacture or use for their intended purpose of said goods or services. Bidder shall furnish "Material Safety Data Sheets" in compliance with the Illinois Toxic Substances Disclosure to Employees Act, if applicable.

18. ROYALTIES AND PATENTS. Bidder shall pay all royalties and license fees. Bidder shall defend all suits or claims for infringement of any patent, copyright or trademark rights and shall hold the District harmless from loss on account thereof.

19. COMPLIANCE WITH LAWS AND REGULATIONS. Bidder represents and warrants that throughout the term of any contract arising from award of a bid and any extension thereof, Bidder and all products shall be and shall remain in compliance with all applicable federal, state, and local laws and regulations.

20. TERMINATION. (a) The District may terminate this contract in whole or in part, without liability, if deliveries are not made at the time and in the quantities specified, if the Bidder fails to perform any of the provisions of this contract, or so fails to make progress as to endanger performance of this contract in accordance with its terms, and in either of these circumstances does not cure such failure within such period of time as the District may direct, if it is determined the successful Bidder knowingly falsified information provided to the District, if it is determined the successful Bidder offered substantial gifts or gratuities to a District official, employee, or agent whether in their official capacity or not, or in the event of a breach or failure of the Contractor to comply with any of the other terms or conditions herein. The District shall notify the contractor in writing of the specific nature of the breach and shall request that it be cured. If the Contractor does not cure the breach within thirty (30) days of such notice, the District may immediately terminate this contract. To terminate, the District shall give notice to the Contractor in writing, and to the extent specified therein, Contractor shall immediately terminate deliveries under the contract. Termination of the contract shall not preclude the District from pursuing any and all remedies available to it at law or at equity.

(b) Any termination by the District, whether for default or otherwise, shall be without prejudice to any claims for damages or other rights of the District against Contractor.

(c) The District shall have the right to audit all elements of any termination claim and Contractor shall make available to the District on request all books, records, and papers relating thereto.

(d) The Contractor shall be paid only for the performance of work up to the date of termination if the District exercises its right to terminate.

21. TERMINATION WITHOUT CAUSE. Unless otherwise specified in the Invitation for Bid, a contract formed by award of a bid may be unilaterally terminated by the District, for any or no reason, upon sixty (60) days written advance notice to the Bidder. Bidder may submit claims for actual work performed up to and including the day of notice of termination with appropriate documentation supporting such claim for materials, labor, or acquired inventory for equitable adjustment and any such material shall become the property of the District upon settlement.

22. ASSIGNMENT. The Bidder may not assign, subcontract, delegate or otherwise transfer this contract or any of its rights or obligations hereunder, nor may it contract with third parties to perform any of its obligations hereunder except as contemplated in this contract, without the District's prior written consent.

23. FORCE MAJEURE. The obligations of the Bidder to perform under this contract will be excused during each period of delay caused by acts of God or by shortages of power or materials or government orders which are beyond the reasonable control of the Bidder obligated to perform ("Force Majeure Event"). In the event that the Bidder ceases to perform its obligations under any contract formed by award of bid due to the occurrence of a Force Majeure Event, the Bidder shall: (1) immediately notify the District in writing of such Force Majeure Event and its expected duration; (2) take all reasonable steps to recommence performance of its obligations under this contract as soon as possible. In the event that any Force Majeure Event delays Bidder's performance for more than thirty (30) days following notice pursuant to this contract, the District may terminate this contract immediately upon written notice to the Contractor.

24. BID CERTIFICATION. The Bidder's signature on a bid certifies: (a) The bid is genuine and not made in the interest of, or on the behalf of, any undisclosed persons, firms or corporation and is not submitted in conformity with any agreement or rules of any group association, or organization. (b) Bidder has not directly or indirectly induced or solicited any other Bidder to enter a false or sham bid. (c) Bidder has not solicited or induced any person, firm or group to refrain from bidding. (d) Bidder has not sought by collusion or otherwise to obtain for self-interest any advantage over any other Bidder or the Owner. The Bidder's signature on the Bid Form certifies that they have read and understand the contents of this solicitation and agree to furnish at the prices shown any or all of the items and/or services, subject to all instructions, conditions, specifications and attachments hereto. Failure to have read all the provisions of the IFB shall not be cause to alter any resulting contract, request additional compensation, or relieve Bidder from obligation to perform under this contract.

25. MODIFICATIONS. This contract can be modified only by written bi-lateral modification signed by the parties or duly authorized agents.

26. ADDENDA. If it becomes necessary to revise any part of this bid, a written addendum will be provided to all bidders. If the District issues written addenda, such addenda shall become part of the contract documents. A Bidder who fails to receive the District's addenda, and who has previously submitted an offer, shall not be relieved from any obligation in the bid submitted.

27. BINDING EFFECT. The terms, conditions, provisions, and undertakings of any contract formed by award of a bid shall be binding upon and inure to the benefit of each of the parties thereto and their respective successors and assigns.

28. EQUAL OPPORTUNITY EMPLOYER. The Rockford Public School District is an Equal Opportunity Employer and encourages bids or proposals from any company or individual regardless of race, gender, national origin, religion or age.

SUPPLEMENTARY CONDITIONS

The following supplements modify, change, delete from or add to the General Conditions of the Contract for Construction, AIA document A201 2007, as revised by Owner; hereinafter referred to as General Conditions. References herein to Owner shall mean the Board of Education of Rockford School District No. 205, Winnebago-Boone Counties, Illinois.

Where any Article of the General Conditions is modified or any paragraph, subparagraph, or clause thereof is modified or deleted by these supplements the unaltered provisions of that article, paragraph, subparagraph or clause shall remain in effect. In the event of a conflict between the General Conditions and these Supplementary Conditions, which are complementary, the Supplementary Conditions shall prevail.

1. INSURANCE

- A. Contractor's Liability Insurance shall include all major divisions of coverage and be on a comprehensive basis including:
 - 1. Premises operations
 - 2. Independent Contractor's protective
 - 3. Products and completed operations
 - 4. Personal injury liability with employment exclusion deleted.
 - 5. Contractual, including specified provision for Indemnification under General Conditions paragraph 3.18.
 - 6. Owned and non-owned motor vehicles
 - 7. Broad form property damage including completed operations.
- B. The insurance required by General Conditions paragraph 11.1.1 shall be written for not less than the following limits, or greater if required by law:
 - 1. Workman's Compensation:
 - a. Statutory Workman's compensation.
 - b. Employers' liability - \$500,000.00 per accident and aggregate disease.
 - 2. Builder's Risk Insurance will be carried and covered by the Board of Education separately.

Comprehensive general liability and contractual liability limits, automobile liability and umbrella coverage will depend on the category of the project. Category 1 projects will have a contract amount in the range of \$0.00 to \$1,000,000.00 and category 2 projects will have a contract amount in excess of \$1,000,000.00. The minimum liability limits per category are as follows:

SUPPLEMENTARY CONDITIONS

3. Comprehensive general liability and contractual liability

	<u>CATEGORY 1 CONTRACTS</u>	<u>CATEGORY 2 CONTRACTS</u>
a. Bodily injury:	\$1,000,000.00 each person \$1,000,000.00 each occurrence \$1,000,000.00 aggregate	\$2,000,000.00 each person \$2,000,000.00 each occurrence \$4,000,000.00 aggregate
b. Property damage:	\$1,000,000.00 each occurrence \$1,000,000.00 aggregate	\$1,000,000.00 each occurrence \$1,000,000.00 aggregate
c.	Shall include products and completed operations insurance as above for 1 year after final payment (Category 1 AND Category 2).	

4. Comprehensive Automobile Liability Category 1 AND Category 2

- | | | |
|----|------------------|--|
| a. | Bodily Injury: | \$1,000,000.00 each person
\$1,000,000.00 each occurrence |
| b. | Property Damage: | \$1,000,000.00 each occurrence
\$1,000,000.00 aggregate |

5. If the general liability coverage is provided by a commercial liability policy, the:

- | | |
|----|--|
| a. | General aggregate shall not be less than \$2,000,000 for Category 1 and \$4,000,000.00 for Category 2 and shall apply in total, to this project. |
| b. | Fire damage limit shall be not less than \$50,000 on any one fire. |

6. Umbrella liability coverage:

<u>CATEGORY 1</u>	<u>CATEGORY 2</u>
\$3,000,000.00 each occurrence \$3,000,000.00 aggregate	\$5,000,000.00 each occurrence \$5,000,000.00 aggregate

C. Certificate of Insurance:

The insurance shall be written on the Comprehensive General Liability Policy Form. The certificate shall be submitted on current AIA Document G705. A copy of this document is included herein.

SUPPLEMENTARY CONDITIONS

- D. Cancellation Notice:
All certificates and policies shall indicate that the carrying company will not cancel without giving the Owner notice in writing thirty (30) days prior to date cancellation is to become effective.
 - E. Subcontractors Comprehensive Insurance:
Contractor should protect himself/herself by requiring his subcontractors to maintain workman's compensation insurance and insurance of the same kind in amounts specified above.
 - F. Contractors Comprehensive Insurance:
Contractor shall carry sufficient comprehensive insurance on his/her equipment at site of work and in route to and from site to fully protect him/her. Contractor shall require same coverage of his/her subcontractors. It is expressly understood and agreed that the Owner and/or Architect shall have no responsibility thereof.
 - G. At no time shall the Contractor's workers be considered employees of the Board of Education.
2. **CLEANING AND PROTECTION OF BUILDING:**
- A. The Contractor shall not allow rubbish, debris, or unused material related to the execution of this Contract to accumulate on the premises. Contractor shall on a daily basis or otherwise as directed by the Owner's representative or designee, clean or pay the cost of cleaning all debris and dirt, etc., which may accumulate on the site due to the execution of this Contract.
 - B. The Owner has contracted with an Owner's representative or designee for certain projects under the Master Facilities Plan. In the event this project is managed by the Owner's representative or designee, all communications, requests and instructions shall be copied to the Owner's representative or designee. Contractor shall follow the instructions and decisions of Owner's representative or designee as though made and issued by Owner. Owner's representative or designee shall designate the permitted hours of construction activity for this project and Contractor shall not conduct construction or other activities relating to this project at the project site outside the permitted hours without the express prior consent of the Owner's representative or designee.
3. **SCHEDULE OF VALUES:**
Contractor shall submit a schedule of values to the Architect before submitting the first payment request. Use AIA Document G703. File in accordance with Article 9 of General Conditions.

SUPPLEMENTARY CONDITIONS

4. APPLICATION FOR PAYMENTS:

- A. Payment requests shall be with 10% retainage. First request, per school, may be made when the work is substantially completed at that school. Final request for the “retainage” amount shall be after completion of “Final Acceptance of Contracted Project” form.
- B. “Request for Payment” shall be submitted to the Architect on AIA Document G702/G703. Contract’s Partial Waiver of Lien will be required, current with each payment request, in the net amount of each request. Waivers of Lien from each subcontractor and material supplier to whom payment has been made, shall be required with the following payment request. Final waiver of lien from subcontractors and material suppliers shall be submitted with final pay request.
- C. The Board of Education regular meetings generally occur on the second and fourth Tuesdays of each month as specified by Board of Education Resolution and found on the web site for the Board of Education as the Board Calendar (www.rps205.com). Architect approved payment requests must be received in the Finance Department not less than 10 calendar days prior to a scheduled Board meeting for the request to be considered at that Board meeting.
- D. The Board of Education shall comply with the provisions of the Local Government Prompt Payment Act, 50 ILCS 515/1, et. seq.
- E. The provisions of the Addendum shall govern.

5. GUARANTEES:

If within one (1) year after the date of “Final Acceptance of Contracted Project” any of the work is found to be defective or not in accordance with the contract documents, the Contractor shall correct it promptly after receipt of written notice from the Owner to do so unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition.

6. CONFLICT OF INTEREST:

The State of Illinois School Code is very explicit in its direction as to the relationship of the parties involved in contracts and transactions. Below please find a reproduction of Section 33-5 (105 ILCS 5/33-5) of said code:

No member or employee of the Board of Education shall be directly or indirectly interested in any contract, work, or business of the District, or in the sale of any article, the expense, price or consideration of which is paid by the District nor in the purchase of any real estate or property belonging to the District or which shall be sold by virtue of legal process at the suit of the District. Whoever violates any provision of this Section shall be guilty of a Class A misdemeanor. (P.A.-2267)

SUPPLEMENTARY CONDITIONS

7. **TEMPORARY USE OF FACILITIES:**

A. Utilities. Owner will allow Contractor use of Owner's existing electric, gas and water utilities conditioned on full compliance by Contractor with Architect's connection and use specifications. Owner may revoke any or all utility use at any time or times in the event such use by Contractor disrupts or interferes with the normal daily operations of Owner's schools.

B. The Contractor may NOT use Owners toilet facilities or washrooms.

8. **EMPLOYEE CONDUCT:**

All of Contractor's employees, agents, principals, and consultants shall abide by Federal, State and Local Laws and Board of Education policy while on District premises. No employee, agent or principal of Contractor and its consultants and vendors shall fraternize with any student of the school district. Any employee whose conduct is judged unfit by District shall not be permitted to work on the project. Contractor agrees to comply with and abide by all rules, regulations and policies of the District and the direction of the Owner's representative or designee relating to access to and conduct upon District Premises.

Contractor employees, agents and principals and its consultants and consultants employees and agents shall not perform work within District buildings for more than 30 school days within any school year (July 1 to June 30) unless a criminal history records check has been conducted by Contractor, the individual(s) is found to have not violated any of the drug or criminal offenses listed in the criminal history records check provisions in the School Code 105 ILCS 5/10-21.9(f) (the Act), and the Contractor so certifies the same to be true on the Certified Cleared Employee List.

Contractor employees, agents and principals and its consultants and consultant's employees and agents shall not be permitted to be present on District grounds unless a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check has been conducted regarding all such employees in accordance with and subject to the provisions of the Act. Contractor employees, agents and principals and its consultants and consultant's employees and agents who are found to have had convictions of the enumerated criminal or drug offenses listed in the Act or who appear in the noted databases shall not be permitted at any time to be present on school grounds.

Contractor employees accessing school grounds are required to have in their possession identification issued by the District. All such persons must check in with the school main office to receive a visitor's identification at which time the individual must present a government issued photo identification which will be used to verify the individual's name appears on the Certified Cleared Employee List. At the conclusion of the work day, plastic or hard cover identification must be returned to the school.

SUPPLEMENTARY CONDITIONS

Not less than 10 days prior to the commencement of work, Contractor shall submit to Owner, with a copy to Owner's representative or designee, a written certification on a form provided by Owner (Certified Cleared Employee List), signed by Contractor under oath that the employees listed on the certification have been the subject of a criminal history records check (for employees working more than 30 school days in District buildings), and a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check for all employees accessing District grounds. Contractor shall update the certification as and when necessary to keep such certification list current.

The Owner and Owner's representative or designee may from time to time and at multiple times in their discretion and without notice check the identification of all persons accessing school grounds by or through the Contractor to assure such persons appear on the certification list and have in their possession a valid District issued identification. Contractor warrants that it shall immediately notify the District if a certified cleared employee is convicted of an enumerated offense or their name appears on any of the noted Databases. A violation of this section 6 is a material breach of contract.

9. MANDATORY PRE-CONSTRUCTION CONFERENCE:

Prior to beginning the work, contractor shall meet at project site with Owner's representative or designee/Owner, installers, installers of related items, and other entities including (where applicable) Owner's insurer and Architect. A Record of discussions and agreements will be kept and a copy furnished to each participant.

The conference shall be conducted not less than 7 nor more than 21 days prior to the commencement of construction and shall be scheduled by the Owner's representative or designee. Owner's representative or designee may schedule additional mandatory conferences in its discretion. Owner's representative or designee shall provide advance notice to participants prior to convening Pre-construction Conferences.

10. COMPLETION REQUIREMENTS:

The Order to Proceed, which will be issued by the Owner at a date following the contract award, will indicate the date the work is to commence and establish the completion date.

11. MEASUREMENT AND LAYOUT:

Before ordering material or doing work, each Contractor shall be responsible for measuring the physical dimensions of the site to his/her needs sufficient to execute the work desired by the Owner. Each Contractor shall be responsible for the correctness of his/her measurements. Measurements given on the drawings are for references only, for which the Owner accepts no responsibility for accuracy.

SUPPLEMENTARY CONDITIONS

12. **SITE SECURITY.**

Contractor shall be responsible for site security including the erection of temporary construction site fencing which shall be of a chain link variety and which shall be maintained by contractor at all times from commencement of construction to final acceptance of the Work. Contractor shall take reasonable actions in order to restrict access to construction sites, both inside and outside of District buildings, 24 hours per day, 7 days per week until construction is complete. Contractor shall provide site security to assure that unauthorized persons do not access the construction site (outside of school buildings) and proper barricades and safety notices and warnings are posted within buildings to assure the integrity and safety of persons and property in buildings and on the construction site, construction activities and construction materials.

13. **CONSTRUCTION ACTIVITIES:**

No construction activities shall occur on construction sites and within school buildings outside the limits established by Owner or Owner's representative or designee. Owner's representative or designee shall issue construction time periods with proper description as to when and where construction activities may occur at each construction and school site. No construction activities shall proceed in the absence of appropriate barricades and warnings.

14. **BID PROPOSAL:**

Each Contractor is to return two (2) sets of their proposal with original signatures.

15. **HOLD HARMLESS:**

To the fullest extent permitted by applicable law, Contractor and its employees and consultants shall and do agree to indemnify and hold harmless the District, and its respective Board members, officers, directors, and employees, and Owner's representative or designee from and against all claims, damages, losses, causes of action, suits, judgments and expenses, including reasonable attorney's fees to the extent arising out of, caused by or resulting from the performance or non-performance of the Work by Contractor, anyone directly or indirectly employed by it or anyone for whose acts it may be liable even if caused in part by District. This paragraph shall be construed in accordance with the Construction Contract Indemnification for Negligence Act (740 ILCS 35/1).

School District #205 will require that any Contractor or Subcontractors performing work in connection with any Drawings and Specifications hold harmless, indemnify and defend School District #205 and each of their officers, agents and employees from any and all liability claims, losses or damage arising out of alleged to arise from the Contractor's (or Subcontractor's) negligence in the performance of the work described in the Contract Documents.

16. **ASSIGNMENT OF WARRANTIES/DELIVERY OF MANUALS**

On or before the date of substantial completion of the project, Contractor shall assign to Owner all right, title and interest in and to equipment and product warranties issued by the product manufacturer. Contractor shall provide to Owner's representative or designee a complete list of all products and equipment furnished and or installed by

SUPPLEMENTARY CONDITIONS

Contractor in and to the project along with the name of the manufacturer of each product and item of equipment and take all necessary steps to transfer warranties to the Owner. Contractor shall within the same time frame deliver to Owner all product and equipment manuals installation instructions and operating instructions and registration materials.

17. COMPLIANCE WITH FREEDOM OF INFORMATION ACT

The District is required by law to comply with the provisions of the Freedom of Information Act, 5ILCS 140/1 et seq., as amended from time to time ("Act"). The Act requires the District to provide, if requested to do so by any person, copies of documents that maybe in your possession and related to this contract. As a condition of this contract, Contractor agrees to and shall provide to the District, copies of any and all such documents when directed to do by the District. All such documents shall be delivered to the District's Legal Department NO LATER THAN five (5) working days after the date of the District's direction to provide such documents. Failure of the

Contractor to provide documents within said five (5) working days as provided above shall result in the assessment of any and all penalties, damages, and/or costs incurred by the District to the Contractor which shall be paid immediately by the Contractor upon demand of the same by the District.

18. RECORDS, RETENTION, AUDIT

- a. Records. The Contractor shall have or upon award of bid establish and maintain a reasonable accounting system that enables the District to readily identify Contractor's assets, expenses, costs of goods and use of funds related to the Project (the Records). Such Records shall include, but not limited to, accounting records, written policies and procedures; subcontractor files (including proposals of successful and unsuccessful bidders, bid recaps, etc.); all paid vouchers, including those for out-of-pocket expenses, other reimbursement supported by invoices; ledgers; cancelled checks; deposit slips, bank statements; journals; original estimates; estimating work sheets; contract amendments and change order files; backcharge logs and supporting documentation; insurance documents, payroll documents; timesheets; memoranda; and correspondence.
- b. Retention. The Contractor shall, at all times during its performance of the Project and for a period of seven years after the completion of the Project, maintain Records, together with all supporting or underlying documents and materials. The Contractor shall upon written request by the District at any time or times, whether during or after completion of the Project, and at the Contractor's expense, produce the Records for inspection, copying and audit (including copies and extracts of records as required) by the District. The Records shall be made available to the District, upon three-day written notice, during normal business hours at Contractor's principal office if located in Rockford, Illinois or at such other location specified by the District including the District offices. Upon expiration of the retention period specified in this paragraph 18b, prior to destruction of the Records, Contractor shall provide not less than 30 days written notice of its intent to destroy any part or all of the Records, specifying the nature, character and extent

SUPPLEMENTARY CONDITIONS

of Records to be destroyed and the District may at its discretion and expense obtain all Records or copies of Records intended to be destroyed. The Contractor shall ensure the District's right to access and audit the Records in the possession, created or maintained by Contractor's agents, assigns, successors, and subcontractors. Contractor shall notify in writing its agents, assigns, successors and subcontractors of the requirements of records, retention and audit as set forth in this paragraph 18. Any and all contracts or agreements between Contractor and any other party related to the Project shall expressly include the records, retention and audit provisions of this paragraph 18.

- c. The District and its authorized representatives shall have the right to audit, to examine, and to make copies of or extracts from all Records (in whatever form they may be kept, whether written, electronic, or other), including, but not limited to, those kept by the Contractor, its agents, assigns, successors, and subcontractors.

Cost of any examination or audit of Records conducted by the District will be borne by the District (excluding any cost to produce Records under paragraph 18b), except where the examination or audit identifies overpricing or overcharges (of any nature) by the Contractor to the District in excess of one-half of one percent (0.5%) of the total contract billings in which event the entire cost of the examination or audit shall be Contractor's cost and Contractor shall reimburse the District for the total cost of the examination or audit. If the examination or audit reveals substantive findings of fraud, misrepresentation, or non-performance by Contractor, its employees, agents, representatives, assigns, successors or subcontractors, the Contractor shall pay all costs of the examination or audit; and if paid by the District, reimburse the District for all such costs. In the event Contractor fails to pay such costs within 30 days of demand by the District, District may offset any such costs unpaid by Contractor from any balance due Contractor by the District or at the election of the District proceed to collect such costs by any available means including litigation in which event the costs of collection including reasonable attorney's fees shall also be paid by Contractor.

QUESTIONS

Any questions regarding this bid; may be referred to Stacie Talbert Scott, Executive Director of Budget and Purchasing at stacie.scott@rps205.com or 815-966-3097.

Winnebago County Prevailing Wage for July 2015

(See explanation of column headings at bottom of wages)

Trade Name Trng	RG	TYP	C	Base	FRMAN	M-F>8	OSA	OSH	H/W	Pensn	Vac
=====	==	===	=	=====	=====	=====	===	===	=====	=====	=====
ASBESTOS ABT-GEN 0.800		BLD		31.790	32.790	1.5	1.5	2.0	8.420	15.17	0.000
ASBESTOS ABT-MEC 0.000		BLD		18.950	0.000	1.5	1.5	2.0	2.700	3.350	0.000
BOILERMAKER 0.400		BLD		47.070	51.300	2.0	2.0	2.0	6.970	18.13	0.000
BRICK MASON 0.640		BLD		37.050	39.800	1.5	1.5	2.0	9.230	12.57	0.000
CARPENTER 0.600		BLD		37.890	42.060	1.5	1.5	2.0	9.300	12.70	0.000
CARPENTER 0.490		HWY		42.630	44.380	1.5	1.5	2.0	8.600	11.00	0.000
CEMENT MASON 0.500		ALL		35.740	38.490	1.5	1.5	2.0	9.750	14.04	0.000
CERAMIC TILE FNSHER 0.560		BLD		32.850	0.000	1.5	1.5	2.0	8.600	5.210	0.000
COMMUNICATION TECH 0.760		BLD		36.440	40.080	1.5	1.5	2.0	10.39	12.09	0.000
ELECTRIC PWR EQMT OP 0.380		ALL		37.890	51.480	1.5	1.5	2.0	5.000	11.75	0.000
ELECTRIC PWR EQMT OP 0.390		HWY		39.220	53.290	1.5	1.5	2.0	5.000	12.17	0.000
ELECTRIC PWR GRNDMAN 0.290		ALL		29.300	51.480	1.5	1.5	2.0	5.000	9.090	0.000
ELECTRIC PWR GRNDMAN 0.300		HWY		30.330	53.290	1.5	1.5	2.0	5.000	9.400	0.000
ELECTRIC PWR LINEMAN 0.450		ALL		45.360	51.480	1.5	1.5	2.0	5.000	14.06	0.000
ELECTRIC PWR LINEMAN 0.470		HWY		46.950	53.290	1.5	1.5	2.0	5.000	14.56	0.000
ELECTRIC PWR TRK DRV 0.300		ALL		30.340	51.480	1.5	1.5	2.0	5.000	9.400	0.000
ELECTRIC PWR TRK DRV 0.310		HWY		31.400	53.290	1.5	1.5	2.0	5.000	9.730	0.000
ELECTRICIAN 0.860		BLD		42.960	47.260	1.5	1.5	2.0	10.39	17.47	0.000
ELEVATOR CONSTRUCTOR 0.600		BLD		46.830	52.680	2.0	2.0	2.0	13.57	14.51	3.770
GLAZIER 1.250		BLD		35.980	37.980	1.5	1.5	1.5	10.30	8.200	0.000
HT/FROST INSULATOR 0.480		BLD		33.930	38.550	0.0	0.0	0.0	7.950	14.77	0.000
IRON WORKER 0.500		ALL		36.290	38.100	2.0	2.0	2.0	10.24	23.19	0.000
LABORER 0.800		BLD		31.790	32.790	1.5	1.5	2.0	8.420	15.17	0.000
LABORER 0.800		HWY		34.340	35.090	1.5	1.5	2.0	8.420	17.42	0.000
LABORER, SKILLED 0.800		HWY		36.990	37.740	1.5	1.5	2.0	8.420	17.42	0.000
LATHER 0.600		BLD		37.890	42.060	1.5	1.5	2.0	9.300	12.70	0.000
MACHINIST 0.000		BLD		45.350	47.850	1.5	1.5	2.0	7.260	8.950	1.850
MARBLE FINISHERS 0.560		BLD		32.850	0.000	1.5	1.5	2.0	8.600	5.210	0.000
MARBLE MASON 0.590		BLD		35.530	35.780	1.5	1.5	2.0	8.600	7.520	0.000
MATERIAL TESTER I 0.800		ALL		33.560	0.000	1.5	1.5	2.0	8.240	16.39	0.000
MATERIALS TESTER II 0.800		ALL		33.560	0.000	1.5	1.5	2.0	8.240	16.39	0.000
MILLWRIGHT 0.500		BLD		37.220	40.940	1.5	1.5	2.0	9.050	15.00	0.000
OPERATING ENGINEER 1.300		BLD	1	48.300	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300		BLD	2	43.100	47.800	2.0	2.0	2.0	17.55	11.80	2.350

OPERATING ENGINEER 1.300	BLD	3	40.650	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	BLD	4	38.650	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	BLD	5	47.550	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	BLD	6	46.800	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	BLD	7	43.800	47.800	2.0	2.0	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	1	43.650	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	2	43.100	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	3	41.800	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	4	40.350	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	5	38.900	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	6	46.650	47.650	1.5	1.5	2.0	17.55	11.80	2.350
OPERATING ENGINEER 1.300	HWY	7	44.650	47.650	1.5	1.5	2.0	17.55	11.80	2.350
PAINTER 1.350	ALL		36.500	38.500	1.5	1.5	1.5	10.30	8.460	0.000
PILEDRIIVER 0.600	BLD		38.890	43.170	1.5	1.5	2.0	9.300	12.70	0.000
PILEDRIIVER 0.490	HWY		42.630	44.380	1.5	1.5	2.0	8.600	11.00	0.000
PIPEFITTER 1.000	ALL		43.100	46.120	1.5	2.0	2.0	8.220	11.29	0.000
PIPEFITTER 1.000	BLD		43.100	46.120	1.5	1.5	2.0	8.220	11.29	0.000
PLASTERER 0.500	BLD		34.250	37.680	1.5	1.5	2.0	9.300	12.30	0.000
PLUMBER 1.000	ALL		43.100	46.120	1.5	2.0	2.0	8.220	11.29	0.000
PLUMBER 1.000	BLD		43.100	46.120	1.5	1.5	2.0	8.220	11.29	0.000
ROOFER 0.530	BLD		41.000	44.000	1.5	1.5	2.0	8.280	10.54	0.000
SHEETMETAL WORKER 0.290	BLD		37.930	40.210	1.5	1.5	2.0	6.000	16.92	0.520
SPRINKLER FITTER 0.350	BLD		37.120	39.870	1.5	1.5	2.0	8.420	8.500	0.000
STONE MASON 0.640	BLD		37.050	39.800	1.5	1.5	2.0	9.230	12.57	0.000
SURVEY WORKER 13.95 0.000 0.800	--> NOT IN EFFECT		ALL	35.650	36.400	1.5	1.5	2.0	8.240	
TERRAZZO FINISHER 0.560	BLD		32.850	0.000	1.5	1.5	2.0	8.600	5.210	0.000
TERRAZZO MASON 0.590	BLD		35.530	35.780	1.5	1.5	2.0	8.600	7.520	0.000
TILE LAYER 0.600	BLD		37.890	42.060	1.5	1.5	2.0	9.300	12.70	0.000
TILE MASON 0.590	BLD		35.530	35.780	1.5	1.5	2.0	8.600	7.520	0.000
TRUCK DRIVER 0.200	ALL	1	35.020	0.000	1.5	1.5	2.0	8.600	8.600	0.000
TRUCK DRIVER 0.200	ALL	2	35.170	0.000	1.5	1.5	2.0	8.600	8.600	0.000
TRUCK DRIVER 0.200	ALL	3	35.370	0.000	1.5	1.5	2.0	8.600	8.600	0.000
TRUCK DRIVER 0.200	ALL	4	35.480	0.000	1.5	1.5	2.0	8.600	8.600	0.000
TUCKPOINTER 0.640	BLD		37.050	39.800	1.5	1.5	2.0	9.230	12.57	0.000

Legend: RG (Region)
TYP (Trade Type - All,Highway,Building,Floating,Oil & Chip,Rivers)
C (Class)
Base (Base Wage Rate)
FRMAN (Foreman Rate)
M-F>8 (OT required for any hour greater than 8 worked each day, Mon through Fri.
OSA (Overtime (OT) is required for every hour worked on Saturday)
OSH (Overtime is required for every hour worked on Sunday and Holidays)
H/W (Health & Welfare Insurance)
Pensn (Pension)
Vac (Vacation)
Trng (Training)

Explanations

WINNEBAGO COUNTY

The following list is considered as those days for which holiday rates of wages for work performed apply: New Years Day, Memorial Day, Fourth of July, Labor Day, Thanksgiving Day, Christmas Day and Veterans Day in some classifications/counties. Generally, any of these holidays which fall on a Sunday is celebrated on the following Monday. This then makes work performed on that Monday payable at the appropriate overtime rate for holiday pay. Common practice in a given local may alter certain days of celebration. If in doubt, please check with IDOL.

EXPLANATION OF CLASSES

ASBESTOS - GENERAL - removal of asbestos material/mold and hazardous materials from any place in a building, including mechanical systems where those mechanical systems are to be removed. This includes the removal of asbestos materials/mold and hazardous materials from ductwork or pipes in a building when the building is to be demolished at the time or at some close future date.

ASBESTOS - MECHANICAL - removal of asbestos material from mechanical systems, such as pipes, ducts, and boilers, where the mechanical systems are to remain.

CERAMIC TILE FINISHER, MARBLE FINISHER, TERRAZZO FINISHER

Assisting, helping or supporting the tile, marble and terrazzo mechanic by performing their historic and traditional work assignments required to complete the proper installation of the work covered by said crafts. The term "Ceramic" is used for naming the classification only and is in no way a limitation of the product handled. Ceramic takes into consideration most hard tiles.

COMMUNICATIONS TECHNICIAN

Installing, manufacturing, assembling and maintaining sound and intercom, protection alarm (security), fire alarm, master antenna television, closed circuit television, low voltage control for computers and/or door monitoring, school communications systems, telephones and servicing of nurse and emergency calls, and the installation and maintenance of transmit and receive antennas, transmitters, receivers, and associated apparatus which operates in conjunction with above systems. All work associated with these system installations will be included EXCEPT the installation of protective metallic conduit in new construction projects (excluding less than ten-foot, runs strictly for protection of cable) and 120 volt AC (or higher) power wiring and associated hardware.

LABORER, SKILLED - HIGHWAY

Individuals engaged in the following types of work, irrespective of the site of the work: asbestos abatement worker, handling of any materials with any foreign matter harmful to skin or clothing, track laborer, cement handlers, chloride handlers, the unloading and loading with steel workers and re-bars, concrete workers wet, tunnel helpers in free air, batch dumpers, mason tenders, kettle and tar men, tank cleaners, plastic installers, scaffold workers, motorized buggies or motorized unit used for wet concrete or handling of building materials, laborers with de-watering systems, sewer workers plus depth, rod and chainmen with technical engineers, rod and chainmen with land surveyors, rod and chainmen with surveyors, vibrator operators, cement silica, clay, fly ash, lime and plasters, handlers (bulk or bag), cofferdam workers plus depth, on concrete paving, placing, cutting and tying of reinforcing, deck hand, dredge hand, and shore laborers, bankmen on floating plant, grade checker, power tools, front end man on chip spreaders, cession workers plus depth, gunnite nozzle men, lead man on sewer work, welders, cutters, burners and torchmen, chainsaw operators, jackhammer and drill operators, layout man and/or drainage tile layer, steel form setter - street and highway, air tamping hammermen, signal man on crane, concrete saw operator, screedman on asphalt pavers, laborers tending masons with hot material or where foreign materials are used, mortar mixer operators, multiple concrete duct - leadsman, lumen, asphalt raker,

curb asphalt machine operator, ready mix scalemen (permanent, portable or temporary plant), laborers handling masterplate or similar materials, laser beam operator, concrete burning machine operator, coring machine operator, plaster tender, underpinning and shoring of buildings, pump men, manhole and catch basin, dirt and stone tamper, hose men on concrete pumps, hazardous waste worker, lead base paint abatement worker, lining of pipe, refueling machine, assisting on direct boring machine, the work of laying watermain, fire hydrants, all mechanical joints to watermain work, sewer worker, and tapping water service and forced lift station mechanical worker.

MATERIAL TESTER I: Hand coring and drilling for testing of materials; field inspection of uncured concrete and asphalt.

MATERIAL TESTER II: Field inspection of welds, structural steel, fireproofing, masonry, soil, facade, reinforcing steel, formwork, cured concrete, and concrete and asphalt batch plants; adjusting proportions of bituminous mixtures.

OPERATING ENGINEERS - BUILDING

Class 1. Asphalt Plant; Asphalt Spreader; Autograde; Backhoes with Caisson Attachment; Batch Plant; Benoto (requires Two Engineers); Boiler and Throttle Valve; Caisson Rigs; Central Redi-Mix Plant; Combination Back Hoe Front End-loader Machine; Compressor and Throttle Valve; Concrete Breaker (Truck Mounted); Concrete Conveyor; Concrete Paver (over 27E cu. ft.); Concrete Paver (27 cu. ft. and under); Concrete Placer; Concrete Pump (Truck Mounted); Concrete Conveyor (Truck Mounted); Concrete Tower; Cranes, All; GCI and similar types (required two operators only); Cranes, Hammerhead; Cretex Crane; Crusher, Stone, etc.; Derricks, All; Derricks, Traveling; Formless Curb and Gutter Machine; Grader, Elevating; Grouting Machines; Highlift Shovels or Front Endloader 2-1/4 yd. and over; Hoists, Elevators, outside type rack and pinion and similar machines; Hoists, one, two and three Drum; Hoists, Two Tugger One Floor; Hydraulic Backhoes; Hydraulic Boom Trucks; Hydro Vac (and similar equipment - excluding hose work and any sewer work); Locomotives, All; Lubrication Technician; Manipulators; Motor Patrol; Pile Drivers and Skid Rig; Post Hole Digger; Pre-Stress Machine; Pump Cretes Dual Ram; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Raised and Blind Hole Drill; Rock Drill (self-propelled); Rock Drill - Truck Mounted; Roto Mill Grinder; Scoops - Tractor Drawn; Slipform Paver; Scrapers Prime Movers; Straddle Buggies; Tie Back Machine; Tractor with Boom and Side Boom; Trenching Machines.

Class 2. Bobcat (over 3/4 cu. yd.); Boilers; Brick Forklift; Broom, All Power Propelled; Bulldozers; Concrete Mixer (Two Bag and Over); Conveyor, Portable; Forklift Trucks; Highlift Shovels or Front Endloaders under 2-1/4 yd.; Hoists, Automatic; Hoists, Sewer Dragging Machine; Hoists, Tugger Single Drum; Laser Screed; Rollers, All; Steam Generators; Tractors, All; Tractor Drawn Vibratory Roller; Winch Trucks with "A" Frame.

Class 3. Air Compressor; Asphalt Spreader; Combination - Small Equipment Operator; Generators; Heaters, Mechanical; Hoists, Inside Elevators - (Rheostat Manual Controlled); Hydraulic Power Units (Pile Driving, Extracting, or Drilling - with a seat); Lowboys; Pumps, Over 3" (1 to 3 not to exceed total of 300 ft.); Pumps, Well Points; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches; Bobcat (up to and including 3/4 cu. yd.).

Class 4. Elevator push button with automatic doors; Hoists, Inside; Oilers; Brick Forklift.

Class 5. Assistant Craft Foreman

Class 6. Mechanics; Welders.

Class 7. Gradall

OPERATING ENGINEERS - HIGHWAY CONSTRUCTION

Class 1. Asphalt Plant; Asphalt Heater and Planer Combination; Asphalt Heater Scarfire; Asphalt Silo Tender; Asphalt Spreader; Autograder; ABG Paver; Backhoes with Caisson Attachment; Ballast Regulator; Belt Loader; Caisson Rigs; Car Dumper; Central Redi-Mix Plant; Backhoe w/shear attachments; Combination Backhoe Front Endloader Machine, (1 cu. yd. Backhoe Bucket or over or with attachments); Concrete Breaker

(Truck Mounted); Concrete Conveyor; Concrete Paver over 27E cu. ft.; Concrete Placer; Concrete Tube Float; Cranes, all attachments; Cranes, Tower of all types; Creter Crane; Crusher, Stone, etc.; Derricks, All; Derrick Boats; Derricks, Traveling; Directional Boring Machine over 12"; Dredges; Formless Curb and Gutter Machine; Grader, Elevating; Grader, Motor Grader, Motor Patrol, Auto Patrol, Form Grader, Pull Grader, Subgrader; Guard Rail Post Driver Mounted; Hoists, One, Two and Three Drum; Hydraulic Backhoes; Hydro Vac, Self Propelled, Truck Mounted (excluding hose work and any sewer work); Lubrication Technician; Manipulators; Pile Drivers and Skid Rig; Pre-Stress Machine; Pump Cretes Dual Ram; Rock Drill - Crawler or Skid Rig; Rock Drill - Truck Mounted; Rock/Track Tamper; Roto Mill Grinder; Slip-Form Paver; Snow Melters; Soil Test Drill Rig (Truck Mounted); Straddle Buggies; GCI Crane; Hydraulic Telescoping Form (Tunnel); Tie Back Machine; Tractor Drawn Belt Loader; Tractor Drawn Belt Loader with attached pusher; Tractor with Boom; Tractaire with Attachments; Traffic Barrier Conveyor Machine; Raised or Blind Hole Drills; Trenching Machine (over 12"); Truck Mounted Concrete Pump with Boom; Truck Mounted Concrete Conveyor; Work Boat (no license required - 90 h.p. or above); Underground Boring and/or Mining Machines; Wheel Excavator; Widener (APSCO).

Class 2. Batch Plant; Bituminous Mixer; Boiler and Throttle Valve; Bulldozers; Car Loader Trailing Conveyors; Combination Backhoe Front Endloader Machine (less than 1 cu. yd. Backhoe Bucket or over or with attachments); Compressor and Throttle Valve; Compressor, Common Receiver (3); Concrete Breaker or Hydro Hammer; Concrete Grinding Machine; Concrete Mixer or Paver 7S Series to and including 27 cu. ft.; Concrete Spreader; Concrete Curing Machine, Burlap Machine, Belting Machine and Sealing Machine; Concrete Wheel Saw (large self-propelled - excluding walk-behinds and hand-held); Conveyor Muck Cars (Haglund or Similar Type); Drills, all; Finishing Machine - Concrete; Highlift Shovels or Front Endloader; Hoist - Sewer Dragging Machine; Hydraulic Boom Trucks (All Attachments); Hydro Blaster; All Locomotives, Dinky; Off-Road Hauling Units; Non-Self Loading Dump; Ejection Dump; Pump Cretes: Squeeze Cretes - Screw Type Pumps, Gypsum Bulker and Pump; Roller, Asphalt; Rotary Snow Plows; Rototiller, Seaman, etc., self-propelled; Scoops - Tractor Drawn; Self-Propelled Compactor; Spreader - Chip - Stone, etc.; Scraper; Scraper - Prime Mover in Tandem (Regardless of Size); Tank Car Heater; Tractors, Push, Pulling Sheeps Foot, Disc, Compactor, etc.; Tug Boats.

Class 3. Boilers; Brooms, All Power Propelled; Cement Supply Tender; Compressor, Common Receiver (2); Concrete Mixer (Two Bag and Over); Conveyor, Portable; Farm-Type Tractors Used for Mowing, Seeding, etc.; Fireman on Boilers; Forklift Trucks; Grouting Machine; Hoists, Automatic; Hoists, All Elevators; Hoists, Tugger Single Drum; Jeep Diggers; Low Boys; Pipe Jacking Machines; Post-Hole Digger; Power Saw, Concrete Power Driven; Pug Mills; Rollers, other than asphalt; Seed and Straw Blower; Steam Generators; Stump Machine; Winch Trucks with "A" Frame; Work Boats; Tamper - Form - Motor Driven.

Class 4. Air Compressor - Small and Large; Asphalt Spreader, Backend Man; Bobcat (Skid Steer) all; Brick Forklift; Combination - Small Equipment Operator; Directional Boring Machine up to 12"; Generators; Heaters, Mechanical; Hydraulic Power Unit (Pile Driving, Extracting, or Drilling); Hydro-Blaster; Light Plants, All (1 through 5); Pumps, over 3" (1 to 3 not to exceed a total of 300 ft.); Pumps, Well Points; Tractaire; Trencher 12" and under; Welding Machines (2 through 5); Winches, 4 Small Electric Drill Winches.

Class 5. Oilers and Directional Boring Machine Locator.

Class 6. Field Mechanics and Field Welders

Class 7. Gradall and machines of like nature.

SURVEY WORKER - Operated survey equipment including data collectors, G.P.S. and robotic instruments, as well as conventional levels and transits.

TRUCK DRIVER - BUILDING, HEAVY AND HIGHWAY CONSTRUCTION

Class 1. Two or three Axle Trucks. A-frame Truck when used for transportation purposes; Air Compressors and Welding Machines, including those pulled by cars, pick-up trucks and tractors; Ambulances; Batch Gate Lockers; Batch Hopperman; Car and Truck Washers; Carry-alls; Fork Lifts and Hoisters; Helpers; Mechanics Helpers and Greasers; Oil Distributors 2-man operation; Pavement Breakers; Pole Trailer, up to 40 feet; Power Mower Tractors; Self-propelled Chip Spreader; Skipman; Slurry Trucks, 2-man operation; Slurry Truck Conveyor Operation, 2 or 3 man; TTeamsters

Unskilled dumpman; and Truck Drivers hauling warning lights, barricades, and portable toilets on the job site.

Class 2. Four axle trucks; Dump Crets and Adgetors under 7 yards; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnapulls or Turnatrailers when pulling other than self-loading equipment or similar equipment under 16 cubic yards; Mixer Trucks under 7 yards; Ready-mix Plant Hopper Operator, and Winch Trucks, 2 Axles.

Class 3. Five axle trucks; Dump Crets and Adgetors 7 yards and over; Dumpsters, Track Trucks, Euclids, Hug Bottom Dump Turnatrailers or turnapulls when pulling other than self-loading equipment or similar equipment over 16 cubic yards; Explosives and/or Fission Material Trucks; Mixer Trucks 7 yards or over; Mobile Cranes while in transit; Oil Distributors, 1-man operation; Pole Trailer, over 40 feet; Pole and Expandable Trailers hauling material over 50 feet long; Slurry trucks, 1-man operation; Winch trucks, 3 axles or more; Mechanic--Truck Welder and Truck Painter.

Class 4. Six axle trucks; Dual-purpose vehicles, such as mounted crane trucks with hoist and accessories; Foreman; Master Mechanic; Self-loading equipment like P.B. and trucks with scoops on the front.

Other Classifications of Work:

For definitions of classifications not otherwise set out, the Department generally has on file such definitions which are available. If a task to be performed is not subject to one of the classifications of pay set out, the Department will upon being contacted state which neighboring county has such a classification and provide such rate, such rate being deemed to exist by reference in this document. If no neighboring county rate applies to the task, the Department shall undertake a special determination, such special determination being then deemed to have existed under this determination. If a project requires these, or any classification not listed, please contact IDOL at 217-782-1710 for wage rates or clarifications.

LANDSCAPING

Landscaping work falls under the existing classifications for laborer, operating engineer and truck driver. The work performed by landscape plantsman and landscape laborer is covered by the existing classification of laborer. The work performed by landscape operators (regardless of equipment used or its size) is covered by the classifications of operating engineer. The work performed by landscape truck drivers (regardless of size of truck driven) is covered by the classifications of truck driver.



AIA® Document A201™ – 2007

General Conditions of the Contract for Construction

for the following PROJECT:

Rockford Public Schools Master Facilities Plan Construction
General Conditions template

THE OWNER:

(Name, legal status and address)

Board Of Education of Rockford School District No.205 Winnebago and Boone Counties
Illinois

THE ARCHITECT:

(Name, legal status and address)

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 BASIC DEFINITIONS

§ 1.1.1 THE CONTRACT DOCUMENTS

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive or (4) a written order for a minor change in the Work issued by the Architect. The Contract Documents include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding requirements.

§ 1.1.2 THE CONTRACT

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 THE WORK

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 THE PROJECT

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by separate contractors.

§ 1.1.5 THE DRAWINGS

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules and diagrams.

§ 1.1.6 THE SPECIFICATIONS

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 INSTRUMENTS OF SERVICE

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 INITIAL DECISION MAKER

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2 and certify termination of the Agreement under Section 14.2.2.

§ 1.2 CORRELATION AND INTENT OF THE CONTRACT DOCUMENTS

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 CAPITALIZATION

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 INTERPRETATION

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 OWNERSHIP AND USE OF DRAWINGS, SPECIFICATIONS AND OTHER INSTRUMENTS OF SERVICE

§ 1.5.1 The Owner shall be deemed the owner of the respective Instruments of Service, including the Drawings and Specifications, and will retain all common law, statutory and other reserved rights, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with this Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors and material or equipment suppliers are authorized to use and reproduce the Instruments of Service provided to them solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and material or equipment suppliers may not use the Instruments of Service on other projects or for additions to this Project outside the scope of the Work without the specific prior written consent of the Owner.

§ 1.6 TRANSMISSION OF DATA IN DIGITAL FORM

If the parties intend to transmit Instruments of Service or any other information or documentation in digital form, they shall endeavor to establish necessary protocols governing such transmissions, unless otherwise already provided in the Agreement or the Contract Documents.

ARTICLE 2 OWNER

§ 2.1 GENERAL

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization except where otherwise required by law or Owners policy or practice. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

§ 2.1.2 The Owner shall furnish to the Contractor within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.1.3 Owner has procured and contracted with a Program Manager for this project. Program Manager is responsible to the Owner for overall project management. In all cases where notice is required or permitted to be given under the Agreement, a copy is to be furnished to Program Manager. The Program Manager is the Owner's agent (provided, the Owner does not delegate to Program Manager any statutory powers and authority nor the authority to bind the Owner absent express consent in each instance approved by the Owner's Board of Education). Contractor will interact with the Program Manager in the same manner as with the Owner.

§ 2.2 INFORMATION AND SERVICES REQUIRED OF THE OWNER

§ 2.2.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.2.2 The Owner may furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work.

§ 2.2.3 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.2.4 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

(Paragraph deleted)

§ 2.3 OWNER'S RIGHT TO STOP THE WORK

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.4 OWNER'S RIGHT TO CARRY OUT THE WORK

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of written notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such deficiencies. In such case an appropriate Change Order shall be issued deducting from payments then or thereafter due the Contractor the actual cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect or failure. If payments then or thereafter due the Contractor are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner upon Owner's demand.

ARTICLE 3 CONTRACTOR

§ 3.1 GENERAL

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

§ 3.2 REVIEW OF CONTRACT DOCUMENTS AND FIELD CONDITIONS BY CONTRACTOR

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.2.3, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall

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promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect and Program Manager any nonconformity discovered by or made known to the Contractor as a request for information.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall make Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 SUPERVISION AND CONSTRUCTION PROCEDURES

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences and procedures and for coordinating all portions of the Work under the Contract, unless the Contract Documents give other specific instructions concerning these matters. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences or procedures, the Contractor shall evaluate the jobsite safety thereof and, except as stated below, shall be fully and solely responsible for the jobsite safety of such means, methods, techniques, sequences or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely written notice to the Owner, Manager and Architect and shall not proceed with that portion of the Work without further written instructions from the Architect. If the Contractor is then instructed to proceed with the required means, methods, techniques, sequences or procedures without acceptance of changes proposed by the Contractor, the Owner shall be solely responsible for any loss or damage arising solely from those Owner-required means, methods, techniques, sequences or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 LABOR AND MATERIALS

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

§ 3.4.2 Except in the case of minor changes in the Work authorized by the Architect in accordance with Sections 3.12.8 or 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.5 WARRANTY

The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect or Program Manager, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.6 TAXES

The Contractor shall pay consumer, use and similar taxes for the Work provided by the Contractor, as and when due, whether or not yet effective or merely scheduled to go into effect.

§ 3.7 PERMITS, FEES, NOTICES AND COMPLIANCE WITH LAWS

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 **Concealed or Unknown Conditions.** If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature, that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 21 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend an equitable adjustment in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor in writing, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may proceed as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.8 ALLOWANCES

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 Allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;

- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 Whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 SUPERINTENDENT

§ 3.9.1 The Contractor shall employ a competent full-time superintendent and necessary assistants who shall be in attendance at each Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner, Program Manager and Architect the name and qualifications of a proposed superintendent. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to the proposed superintendent or (2) that the Architect requires additional time to review. Failure of the Architect to reply within the 14 day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner, Program Manager or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 CONTRACTOR'S CONSTRUCTION SCHEDULES

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall prepare and submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall not exceed time limits current under the Contract Documents, shall be revised at appropriate intervals as required by the conditions of the Work and Project, shall be related to the entire Project to the extent required by the Contract Documents, and shall provide for expeditious and practicable execution of the Work.

§ 3.10.2 The Contractor shall prepare a submittal schedule, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, and shall submit the schedule(s) for the Architect's approval. The Architect's approval shall not unreasonably be delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.11 DOCUMENTS AND SAMPLES AT THE SITE

The Contractor shall maintain at the site for the Owner one copy of the Drawings, Specifications, Addenda, Change Orders and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and one copy of approved Shop Drawings, Product Data, Samples and similar required submittals. These shall be available to the Architect and shall be delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

§ 3.12 SHOP DRAWINGS, PRODUCT DATA AND SAMPLES

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment or workmanship and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples and similar submittals are not Contract Documents. Their purpose is to demonstrate the way by which the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve and submit to the Architect Shop Drawings, Product Data, Samples and similar submittals required by the Contract Documents in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of separate contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples or similar submittals unless the Contractor has specifically informed the Architect in writing of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such written notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences and procedures. The Contractor shall not be required to provide professional services in violation of applicable law. If professional design services or certifications by a design professional related to systems, materials or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall cause such services or certifications to be provided by a properly licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings and other submittals prepared by such professional. Shop Drawings and other submittals related to the Work designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy, accuracy and completeness of the services, certifications and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor all performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review, approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Contractor shall not be responsible for the adequacy of the performance and design criteria specified in the Contract Documents.

§ 3.13 USE OF SITE

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 CUTTING AND PATCHING

§ 3.14.1 The Contractor shall be responsible for cutting, fitting or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting and patching shall be restored to the condition existing prior to the cutting, fitting and patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or separate contractors by cutting, patching or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter such construction by the Owner or a separate contractor except with written consent of the Owner and of such separate contractor; such consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold from the Owner or a separate contractor the Contractor's consent to cutting or otherwise altering the Work.

§ 3.15 CLEANING UP

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials or rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and Owner shall be entitled to reimbursement from the Contractor.

§ 3.16 ACCESS TO WORK

The Contractor shall provide the Owner, its administrators and Board Members, the Program Manager and Architect access to the Work in preparation and progress wherever located.

§ 3.17 ROYALTIES, PATENTS AND COPYRIGHTS

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner, Program Manager and Architect harmless from loss on account thereof, but shall not be responsible for such defense or loss when a particular design, process or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications or other documents prepared by the Owner or Architect. However, if the Contractor has reason to believe that the required design, process or product is an infringement of a copyright or a patent, the Contractor shall be responsible for such loss unless such information is promptly furnished to the Architect and Program Manager.

§ 3.18 INDEMNIFICATION

§ 3.18.1 To the fullest extent permitted by law the Contractor shall indemnify and hold harmless the Owner, Program Manager Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 GENERAL

§ 4.1.1 The Owner shall retain an architect lawfully licensed to practice architecture or an entity lawfully practicing architecture in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 4.1.2 Duties, responsibilities and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified or extended without written consent of the Owner.

§ 4.1.3 If the employment of the Architect is terminated, the Owner may employ a successor architect whose status under the Contract Documents shall be that of the Architect.

§ 4.2 ADMINISTRATION OF THE CONTRACT

§ 4.2.1 The Architect, except to the extent inconsistent with the responsibility of the Program Manager, will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for, the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents, except as provided in Section 3.3.1.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and report to the Owner (1) known deviations from the Contract Documents and from the most recent construction schedule submitted by the Contractor, and (2) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of and will not be responsible for acts or omissions of the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 COMMUNICATIONS FACILITATING CONTRACT ADMINISTRATION

Except as otherwise provided in the Contract Documents or when direct communications have been specially authorized, the Owner and Contractor shall endeavor to communicate with each other through the Program Manager about matters arising out of or relating to the Contract. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and material suppliers shall be through the Contractor. Communications by and with separate contractors shall be through the Owner or Program Manager.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.5.2 and 13.5.3, whether or not such Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, material and equipment suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and

completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5 and 3.12. The Architect's review shall not constitute approval of safety precautions or, unless otherwise specifically stated by the Architect, of any construction means, methods, techniques, sequences or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may authorize minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more project representatives to assist in carrying out the Architect's responsibilities at the site. The duties, responsibilities and limitations of authority of such project representatives shall be as set forth in an exhibit to be incorporated in the Contract Documents.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 DEFINITIONS

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a separate contractor or subcontractors of a separate contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 AWARD OF SUBCONTRACTS AND OTHER CONTRACTS FOR PORTIONS OF THE WORK

§ 5.2.1 Unless otherwise stated in the Contract Documents or the bidding requirements, the Contractor, as soon as practicable after award of the Contract, shall furnish in writing to the Owner through the Program Manager with a copy to the Architect the names of persons or entities (including those who are to furnish materials or equipment fabricated to a special design) proposed for each principal portion of the Work. The Architect may reply within 14 days to the Contractor in writing stating (1) whether the Owner or the Architect has reasonable objection to any such

proposed person or entity or (2) that the Architect requires additional time for review. Failure of the Owner or Architect to reply within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person or entity previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 SUBCONTRACTUAL RELATIONS

By appropriate agreement, written where legally required for validity, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work, which the Contractor, by these Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.4 CONTINGENT ASSIGNMENT OF SUBCONTRACTS

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor in writing; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation may, in the sole discretion of the Owner, be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon such assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 OWNER'S RIGHT TO PERFORM CONSTRUCTION AND TO AWARD SEPARATE CONTRACTS

§ 6.1.1 The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and to award separate contracts in connection with other portions of the Project or other construction or operations on the site under Conditions of the Contract identical or substantially similar to these including those portions related to insurance and waiver of subrogation. If the Contractor claims that delay or additional cost is involved because of such action by the Owner, the Contractor shall make such Claim as provided in Article 15.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each separate contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with other separate contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to the construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, separate contractors and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces, the Owner shall be deemed to be subject to the same obligations and to have the same rights that apply to the Contractor under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6 and Articles 10, 11 and 12.

§ 6.2 MUTUAL RESPONSIBILITY

§ 6.2.1 The Contractor shall afford the Owner and separate contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a separate contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly report to the Architect apparent discrepancies or defects in such other construction that would render it unsuitable for such proper execution and results. Failure of the Contractor so to report shall constitute an acknowledgment that the Owner's or separate contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work, except as to defects not then reasonably discoverable.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a separate contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a separate contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or separate contractors as provided in Section 10.2.5.

§ 6.2.5 The Owner and each separate contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 OWNER'S RIGHT TO CLEAN UP

If a dispute arises among the Contractor, separate contractors and the Owner as to the responsibility for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up the actual cost of which to the Owner shall be deducted from and monies due Contractor at any time under the Agreement.

ARTICLE 7 CHANGES IN THE WORK

§ 7.1 GENERAL

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

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§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor and Architect; a Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor; an order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents, and the Contractor shall proceed promptly, unless otherwise provided in the Change Order, Construction Change Directive or order for a minor change in the Work.

§ 7.2 CHANGE ORDERS

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 CONSTRUCTION CHANGE DIRECTIVES

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.7.

§ 7.3.4 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed in a proposed Change Order or Construction Change Directive so that application of such unit prices to quantities of Work proposed will cause substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 7.3.5 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.6 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.7 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the method and the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.7 shall be limited to the following:

- .1 Costs of labor, including social security, old age and unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;
- .2 Costs of materials, supplies and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use or similar taxes related to the Work; and
- .5 Additional costs of supervision and field office personnel directly attributable to the change.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 MINOR CHANGES IN THE WORK

The Architect and Program Manager have authority to order minor changes in the Work not involving adjustment in the Contract Sum or extension of the Contract Time and not inconsistent with the intent of the Contract Documents. Such changes will be effected by written order signed by the Architect and shall be binding on the Owner and Contractor.

ARTICLE 8 TIME

§ 8.1 DEFINITIONS

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.1.5 The term "School Day" when used in any Contract Documents including documents issued following execution of the Agreement shall mean those student attendance days during the Owner's "School Year" which is defined as the period of July 1 to the following June 30 of any year.

§ 8.2 PROGRESS AND COMPLETION

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, prematurely commence operations on the site or elsewhere prior to the effective date of insurance required by Article 11 to be furnished by the Contractor and Owner. The date of commencement of the Work shall not be changed by the effective date of such insurance.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 DELAYS AND EXTENSIONS OF TIME

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by an act or neglect of the Owner or Architect, or of an employee of either, or of a separate contractor employed by the Owner; or by changes ordered in the Work; or by labor disputes, fire, unusual delay in deliveries, unavoidable casualties or other causes beyond the Contractor's control; or by delay authorized by the Owner pending mediation and arbitration; or by other causes that the Architect determines may justify delay, then the Contract Time shall be extended by Change Order for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 CONTRACT SUM

The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.2 SCHEDULE OF VALUES

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit to the Architect, before the first Application for Payment, a schedule of values allocating the entire Contract Sum to the various portions of the Work and prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 9.3 APPLICATIONS FOR PAYMENT

§ 9.3.1 The Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. Such application shall be notarized, if required, and supported by such data substantiating the Contractor's right to payment as the Owner or Architect may require, such as copies of requisitions from Subcontractors and material suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or material supplier, unless such Work has been performed by others whom the Contractor intends to pay.

(Paragraph deleted)

§ 9.3.2 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information and belief, be free and clear of liens, claims, security interests or encumbrances in favor of the Contractor, Subcontractors, material suppliers, or other persons or entities making a claim by reason of having provided labor, materials and equipment relating to the Work.

(Paragraph deleted)

§ 9.4 CERTIFICATES FOR PAYMENT

§ 9.4.1 The Architect will, within ten days after receipt of the Contractor's Application for Payment, either issue to the Owner a Certificate for Payment, with a copy to the Contractor, for such amount as the Architect determines is properly due, or notify the Contractor and Owner in writing of the Architect's reasons for withholding certification in whole or in part as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data comprising the Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated and that the quality of the Work is in accordance with the Contract Documents. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and material suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 DECISIONS TO WITHHOLD CERTIFICATION

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part at the Owner's request, or to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the agreed amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work not remedied;
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a separate contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When the above reasons for withholding certification are removed, certification will be made for amounts previously withheld upon Contractor's application for payment in due form for which the Architect issues an approved certificate for payment and subject to the payment procedures identified in the Addendum attached hereto..

§ 9.5.3 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or material or equipment suppliers to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Architect will reflect such payment on the next Certificate for Payment.

§ 9.6 PROGRESS PAYMENTS

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor no later than seven days after receipt of payment from the Owner the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and material and equipment suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay or to see to the payment of money to a Subcontractor, except as may otherwise be required by law.

§ 9.6.5 Contractor payments to material and equipment suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors and suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, shall create any fiduciary liability or tort liability on the part of the Contractor for breach of trust or shall entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.7

(Paragraphs deleted)

SUBSTANTIAL COMPLETION

§ 9.7.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ 9.7.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.7.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

§ 9.7.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion, shall establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance, and shall fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.7.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in such Certificate. Upon such acceptance and consent of surety, if any, the Owner shall make payment of retainage applying to such Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

§ 9.8 PARTIAL OCCUPANCY OR USE

§ 9.8.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer as required under Section 11.3.1.5 and authorized by public authorities having jurisdiction over the

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Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.8.2 Immediately prior to such partial occupancy or use, the Owner, Contractor and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.8.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

(Paragraphs deleted)

§ 9.9 FINAL COMPLETION AND FINAL PAYMENT

§ 9.9.1 Upon receipt of the Contractor's written notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection and, when the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with terms and conditions of the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

§ 9.9.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect and will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner, (3) a written statement that the Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment and (5), if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts, releases and waivers of liens, claims, security interests or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and reasonable attorneys' fees.

§ 9.9.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

(Paragraphs deleted)

§ 9.9.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents; or

.3 terms of special warranties required by the Contract Documents.

§ 9.9.5 Acceptance of final payment by the Contractor, a Subcontractor or material supplier shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 SAFETY PRECAUTIONS AND PROGRAMS

The Contractor shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 SAFETY OF PERSONS AND PROPERTY

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody or control of the Contractor or the Contractor's Subcontractors or Sub-subcontractors; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures and utilities not designated for removal, relocation or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities bearing on safety of persons or property or their protection from damage, injury or loss.

§ 10.2.3 The Contractor shall erect and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards, promulgating safety regulations and notifying owners and users of adjacent sites and utilities.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3, except damage or loss attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing and accepted by Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 INJURY OR DAMAGE TO PERSON OR PROPERTY

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, written notice of such injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 HAZARDOUS MATERIALS

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and report the condition to the Owner and Architect in writing.

§ 10.3.2 Upon receipt of the Contractor's written notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of such material or substance or who are to perform the task of removal or safe containment of such material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection. When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased in the amount of the Contractor's reasonable additional costs of shut-down, delay and start-up.

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants and agents and employees of any of them from and against claims, damages, losses and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss or expense is due to the fault or negligence of the party seeking indemnity.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall indemnify the Owner for the cost and expense the Owner incurs (1) for remediation of a material or substance the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

(Paragraph deleted)

§ 10.4 EMERGENCIES

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 CONTRACTOR'S LIABILITY INSURANCE

§ 11.1.1 The Contractor shall purchase from and maintain in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located such insurance as will protect the Contractor from claims set forth below which may arise out of or result from the Contractor's operations and completed operations under the Contract and for which the Contractor may be legally liable, whether such operations be by the Contractor or by a Subcontractor or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable:

- .1 Claims under workers' compensation, disability benefit and other similar employee benefit acts that are applicable to the Work to be performed;
- .2 Claims for damages because of bodily injury, occupational sickness or disease, or death of the Contractor's employees;
- .3 Claims for damages because of bodily injury, sickness or disease, or death of any person other than the Contractor's employees;
- .4 Claims for damages insured by usual personal injury liability coverage;
- .5 Claims for damages, other than to the Work itself, because of injury to or destruction of tangible property, including loss of use resulting therefrom;
- .6 Claims for damages because of bodily injury, death of a person or property damage arising out of ownership, maintenance or use of a motor vehicle;
- .7 Claims for bodily injury or property damage arising out of completed operations; and
- .8 Claims involving contractual liability insurance applicable to the Contractor's obligations under Section 3.18.

§ 11.1.2 The insurance required by Section 11.1.1 shall be written for not less than limits of liability specified in the Contract Documents or required by law, whichever coverage is greater. Coverages, whether written on an occurrence or claims-made basis, shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and, with respect to the Contractor's completed operations coverage, until the expiration of the period for correction of Work or for such other period for maintenance of completed operations coverage as specified in the Contract Documents.

§ 11.1.3 Certificates of insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work and thereafter upon renewal or replacement of each required policy of insurance. These certificates and the insurance policies required by this Section 11.1 shall contain a provision that coverages afforded under the policies will not be canceled or allowed to expire until at least 30 days' prior written notice has been given to the Owner. An additional certificate evidencing continuation of liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment as required by Section 9.10.2 and thereafter upon renewal or replacement of such coverage until the expiration of the time required by Section 11.1.2. Information concerning reduction of coverage on account of revised limits or claims paid under the General Aggregate, or both, shall be furnished by the Contractor with reasonable promptness.

§ 11.1.4 The Contractor shall cause the commercial liability coverage required by the Contract Documents to include (1) the Owner, Program Manager, the Architect and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's completed operations.

§ 11.2

(Paragraphs deleted)

PROPERTY INSURANCE

(Paragraphs deleted)

§ 11.2.1 Unless otherwise provided, the Owner shall purchase and maintain, in a company or companies lawfully authorized to do business in the jurisdiction in which the Project is located, property insurance written on a builder's risk "all-risk" or equivalent policy form in the amount of the initial Contract Sum, plus value of subsequent Contract Modifications and cost of materials supplied or installed by others, comprising total value for the entire Project at the site on a replacement cost basis without optional deductibles. Such property insurance shall be maintained, unless otherwise provided in the Contract Documents or otherwise agreed in writing by all persons and entities who are beneficiaries of such insurance, until final payment has been made as provided in Section 9.10 or until no person or entity other than the Owner has an insurable interest in the property required by this Section 11.3 to be covered, whichever is later. This insurance shall include interests of the Owner, the Contractor, Subcontractors and Sub-subcontractors in the Project.

(Paragraphs deleted)

§ 11.2.1.1 Property insurance shall be on an "all-risk" or equivalent policy form and shall include, without limitation, insurance against the perils of fire (with extended coverage) and physical loss or damage including, without

duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood, windstorm, falsework, testing and startup, temporary buildings and debris removal including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for Architect's and Contractor's services and expenses required as a result of such insured loss.

(Paragraph deleted)

§ 11.2.1.2 If the property insurance requires deductibles, the Owner shall pay costs not covered because of such deductibles.

(Paragraph deleted)

§ 11.2.1.3 Partial occupancy or use in accordance with Section 9.9 shall not commence until the insurance company or companies providing property insurance have consented to such partial occupancy or use by endorsement or otherwise. The Owner and the Contractor shall take reasonable steps to obtain consent of the insurance company or companies and shall, without mutual written consent, take no action with respect to partial occupancy or use that would cause cancellation, lapse or reduction of insurance.

(Paragraph deleted)

§ 11.2.2 BOILER AND MACHINERY INSURANCE

The Owner shall purchase and maintain boiler and machinery insurance required by the Contract Documents or by law, which shall specifically cover such insured objects during installation and until final acceptance by the Owner; this insurance shall include interests of the Owner, Contractor, Subcontractors and Sub-subcontractors in the Work, and the Owner and Contractor shall be named insureds.

(Paragraphs deleted)

§ 11.2.3 LOSS OF USE INSURANCE

The Owner, at the Owner's option, may purchase and maintain such insurance as will insure the Owner against loss of use of the Owner's property due to fire or other hazards, however caused. The Owner waives all rights of action against the Contractor for loss of use of the Owner's property, including consequential losses due to fire or other hazards however caused.

(Paragraph deleted)

§ 11.2.4 If the Contractor requests in writing that insurance for risks other than those described herein or other special causes of loss be included in the property insurance policy, the Owner shall, if possible, include such insurance, and the cost thereof shall be charged to the Contractor by appropriate Change Order.

(Paragraph deleted)

§ 11.2.5 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, the Owner shall waive all rights in accordance with the terms of Section 11.3.7 for damages caused by fire or other causes of loss covered by this separate property insurance. All separate policies shall provide this waiver of subrogation by endorsement or otherwise.

§ 11.2.6 Before an exposure to loss may occur, the Owner shall file with the Contractor a copy of each policy that includes insurance coverages required by this Section 11.3. Each policy shall contain all generally applicable conditions, definitions, exclusions and endorsements related to this Project. Each policy shall contain a provision that the policy will not be canceled or allowed to expire, and that its limits will not be reduced, until at least 30 days' prior written notice has been given to the Contractor.

§ 11.2.7 WAIVERS OF SUBROGATION

The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents and employees, each of the other, and (2) the Architect, Architect's consultants, separate contractors described in Article 6, if any, and any of their subcontractors, sub-subcontractors, agents and employees, for damages caused by fire or other causes of loss to the extent covered by property insurance obtained pursuant to this Section 11.3 or other property insurance applicable to the Work, except such rights as they have to proceeds of such insurance held by the

Owner as fiduciary. The Owner or Contractor, as appropriate, shall require of the Architect, Architect's consultants, separate contractors described in Article 6, if any, and the subcontractors, sub-subcontractors, agents and employees of any of them, by appropriate agreements, written where legally required for validity, similar waivers each in favor of other parties enumerated herein. The policies shall provide such waivers of subrogation by endorsement or otherwise. A waiver of subrogation shall be effective as to a person or entity even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, did not pay the insurance premium directly or indirectly, and whether or not the person or entity had an insurable interest in the property damaged.

§ 11.2.8 A loss insured under the Owner's property insurance shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.3.10. The Contractor shall pay Subcontractors their just shares of insurance proceeds received by the Contractor, and by appropriate agreements, written where legally required for validity, shall require Subcontractors to make payments to their Sub-subcontractors in similar manner.

§ 11.2.9 If required in writing by a party in interest, the Owner as fiduciary shall, upon occurrence of an insured loss, give bond for proper performance of the Owner's duties. The cost of required bonds shall be charged against proceeds received as fiduciary. The Owner shall deposit in a separate account proceeds so received, which the Owner shall distribute in accordance with such agreement as the parties in interest may reach, or as determined in accordance with the method of binding dispute resolution selected in the Agreement between the Owner and Contractor. If after such loss no other special agreement is made and unless the Owner terminates the Contract for convenience, replacement of damaged property shall be performed by the Contractor after notification of a Change in the Work in accordance with Article 7.

§ 11.2.10 The Owner as fiduciary shall have power to adjust and settle a loss with insurers unless one of the parties in interest shall object in writing within five days after occurrence of loss to the Owner's exercise of this power; if such objection is made, the dispute shall be resolved in the manner selected by the Owner and Contractor as the method of binding dispute resolution in the Agreement. If the Owner and Contractor have selected arbitration as the method of binding dispute resolution, the Owner as fiduciary shall make settlement with insurers or, in the case of a dispute over distribution of insurance proceeds, in accordance with the directions of the arbitrators.

§ 11.4 PERFORMANCE BOND AND PAYMENT BOND

§ 11.4.1 The Contractor shall furnish bonds covering faithful performance of the Contract and payment of obligations arising thereunder in an amount equal to 100.00% of the bid award of bid number 13-16.

§ 11.4.2 The Contractor shall furnish a copy of the bonds at the time of execution of the Agreement.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 UNCOVERING OF WORK

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, costs of uncovering and replacement shall, by appropriate Change Order, be at the Owner's expense. If such Work is not in accordance with the Contract Documents, such costs and the cost of correction shall be at the Contractor's expense unless the condition was caused by the Owner or a separate contractor in which event the Owner shall be responsible for payment of such costs.

§ 12.2 CORRECTION OF WORK

§ 12.2.1 BEFORE OR AFTER SUBSTANTIAL COMPLETION

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, whether discovered before or after Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 AFTER SUBSTANTIAL COMPLETION

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of an applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of written notice from the Owner to do so unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.4.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction, whether completed or partially completed, of the Owner or separate contractors caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.3 ACCEPTANCE OF NONCONFORMING WORK

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 GOVERNING LAW

The Contract shall be governed by the law of the place where the Project is located except that, if the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 SUCCESSORS AND ASSIGNS

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns and legal representatives to covenants, agreements and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make such an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate such assignment.

§ 13.3 WRITTEN NOTICE

Written notice shall be deemed to have been duly served if delivered in person to the individual, to a member of the firm or entity, or to an officer of the corporation for which it was intended; or if delivered at, or sent by registered or certified mail or by courier service providing proof of delivery to, the last business address known to the party giving notice.

§ 13.4 RIGHTS AND REMEDIES

§ 13.4.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights and remedies otherwise imposed or available by law.

§ 13.4.2 No action or failure to act by the Owner, Architect or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach there under, except as may be specifically agreed in writing.

§ 13.5 TESTS AND INSPECTIONS

§ 13.5.1 Tests, inspections and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner unless otherwise specified in the Contract documents shall bear costs of (1) tests, inspections or approvals that do not become requirements until after bids are received or negotiations concluded, and (2) tests, inspections or approvals where building codes or applicable laws or regulations prohibit the Owner from delegating their cost to the Contractor.

§ 13.5.2 If the Architect, Owner or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection or approval not included under Section 13.5.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection or approval by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.5.3, shall be at the Owner's expense.

§ 13.5.3 If such procedures for testing, inspection or approval under Sections 13.5.1 and 13.5.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure including those of repeated procedures and compensation for the Architect's services and expenses shall be at the Contractor's expense.

§ 13.5.4 Required certificates of testing, inspection or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.5.5 If the Architect is to observe tests, inspections or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.5.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.6 TIME LIMITS ON CLAIMS

The Owner and Contractor shall commence all claims and causes of action, whether in contract, tort, breach of warranty or otherwise, against the other arising out of or related to the Contract in accordance with the requirements of the final dispute resolution method selected in the Agreement within the time period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all claims and causes of action not commenced in accordance with this Section 13.7.

(Paragraphs deleted)

Init.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 TERMINATION BY THE CONTRACTOR

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency that requires all Work to be stopped;

(Paragraphs deleted)

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor or a Subcontractor, Sub-subcontractor or their agents or employees or any other persons or entities performing portions of the Work under direct or indirect contract with the Contractor, repeated suspensions, delays or interruptions of the entire Work by the Owner as described in Section 14.3 constitute in the aggregate more than 100 percent of the total number of days scheduled for completion. § 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon thirty days' written notice to the Owner, Program Manager and Architect, terminate the Contract and recover from the Owner payment for Work completed.

(Paragraphs deleted)

§ 14.2 TERMINATION BY THE OWNER FOR CAUSE

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors for materials or labor in accordance with the respective agreements between the Contractor and the Subcontractors;
- .3 repeatedly disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of breach of a provision of the Contract Documents.

§ 14.2.2 When any of the above reasons exist, the Owner may without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' written notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 SUSPENSION BY THE OWNER FOR CONVENIENCE

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time may be adjusted by Owner in Owner's sole discretion for increases in the cost and time caused by suspension, delay or interruption as described in Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was or would have been so suspended, delayed or interrupted by another cause for which the Contractor is responsible; or

- .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 TERMINATION BY THE OWNER FOR CONVENIENCE

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of written notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Contractor shall be entitled to receive payment for Work completed.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 CLAIMS

§ 15.1.1 DEFINITION

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim.

§ 15.1.2 NOTICE OF CLAIMS

Claims by either the Owner or Contractor must be initiated by written notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party must be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3 CONTINUING CONTRACT PERFORMANCE

Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents. The Architect will prepare Change Orders and issue Certificates for Payment in accordance with the decisions of the Initial Decision Maker.

§ 15.1.4 CLAIMS FOR ADDITIONAL COST

If the Contractor wishes to make a Claim for an increase in the Contract Sum, written notice as provided herein shall be given before proceeding to execute the Work. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.5 CLAIMS FOR ADDITIONAL TIME

§ 15.1.5.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, written notice as provided herein shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.5.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated and had an adverse effect on the scheduled construction.

§ 15.1.6 CLAIMS FOR CONSEQUENTIAL DAMAGES

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and

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- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.6 shall be deemed to preclude an award of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 INITIAL DECISION

§ 15.2.1 Claims, excluding those arising under Sections 10.3, 10.4, 11.2.9, and 11.2.10, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation (provided that neither party hereto is bound to proceed to mediation) of any Claim arising prior to the date final payment is due, unless 30 days have passed after the Claim has been referred to the Initial Decision Maker with no decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of such request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties; provided that the parties may each independently agree to submit the claim to mediation and or arbitration however, such agreement must be mutual by all parties to the Agreement. The claim may also be submitted by either party to a court of competent jurisdiction for enforcement of the Agreement terms.

§ 15.2.6 Either party may request voluntary mediation of an initial decision at any time.

(Paragraph deleted)

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 MEDIATION

§ 15.3.1 The parties may by their independent agreement on a case by case basis agree to submit any one or more claims, disputes, or other matters in controversy arising out of or related to the Contract except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.6 to mediation. Each party must agree in writing to the submission of any claim, dispute or other matter in controversy to mediation. Notwithstanding anything to the contrary set forth in this Agreement, no party to this Agreement is required or mandated to submit to mediation. Submission to mediation is not a prerequisite to voluntary arbitration nor to submission of claims, disputes or other matters in controversy to a court of competent jurisdiction.

§ 15.3.2 If the parties independently agree to mediation, mediation shall be conducted by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement and shall be conducted in Rockford, Illinois. The parties shall jointly submit a mediation request if both agree to mediation.

§ 15.3.3 The parties shall share the mediator's fee and any filing fees equally. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 ARBITRATION

§ 15.4.1 If the parties have selected, the parties may, but are not required, to agree to submit a dispute to binding arbitration. Any agreement to arbitrate must be the subject of an agreement to proceed to arbitration in writing signed by all parties to this Agreement. The agreement to arbitrate shall set forth with specificity all matters in controversy being submitted to arbitration. Arbitration if agreed to by the parties hereto shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement.

(Paragraph deleted)

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

(Paragraph deleted)

§ 15.4.4 SUBMISSION TO COURT

The parties agree, absent the express agreement of the parties to submit a matter to mediation or arbitration, the means of dispute resolution shall be submission to a court of competent jurisdiction. This Agreement shall be interpreted in accordance with the laws of the state of Illinois and venue for all purposes shall lie in the Circuit court of the 17th Judicial Circuit, Winnebago County Illinois.

(Paragraphs deleted)

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ADDENDUM

**ADDENDUM TO A CERTAIN CONTRACT FOR CONSTRUCTION BY AND BETWEEN _____
[CONTRACTOR] _____ AND THE BOARD OF EDUCATION OF
ROCKFORD SCHOOL DISTRICT No. 205, WINNEBAGO AND BOONE COUNTIES, ILLINOIS**

THIS ADDENDUM IS ATTACHED TO AND MADE A PART OF SUCH CONTRACT

This Addendum is attached to and made a part of the contract for construction (Agreement) dated ____
_____ between _____ (Contractor) (_____
_____ Project-IFB____-____) and the Board of Education of Rockford School
District No. 205, Winnebago and Boone Counties, Illinois (District).

1. Conflict.

In the event of conflict between the terms of the Agreement and this Addendum, the terms of this Addendum shall govern.

2. Certifications.

Upon or prior to execution of this Addendum, Contractor shall deliver to the District the following fully executed Certifications in the form as advertised by the District or as otherwise required by the District:

1. Certificate regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion;
2. Bid Rigging Certification;
3. Certificate Regarding Lobbying;
4. Certification regarding the Office of Foreign Asset Control;
5. Certified Cleared Employee List;
6. Vendor Conflict of Interest Disclosure Form.

By execution of this Addendum, Contractor represents and warrants that the certifications set forth in certificates 1, 2, 3, 4, 5 and 6 shall remain true at all times during the existence of this Addendum and the Agreement and shall immediately notify the District in the event Contractor becomes subject to debarment, suspension, ineligibility, or voluntarily excludes itself from federal programs; or, becomes barred from participation in public contracts due to a violation of the bid-rigging or bid-rotating statutes of the State of Illinois, or in the event an employee of Contractor becomes ineligible to be present on District grounds.

Contractor further certifies by execution of this Agreement that it shall comply, if the Project is funded in whole or in part with federal grant funds, with the Pilot Program for Enhancement of Employee Whistleblower Protection applicable to Contractors under federal grant funded programs as specified in the National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2013 (Pub. L. 112-239, enacted January 2, 2013). Contractor shall comply with the following requirements of such Act if providing services funded by federal grants:

1. Inform its employees working on the Projects they are subject to the Whistleblower rights and remedies of the pilot program;
2. Inform its employees in writing of employee whistleblower protections under 41 U.S.C. §4712 in the predominantly native language of the workforce; and
3. Include such requirements in and agreements made with any subcontractor.

Whistleblower rights include that an employee of a Consortium may not be discharged, demoted, or otherwise discriminated against as a reprisal for "Whistleblowing"; and such rights cannot be waived by

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agreement, policy, form or condition of employment. Whistleblowing is defined as making a disclosure to an authorized person or entity that the employee reasonably believes is evidence of:

1. Gross mismanagement of a federal contract or grant;
2. A gross waste of federal funds;
3. An abuse of authority relating to a federal contract or grant;
4. A substantial and specific danger to public health or safety; or
5. A violation of law, rule, or regulation related to a federal contract or grant (including competition for, or negotiation of, a contract or grant).

3. Conflict of Interest.

Contractor and its officers, employees and agents shall at all times during the duration of this Addendum and the Agreement refrain from violation of conflict of interest statutes in the state of Illinois.

4. Illinois Use Tax.

Contractor shall require in applicable circumstances that all vendors who supply goods or services to Contractor in the performance of its obligations under the Addendum and Agreement will comply with the terms of 105 ILCS 5/10-20.21(b) relating to Illinois Use Tax.

5. Employment Costs and Compliance with Laws.

Contractor shall keep and perform and be solely responsible for all the duties and responsibilities of an employer in the state of Illinois including without limitation providing and paying for Unemployment Compensation coverage and Workers Compensation coverage for its employees. Contractor herewith stipulates and agrees that all persons acting by and through Contractor are employees of Contractor or its consultants, and not the District, and Contractor shall keep and hold harmless the District from and against any and all claims relating to employment matters of Contractor employees. Contractor herewith expressly stipulates and agrees that it will adhere to and abide by all Federal, State and local laws, ordinances, regulations and rules applicable to its performance under the Addendum and Agreement. Contractor is an "independent contractor" and the Agreement and this Addendum shall not create nor infer an employer/employee relationship between the District and Contractor. Contractor shall bear all risk of loss and remain liable for any Federal or State Income, Social Security, Unemployment Compensation and Workers Compensation taxes, contributions or deductions and shall indemnify the District, its Board members, agents, officers, employees, successors and assigns for any liability including interest and penalties and attorney's fees, if any, assessed against the District as a result of any violation of this provision.

6. Access to School Grounds, Activities and Conduct.

All of Contractor's employees, agents, principals, and consultants shall abide by Federal, State and Local Laws and Board of Education policy while on District premises. No employee, agent or principal of Contractor and its consultants and vendors shall fraternize with any student of the school district. Any employee whose conduct is judged unfit by District shall not be permitted to work on the Projects. Contractor agrees to comply with and abide by all rules, regulations and policies of the District and the direction of any District representative relating to access to and conduct upon District Premises.

Contractor employees, agents and principals and its consultants and consultants employees and agents shall not perform work within District buildings for more than 30 school days within any school year (July 1 to June 30) unless a criminal history records check has been conducted by Contractor, the individual(s) is found to have not violated any of the drug or criminal offenses listed in the criminal history records check provisions in the School Code 105 ILCS 5/10-21.9(f) (the Act), and the Contractor so certifies the same to be true on the Certified Cleared Employee List. Contractor employees, agents and principals and its consultants and consultant's employees and agents shall not be permitted to be present on District

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grounds unless a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check has been conducted regarding all such employees in accordance with and subject to the provisions of the Act. Contractor employees, agents and principals and its consultants and consultant's employees and agents who are found to have had convictions of the enumerated criminal or drug offenses listed in the Act or who appear in the noted databases shall not be permitted at any time to be present on school grounds.

All persons accessing school grounds pursuant to this Addendum and the Agreement are required to have in their possession identification issued by the District. All such persons must check in with the school main office to receive a visitor's identification at which time the individual must present a government issued photo identification which will be used to verify the individual's name appears on the Certified Cleared Employee List. At the conclusion of the work day, plastic or hard cover identification must be returned to the school.

Not less than 10 days prior to the commencement of work, Contractor shall submit to the District a written certification on a form provided by the District (Certified Cleared Employee List), signed by Contractor under oath that the employees listed on the certification have been the subject of a criminal history records check (for employees working more than 30 school days in District buildings), and a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check for all employees accessing District grounds. Contractor shall update the certification as and when necessary to keep such certification list current.

The District and Program Manager, if any, may from time to time and at multiple times in their discretion and without notice check the identification of all persons accessing school grounds by or through the Contractor to assure such persons appear on the certification list and have in their possession a valid District issued identification. Contractor warrants that it shall immediately notify the District if a certified cleared employee is convicted of an enumerated offense or their name appears on any of the noted Databases. A violation of this section 6 is a material breach of contract.

7. Certifications of Hours Worked.

In all circumstances where Contractor seeks payment based upon an hourly rate for itself or its consultants, time cards or time records of such person or persons for whom such hourly rate compensation is requested shall be kept and maintained by Contractor. At any time or times, at the election of the District, the District may inspect and audit all time records kept by Contractor. Each submission of requests for payment of hourly rate amounts shall be accompanied by a certification under oath that the payment requested is for time actually worked which has been verified by Contractor.

8. Drugs, Alcohol and Smoking.

The District maintains a drug and alcohol free workplace. Contractor shall prohibit the use of drugs and alcohol on District premises at all times. The District also maintains all its properties as smoke free, tobacco free environments. Smoking and tobacco use (including chewing tobacco and snuff) is not permitted on any District property; Contractor shall require all its employees, agents and representatives and its consultants to refrain from smoking and tobacco use on District property.

8.01 No Contractor employee, agent, representative, consultant and consultant's employees, agents and representatives may use, possess, distribute, deliver, or be under the influence of a drug, or use or be under the influence of alcohol, while performing work on a public works project. An employee is considered to be under the influence of alcohol for purposes of this Act (820 ILCS 265) if the alcohol concentration in his or her blood or breath at the time alleged as shown by analysis of the employee's blood or breath is at or above 0.02.

8.02 Contractor acknowledges and agrees that the provisions and requirements established by the Substance Abuse Prevention on Public Works Projects Act has been complied with and

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Contractor has in place all requirements for testing of its employees suspected of or challenged to be tested by the District as provided under the Act (820 ILCS 265).

9. Contract Payments.

All contract payments shall be processed in the manner specified in the Agreement. The Board of Education regular meetings generally occur on the second and fourth Tuesdays of each month as specified by the Board Calendar. The Board Calendar may be accessed at the District web site www.rps205.com. Payment applications by the Contractor must be received in the District Finance Department not less than 10 calendar days prior to a scheduled Board meeting for the approved application for payment to be considered at that Board meeting.

The Board of Education will comply with the provisions of the Local Government Prompt Payment Act, 50 ILCS 515/1.

10. Records Maintenance and Security.

A. Records. The Contractor shall establish and maintain a reasonable accounting system that enables the District to readily identify Contractor's assets, expenses, costs of goods and use of funds related to the Project (the Records). Such Records shall include, but not limited to, accounting records, written policies and procedures; all paid vouchers, including those for out-of-pocket expenses, other reimbursement supported by invoices; ledgers; cancelled checks; deposit slips, bank statements; journals; original estimates; estimating work sheets; contract amendments and change order files; back-charge logs and supporting documentation; insurance documents, payroll documents; timesheets; memoranda; and correspondence.

B. Retention. The Contractor shall, at all times during its performance of the Project and for a period of seven years after the completion of the Project, maintain Records, together with all supporting or underlying documents and materials. The Contractor shall upon written request by the District at any time or times, whether during or after completion of the Project, and at the Contractor's expense, produce the Records for inspection, copying and audit (including copies and extracts of records as required) by the District. The Records shall be made available to the District, upon three-day written notice, during normal business hours at Contractor's principal office if located in Rockford, Illinois or at such other location specified by the District including the District offices. Upon expiration of the retention period specified in this paragraph 10B, prior to destruction of the Records, Contractor shall provide not less than 30 days written notice of its intent to destroy any part or all of the Records, specifying the nature, character and extent of Records to be destroyed and the District may at its discretion and expense obtain all Records or copies of Records intended to be destroyed. The Contractor shall ensure the District's right to access and audit the Records in the possession of, created or maintained by Contractor and Contractor's agents and representatives. Contractor shall notify in writing its agents and representatives of the requirements of records, retention and audit as set forth in this paragraph 10. Any and all contracts or agreements between Contractor and any other party related to the Project shall expressly include the records, retention and audit provisions of this paragraph 10.

C. Audit. The District and its authorized representatives shall have the right to audit, to examine, and to make copies of or extracts from all Records (in whatever form they may be kept, whether written, electronic, or other), including, but not limited to, those kept by the Contractor, its agents and representatives. Cost of any examination or audit of Records conducted by the District will be borne by the District (excluding any cost to produce Records under paragraph 10B), except where the examination or audit identifies overpricing or overcharges (of any nature) by the Contractor to the District in excess of one-half of one percent (0.5%) of the total contract billings in which event the entire cost of the examination or audit shall be Contractor's cost and Contractor shall reimburse the District for the total cost of the examination or audit. If the examination or audit reveals substantive findings of fraud, misrepresentation, or non-performance by Contractor, its employees, agents or representatives, the Contractor shall pay all costs of the examination or audit; and if paid by the District, reimburse the District for all such costs. In the

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event Contractor fails to pay such costs within 30 days of demand by the District, District may offset any such costs unpaid by Contractor from any balance due Contractor by the District or at the election of the District proceed to collect such costs by any available means including litigation in which event the costs of collection including reasonable attorney's fees shall also be paid by Contractor.

D. **Records ownership and security.** Contractor hereby acknowledges and agrees that all records and documents, whether in electronic or written form or otherwise, received by Contractor from the District and all records, whether in electronic or written form or otherwise, created by Contractor in performance of its obligations under the Agreement shall be and remain owned by the District. Contractor shall use all reasonable and timely means to protect and preserve all such records and to deliver the same to the District upon demand. The Records are subject to access and examination by the District and any federal agency with relevant responsibility for any federal grant funds providing funding for the Project. Contractor shall cooperate and produce all records of the Project for inspection and examination by any governmental agency, including District, providing funding for the Program.

E. **Confidentiality.** The Records and all documents and information received, accessed or observed by Contractor in performance of the Agreement shall be and remain confidential. In the performance of its obligations under the Agreement Contractor may acquire access to certain information, including but not limited to, information concerning students and/or school personnel, and other confidential and/or proprietary information (collectively, "Confidential Information"). Contractor will not, without the prior written consent of the Board, and regarding student record information, without the express prior written consent of the parent/guardian, disclose, re-disclose or make available to anyone, at any time, either during Contractor's engagement with the Board or following termination of this Agreement, for any reason whatsoever, any of the Confidential Information. The provisions of this Section shall survive the termination of the Agreement.

11. Miscellaneous.

11.1 To the fullest extent permitted by applicable law, Contractor and its employees and consultants shall and do agree to indemnify and hold harmless the District, and its respective Board members, officers, directors, and employees from and against all claims, damages, losses, causes of action, suits, judgments and expenses, including reasonable attorney's fees, to the extent arising out of, caused by or resulting from the performance or non-performance of the Contractor regarding work under the Agreement caused in whole or in part by any negligent act or omission of Contractor, anyone directly or indirectly employed by it or anyone for whose acts it may be liable even if caused in part by District. This paragraph shall be construed in accordance with the Construction Contract Indemnification for Negligence Act (740 ILCS 35/1).

11.2 Notwithstanding any other provision in any document, the District shall not, in any manner, be deemed or intended to have waived any claim by making a payment of any amount.

11.3 The Certificate of Insurance and all insurance policies required to be obtained by Contractor shall provide that coverages afforded under the policies will not be cancelled, reduced or allowed to expire without at least thirty (30) days prior written notice to the District.

11.4 Under no circumstances shall the District be deemed to have waived any of the insurance requirements of this Agreement by any action or omission.

11.5 Subject to the waiver of subrogation as may otherwise apply by agreement, nothing contained in the insurance requirements of the Agreement is to be construed as limiting the liability of Contractor or any of its insurance carriers. District does not represent that the coverages or limits of insurance specified is sufficient or adequate to protect the District or Contractor's interest or liabilities but are mere minimums. The obligation of Contractor to purchase insurance shall not limit its obligations to the District in the event the District should suffer an injury or loss in excess of the amount recoverable through insurance, or any loss or portion of loss which is not covered by insurance.

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11.6 Contractor shall notify District, in writing, of any actual or potential claim for personal injury or property damage relating to the Project and of any occurrence which might give rise to such claim, promptly upon receiving first knowledge of same.

11.7 Contractor agrees to fully comply with the requirements of the Illinois Human Rights Act, 775 ILCS 5/1-101 et. seq. including but not limited to the provisions regarding sexual harassment policies and procedures under Section 2-105 of said Act. Contractor further agrees to comply with all federal Equal Employment Opportunity laws including, without limitation, the American's with Disabilities Act and the rules and regulations promulgated thereunder. Pursuant to the requirements of the regulations of the Illinois Department of Human Rights (Department), Title 44, Part 750 of the Illinois Administrative Code and to the extent applicable Contractor will comply with Illinois human rights laws. In the event of non-compliance with the provisions of this Equal Employment Opportunity Clause, the Illinois Human Rights Act or the rules and regulations of the Illinois Department of Human Rights, this Agreement may be cancelled or voided in whole or in part, and Contractor acknowledges that it may be subject to further sanctions or penalties imposed by the Illinois Human Rights Commission, as provided for in the Illinois Human Rights Act, and to such other sanctions or penalties may be imposed or remedies invoked as provided by statute or regulations. During the performance of this Agreement, Contractor agrees:

A. It will not discriminate against any employee or applicant for employment because of race, color, religion, creed, sex, marital status, national origin or ancestry, age, citizenship, physical or mental handicap or disability, military status, or an unfavorable discharge from military service or arrest record status; and further that it will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any such underutilization.

B. If it hires additional employees in order to perform this Agreement it will determine the availability (in accordance with applicable agency rules) of minorities and women in the areas(s) from which it may reasonably recruit and it will hire for each job classification for which employees are hired in such a way that minorities and women are not underutilized.

C. In all solicitations or advertisements for employees placed by it or on its behalf, it will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, marital status, national origin, ancestry, age, physical or mental handicap unrelated to ability, or an unfavorable discharge from military service.

D. It will send to each labor organization or representative of workers with which it has or is bound by a collective bargaining agreement or understanding, a notice advising such labor organization or representative of Contractor's obligation under the Illinois Human Rights Act and the Rules of the Department. If any such labor organization or representative fails or refuses to cooperate with Contractor in its efforts to comply with such Act and Rules, Contractor will promptly so notify the Department and the contracting agency and will recruit employees from other sources when necessary to fulfill its obligations thereunder.

E. Contractor will submit reports as required by the District's rules, furnish all relevant information as may, from time to time, be requested by the Department or the District, and in all respects comply with the Illinois Human Rights Act and the Department rules.

F. Contractor will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Department for purposes of investigation to ascertain compliance with the Illinois Human Rights Act and Department rules.

G. Contractor will include verbatim or by reference the provisions of this clause in every subcontract it awards under which any portion of the Agreement obligations are undertaken or assumed. In the same manner as with other provisions of the Agreement, Contractor will be liable for compliance with applicable provisions of this clause by its consultants or contractors; and further it will promptly notify the contracting agency and the Department in the event any consultant or contractor fails or refuses to comply therewith. In addition, Contractor will not utilize any consultant or contractor

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declared by the Illinois Human Rights Commission to be ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

11.8 Weapons. Neither Contractor nor any of its employees, officers, agents or representatives shall be in possession of any firearm or weapon (as defined by the District's designated representative) while on District premises, including on the person or within any vehicle parked on or adjacent to any District property. Violation of this section may result in immediate removal from District premises and referral to local law enforcement.

12. Federal Funds Contract.

The Agreement provides for the construction of certain improvements on behalf of the District identified as the Projects. If the Projects are funded in whole or in part by federal grant funds the Projects are subject to certain rules and regulations as may be contained in the regulations of the funding agency, in the federal common rule as set forth in 45 CFR Part 92, and in the grant award. Contractor agrees to abide by all such rules and regulations as part of its basic services.

13. No Waiver.

No failure of either party to exercise any powers granted in this Agreement or to insist upon strict compliance by the other party with any obligation hereunder and no custom or practice of the District or Contractor at variance with the terms hereof shall constitute a waiver of the right of either party to demand exact compliance with the terms of this Agreement.

14. Representation of Authority.

Contractor herewith covenants, represents and warrants that the person executing this Addendum and the Agreement and any and all amendments hereto and thereof, as and if such may occur, are fully empowered to execute this Addendum, the Agreement and any amendments thereto in such fashion as to fully and completely bind Contractor to these agreements and undertakings; the signature on this Addendum and the Agreement further serves to assure the District that any and all action necessary by law, and under the terms of Contractor's by-laws, and pursuant to the policies of Contractor have been taken prior to execution of this document on behalf of Contractor; the signatures on the Agreement and this Addendum are a representation that the Contractor is a corporation in good standing in the state of Illinois. This representation, covenant and warranty are made by Contractor with the intent that the District fully rely hereon and as an inducement to the District to execute this Addendum and the Agreement.

15. Entire Agreement.

The Agreement together with all its Exhibits and this Addendum shall constitute the complete understanding between the parties and no other or further agreement shall be or constitute an amendment to or modification of this Agreement absent the same being reduced to writing and executed by both parties hereto.

DISTRICT:
BOARD OF EDUCATION OF ROCKFORD
SCHOOL DISTRICT NO. 205, WINNEBAGO
AND BOONE COUNTIES, ILLINOIS

BY: _____
Its President

Attest: _____
Its Secretary

CONTRACTOR:

BY: _____
Its President

ATTEST: _____
Its Secretary

ROCKFORD PUBLIC SCHOOLS REQUIRED BID FORMS CHECK LIST

Bid/RFP/RFQ No.: 16-29 West View Elementary School Asbestos Abatement Project

Listed below are the REQUIRED forms all bidders are REQUIRED to submit with sealed bids on or before the bid due date and time. Failure to submit ALL required forms may result in bidder being deemed non-responsive.

Required Forms	Yes	Comments
Bid Security Bond	<input type="checkbox"/>	5% of Base Bid
Bid Form	<input type="checkbox"/>	
Bid Rigging Certification	<input type="checkbox"/>	
Minority and Women Owned Business Concern Representation	<input type="checkbox"/>	
Certificate Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion	<input type="checkbox"/>	
Certificate Regarding Lobbying	<input type="checkbox"/>	
OFAC Compliance	<input type="checkbox"/>	
Vendor Conflict of Interest Disclosure Form	<input type="checkbox"/>	
Certified Cleared Employee List	<input type="checkbox"/>	Complete, sign, and notarize the form. If you are uncertain of which employees will be working on the project, note this information on the form that the employee information will be forth coming BEFORE you start on the project, if awarded the contract.
Asbestos Notification	<input type="checkbox"/>	
Contractor's Pre-Qualifications	<input type="checkbox"/>	
AIA Document A305-1986 Contractor's Qualification Statement	<input type="checkbox"/>	
Form W-9 Department of the Treasury Internal Revenue Service	<input type="checkbox"/>	

Listed below are REQUIRED FORMS/DOCUMENTS that must be submitted prior to starting work, if awarded the contract. Failure to submit forms below may result in project start delay.

<input type="checkbox"/> Certificate of Liability Insurance	<input type="checkbox"/> Performance Bond (100% of contract)
<input type="checkbox"/> AIA Document A101-2007 Standard Form of Agreement between Owner and Contractor (as revised by owner)	<input type="checkbox"/> Labor and Material Payment Bond (100% of contract)

**Rockford Public Schools 205
Asbestos Abatement at West View Elementary School
New Addition, Interior Renovations & Window Replacement 2016**

WEST VIEW SCHOOL ASBESTOS ABATEMENT BID FORM

PROJECT: Asbestos Abatement at West View Elementary School PROJECT NO.: A139670047-02

SCHOOL DISTRICT: Rockford Public Schools – District #205

ENVIRONMENTAL CONSULTANT: Carnow, Conibear & Assoc., Ltd.

TO: Rockford Board of Education
School District No. 205
Rockford, Illinois

FROM: _____ (Bidder)

Having carefully examined the Contract Documents dated January 15, 2016 and all addenda issued by Rockford Public Schools – District #205, receipt of which is hereby acknowledged:

Addendum No.: _____	Dated.: _____;
Addendum No.: _____	Dated.: _____;
Addendum No.: _____	Dated.: _____;
Addendum No.: _____	Dated.: _____;

and having inspected the Site of the Work and become familiar with the conditions affecting the cost of the Work and with the requirements of the Contract, the undersigned hereby proposes to furnish all labor, tools, materials, permits, notifications, accessories, transportation and other work necessary to perform and, within the specified Time for Performance, complete in a workman like manner the Work, as required by and in strict accordance with the Contract Documents and Environmental Project Manual dated January 15, 2016, for the total sum as follows:

NOTE: BIDDER MUST FILL IN NUMERICAL FIGURE FOR ALL ITEM #s BELOW OR BID SHALL BE DISQUALIFIED.

Item #	Base Bid Items:	Cost:
A.	West View Elementary School - Asbestos Abatement for New Addition, Interior Renovations & Window Replacement	\$

Total Base Bid (Item A)

\$ _____

ACKNOWLEDGEMENTS:

The undersigned agrees that the Bidder has carefully examined the Contract Documents, Addenda (if any) and has inspected each site of proposed work; has become familiar with the conditions affecting the Contract.

The Bidder has not added any conditions or qualifying statements to this Bid, as such additions may cause the Bid to be declared informal and as not being responsive to the Invitation for Bids.

By submitting this Bid the Undersigned agrees that, if this Bid is accepted within sixty (60) days after bid opening, the Bidder will be liable to the Owner for damages the Owner may suffer by failure of the Undersigned to enter into a Contract and deliver the necessary bonds together with required insurance's and other documents within seven days after notice of Contract award.

Bidding Company Name: _____

Authorized Signature: _____

Title: _____

Date: _____

Corporate Seal

ROCKFORD PUBLIC SHOOOLS
BID-RIGGING CERTIFICATION

I, _____, a duly authorized agent of
(Agent)

_____, do hereby certify that neither
(Contractor)

_____ nor any individual presently
(Contractor)

affiliated with _____ has been barred from bidding on a
(Contractor)

public contract as a result of a violation of either Section 33E-3 (bid-rigging) or Section 33E-4 (bid rotating) of the Illinois Criminal Code, contained in Chapter 750, Article 5 of the Illinois Compiled Statutes.

Authorized Agent

Contractor

ROCKFORD PUBLIC SCHOOLS

MINORITY, WOMEN and DISABLED-OWNED BUSINESS CONCERN REPRESENTATION

Minority-Owned Business: a minority-owned business concern means a business concern that: (1) is at least 51 percent unconditionally owned by one or more individuals who are considered to be a member of a minority group, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one or more members of a minority group; and (2) has its management and daily business controlled and operated by one or more such individuals. Individuals who certify that they are members of minority groups (African Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Asian-Indian Americans, and other minorities) are to be considered minority-owned enterprises.

Women-Owned Business: a business that is at least 51 percent owned by a woman or women who also control and operate it.

Disabled Owned Business: a business that is at least 51 percent owned by a person or persons with severe physical or mental disabilities which substantially limits one or more of the person's major life activities and which person or persons control and operate such business.

"Control" in this referenced context means exercising the power to make policy decisions. "Operate" means being actively involved in the day- to-day management of the business.

The District shall rely on written representations of concerns regarding their status as minority/women/disabled-owned businesses. Offeror agrees to submit information regarding the minority ownership of its subcontractors on request of District.

COMPLETE THE SECTION BELOW AND RETURN THIS FORM WITH BID. FAILURE TO DO SO MAY RENDER THE OFFEROR'S BID UNACCEPTABLE.

A. Representation. The offeror represents that it is (), is not () a minority-owned business concern.

B. Representation. The offeror represents that it is (), is not () a women-owned business concern.

C. Representation. The offeror represents that it is (), is not () a disabled-owned business concern.

Please Check Appropriate Box/Boxes

☐ African American (AFRAM)

☐ Caucasian (CAUC)

☐ Native American (NAAM)

☐ Hispanic American (HISP)

☐ Asian-Pacific (ASIAP)
American

☐ Asian-Indian (ASIAI)
American

☐ Other _____

☐ Woman Owned (W)

☐ Disabled Owned (D)

Please identify

The offeror has ☐/ has not ☐ used the following procedures in searching for and obtaining suppliers and subcontractors:

- Place qualified Minority-Owned Businesses on solicitation lists
- Ensure that Minority-Owned Businesses are solicited whenever they are potential sources
- Consider contracting with consortia of Minority-Owned Businesses when an intended contract is too large for any one such firm to handle on its own or, if economically feasible, divide larger requirements into smaller transactions for which such organizations might compete
- Make information on contracting opportunities available and establish delivery schedules that encourage participation by Minority-Owned Businesses
- Use the services and assistance of the SBA and Department of Commerce Minority Business Development Agency, as appropriate.

Company Name _____ Address _____

City _____ State _____ Zip _____

Phone # _____ Fax # _____ FEIN # _____

Signature of Company Official _____ Title _____

Date _____

ILLINOIS STATE BOARD OF EDUCATION

100 North First Street
Springfield, IL 62777-0001

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY, AND VOLUNTARY
EXCLUSION LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Orders 12549 and 12689, Debarment and Suspension, 2 CFR 417 Subpart C Responsibilities of Participants Regarding Transactions. The regulations were published in the May 25, 2010 Federal Register (pages 29183-29189). Copies of the regulations may be obtained by contacting the Illinois State Board of Education.

BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS BELOW.

CERTIFICATION

The prospective lower tier participant certifies, by submission of this Certification, that:

- (1) Neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- (2) It will provide immediate written notice to whom this Certification is submitted if at any time the prospective lower tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances;
- (3) It shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated;
- (4) It will include the clause titled Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions;
- (5) The certifications herein are a material representation of fact upon which reliance was placed when this transaction was entered into; and
- (6) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this Certification.

Organization Name

PR/Award Number or Project Name

Name of Authorized Representative

Title

Original Signature of Authorized Representative

Date

Instructions for Certification

1. By signing and submitting this Certification, the prospective lower tier participant is providing the certifications set out herein.
2. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
3. Except for transactions authorized under paragraph 3 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used herein, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549 and Executive Order 12689. You may contact the person to which this Certification is submitted for assistance in obtaining a copy of those regulations.
5. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the "GSA Excluded Parties List System" at <http://epls.arnet.gov/>.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

ILLINOIS STATE BOARD OF EDUCATION
100 North First Street
Springfield, IL 62777-0001

CERTIFICATE REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit ISBE 85-37, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization Name

PR/Award Number or Project Name

Name of Authorized Representative

Title

Original Signature of Authorized Representative

Date

OFAC Compliance

BID No.: _____

The undersigned hereby certifies and represents that products and/or services provided under any contract with the Rockford Public Schools resulting from this bid shall be in compliance with economic or trade sanctions or restrictions implemented by the United States government such as those administered by the Office of Foreign Assets Control (“OFAC”) of the U.S. Department of the Treasury and shall not utilize or engage, for performance of any activities related to the products and/or services, any persons or entities that, (i) appear on OFAC's Specially Designated Nationals and Blocked Persons List (“SDN List”), as that list may be updated from time to time or any other similar list maintained by OFAC; (ii) are owned or controlled by any person or entities appearing on OFAC's SDN List, as that list may be updated from time to time or any other similar list maintained by OFAC; or (iii) are located in any country subject to U.S. economic or trade sanctions, such as those administered by OFAC.

Organization Name

Name of Authorized Representative

Title

Original Signature of Authorized Representative

Date

ROCKFORD PUBLIC SCHOOLS

VENDOR CONFLICT OF INTEREST DISCLOSURE FORM

DISCLOSURE STATEMENT:

All businesses ("Vendors" or "Vendor" or "Vendor's") that wish to conduct business with the Rockford Public Schools "RPS" must complete this form. Please note that all contracts with RPS are subject to RPS Code of Ethics which prohibits RPS employees and Board of Education members from having certain relationships with persons or entities conducting (or proposing to conduct) business with RPS and which limits the acceptance of gifts from Vendors. The entire Board Member Conflict of Interest Board Policy 2.100 and Board Policy 5.120 may be viewed at <http://www2.rps205.com/District/BOE/Pages/GP-200.aspx>. The Code and its definitions are incorporated by reference into this Disclosure Form. If a Vendor has a disclosable relationship, the Vendor should assume the relationship may pose a conflict of interest until notified to the contrary in writing by a RPS administrative staff member authorized to confirm that a determination has been made that a conflict does not exist. A principle of the Code of Ethics is to ensure that relationships do not influence any official decision or judgment of RPS employees or Board of Education members. Accordingly, disclosure also should be made for any person connected with Vendor (e.g., officer, director, partner, shareholder, employee,) that is likely to: (i) materially contribute to Vendor's preparation, drafting, or presentation of a proposal or bid for services and/or supplies, (ii) materially contribute to Vendor's negotiation of a contract with RPS, or (iii) perform material services under a contract with RPS. Below, these persons are referred to as "Disclosable Persons."

CERTIFICATION:

I hereby certify that, except as disclosed below, to Vendor's knowledge, there is no conflict of interest involving the Vendor named below that would violate the RPS Code of Ethics, including that: (a) after inquiry, neither Vendor nor any Disclosable Person is involved or engaged in any private business venture or enterprise, directly or indirectly, with any RPS employee or Board of Education member or his or her family member; (b) no RPS employee or Board member or his or her family member owns or has a material personal financial interest (directly or indirectly) in Vendor or is engaged in a material personal business transaction with Vendor; and (c) no RPS employee or board of Education member or his or her family is employed by Vendor.

I further certify that neither the Vendor nor anyone acting on its behalf has requested that any RPS employee or RPS Board of Education member exert any influence to secure the award of this bid to the Vendor. Furthermore, no RPS Board of Education member, employee or agent has offered to influence to secure the award of this bid to the Vendor

VENDOR INFORMATION:

Vendor Name: _____

Vendor Address: _____

Vendor Phone Number _____

Vendor Email: _____

Vendor FEIN: _____

ROCKFORD PUBLIC SCHOOLS

VENDOR CONFLICT OF INTEREST DISCLOSURE FORM

DISCLOSURE STATEMENT:

I BELIEVE THE VENDOR NAMED ABOVE DOES have a potential conflict(s) of interest with a current RPS employee(s), or RPS Board of Education member(s).

☐ YES, the above statement is true.

☐ NO, the above statement is **NOT** true.

If you checked “**YES**” above, please provide the following information:

List all the Name(s) of RPS employee(s), RPS Board of Education member(s), or RPS employees’ or RPS Board of Education’s family member(s) with whom there may be a conflict of interest:

1. _____

2. _____

3. _____

Provide a brief description of the nature of the potential conflict(s) of interest:

SIGNATURE:

By my signature below, I certify that I am the Authorized Representative of the VENDOR named above and that all of the information provided above by signor is true and complete to the best of the signor’s knowledge:

Print the Name of the Vendor’s Authorized Representative

Print the Position Title of the Vendor’s
Authorized Representative

Print the Name of the Vendor’s Authorized Representative

Date

CERTIFIED CLEARED EMPLOYEE LIST

The undersigned _____, a vendor, supplier, professional services firm or contractor, hereby certifies under oath as follows:

1- a criminal history records check, a Statewide Sex Offender Database check and a Statewide Child Murderer and Violent Offender Against Youth Database check has been conducted for all employees as indicated by a check mark in the appropriate box in accordance with 105 ILCS 5/10-21.9 (the Act); and

2- that such employees have not been convicted of any of the enumerated criminal or drug offenses listed in the Act and their name does not appear on the noted Databases; and

3-the undersigned is an owner (if sole proprietor) or officer, member or partner of the undersigned authorized to execute this document binding the undersigned.

No.	Last Name	M.I.	First Name	SS # (last four)	Crim. Hst.	Databases

By:_____

This certificate Subscribed and Sworn to before me this ____ day of _____, 20____.

Notary Public

Commission Expires :_____

Vendor Cert. Employee List No.____

ROCKFORD PUBLIC SCHOOLS

ASBESTOS NOTIFICATION

DATE: _____

I, _____, (Person/Company) understand that

_____ School Building contains asbestos-containing building material and have been informed of the types and locations of this material by the Building Engineer. Furthermore, I will not disturb these materials without written permission from one of the following Building Services Department Officials.

Todd Schmidt, Chief Operating Officer

Rockford Public Schools Project Manager, Operations and Facilities

Signature

cc: Building Engineer

Contractor

STATEMENT OF CONTRACTOR'S QUALIFICATIONS

SUBMITTED BY _____

PERMANENT MAIN OFFICE ADDRESS _____

ADDRESS _____

TELEPHONE NO. _____

How many years operating as contractor for work of this nature.

List of five (5) recent, Illinois school contracts >\$50,000.00 completed for abatement work of this nature.

DOLLAR AMOUNT, NATURE OF WORK, NAME OF CONTACT, YEAR PROJECT COMPLETED

1. _____

2. _____

3. _____

4. _____

List of current abatement contracts.

DOLLAR AMOUNT , NATURE OF WORK, ESTIMATED DATES OF PROJECT

1. _____

2. _____

3. _____

4. _____

List of five (5) references for school projects completed in the past three (3) years. Include **current** contact name and telephone numbers.

1. _____

2. _____

3. _____

4. _____

5. _____

List of three (3) references from environmental consultants for similar type abatement projects completed in the past two (2) years. Include **current** contact name, telephone number and Project Name.

1. _____

2. _____

3. _____

ROCKFORD BOARD OF EDUCATION
SCHOOL DISTRICT #205
501 7TH Street
Rockford, Illinois 61104
Phone: Area Code 815-966-3098
Fax: Area Code 815-966-3088

W - 9

SUBSTITUTE IRS FORM W-9 – IMPORTANT TAX INFORMATION

IRS regulations require our School District to have on file appropriate taxpayer identification data concerning you or your firm. This information consists of either a Federal Employer Identification Number (F.E.I.N.) or Social Security Number (S.S.N.) and will have their payments reported to the IRS on form #1099–Misc.

Below is the legal name and address for you or your firm as shown on our official records. Please make any necessary corrections. Space is also provided to enter the appropriate tax identification number and to indicate (by checking a box) the correct legal status. Failure to complete and return this form could result in a \$50,000 IRS penalty. In addition, we would be required to withhold 20% of payments due and remit this amount to the IRS until we receive the correct tax data.

For your convenience we request you fax this form back to sender (or to Purchasing at 815-966-3088). Please do this today so we can both fulfill our reporting obligations and ensure prompt payments.

Reminder: If LEGAL STATUS is “Sole Proprietorship”, the Taxpayer Identification Number must be either the Social Security Number of the owner or assigned FEIN.

LEGAL STATUS: (Check One)

☐ Corporation

☐ Limited

☐ Partner(ship) _____ (one owner)

☐ Religious, Charitable, Educational or
Governmental Agency (**circle one**)

☐ Sole Proprietorship (legal owner's name):

☐ Individual

☐ Other – Please identify: _____

TAXPAYER (federal) ID# -- FEIN or Soc Sec

(use the line corresponding to your legal status line)

FEIN: _____ - _____

FEIN: _____ - _____

FEIN: _____ - _____

FEIN: _____ - _____

FEIN: _____ - _____ or

SSN: _____ - _____ - _____

Owner's Social Security Number

SSN: _____ - _____ - _____

FEIN: _____ - _____

UNDER PENALTIES OF PERJURY, I CERTIFY THE INFORMATION PROVIDED ON THIS FORM IS TRUE, CORRECT, AND COMPLETE.

Signature: _____ Title: _____ Date: _____

Phone: (_____) _____ Fax: (_____) _____

Website & Email address: _____

If minority/women owned business, list here: _____

Vendor: Enter Name and Address Below

Vendor #: _____

School: _____

CONFIRMATION OF CALLED INSPECTION RECORDS

☐ 2009 International Building Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Footing			
2.	Foundation			
3.	Concrete Slab / Under-floor			
4.	Lowest Floor Elevation			
5.	Framing			
6.	Lathe and Gypsum Board			
7.	Fire Resistant Penetrations			
8.	Energy Efficiency			
9.	Special Inspection			
10.	Final IBC			

☐ 2009 International Electrical Code (Appendix K) Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Prefabricated Assembly Evaluation Report			
2.	Underground			
3.	Rough-in			
4.	Final IEC			

☐ 2009 International Energy Conservation Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Foundation (thermal envelope)			
2.	Framing (thermal envelope)			
3.	Insulation (thermal envelope)			
4.	Rough-in "Okay to Cover" (mechanical, service water heating, electrical, lighting)			
5.	Final (mechanical, service water heating, electrical, lighting)			
6.	Final IECC			

☐ 2009 International Fire Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Final IFC			

☐ 2009 International Mechanical and Fuel Gas Code Called Inspection Records

	Called Inspection Type	Approval to Proceed Date	A/E or Qualified Inspector Signature	ISBE ID Number or A/E License Number
1.	Prefabricated Assembly Evaluation Report			
2.	Underground Piping			
3.	Rough-in			
4.	Final IMC & IFGC			

AIA® Document A305™ – 1986

Contractor's Qualification Statement

The Undersigned certifies under oath that the information provided herein is true and sufficiently complete so as not to be misleading.

SUBMITTED TO:

ADDRESS:

SUBMITTED BY:

NAME:

ADDRESS:

PRINCIPAL OFFICE:

- ☐ Corporation
- ☐ Partnership
- ☐ Individual
- ☐ Joint Venture
- ☐ Other

NAME OF PROJECT: *(if applicable)* Sample

TYPE OF WORK: *(file separate form for each Classification of Work)*

- ☐ General Construction
- ☐ HVAC
- ☐ Electrical
- ☐ Plumbing
- ☐ Other: *(Specify)*

§ 1 ORGANIZATION

§ 1.1 How many years has your organization been in business as a Contractor?

§ 1.2 How many years has your organization been in business under its present business name?

§ 1.2.1 Under what other or former names has your organization operated?

§ 1.3 If your organization is a corporation, answer the following:

§ 1.3.1 Date of incorporation:

§ 1.3.2 State of incorporation:

§ 1.3.3 President's name:

ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This form is approved and recommended by the American Institute of Architects (AIA) and The Associated General Contractors of America (AGC) for use in evaluating the qualifications of contractors. No endorsement of the submitting party or verification of the information is made by AIA or AGC.

§ 1.3.4 Vice-president's name(s)

§ 1.3.5 Secretary's name:

§ 1.3.6 Treasurer's name:

§ 1.4 If your organization is a partnership, answer the following:

§ 1.4.1 Date of organization:

§ 1.4.2 Type of partnership (if applicable):

§ 1.4.3 Name(s) of general partner(s)

§ 1.5 If your organization is individually owned, answer the following:

§ 1.5.1 Date of organization:

§ 1.5.2 Name of owner:

§ 1.6 If the form of your organization is other than those listed above, describe it and name the principals:

§ 2 LICENSING

§ 2.1 List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate registration or license numbers, if applicable.

§ 2.2 List jurisdictions in which your organization's partnership or trade name is filed.

§ 3 EXPERIENCE

§ 3.1 List the categories of work that your organization normally performs with its own forces.

§ 3.2 Claims and Suits. (If the answer to any of the questions below is yes, please attach details.)

§ 3.2.1 Has your organization ever failed to complete any work awarded to it?

§ 3.2.2 Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers?

§ 3.2.3 Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years?

§ 3.3 Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? (If the answer is yes, please attach details.)

§ 3.4 On a separate sheet, list major construction projects your organization has in progress, giving the name of project, owner, architect, contract amount, percent complete and scheduled completion date.

§ 3.4.1 State total worth of work in progress and under contract:

§ 3.5 On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of project, owner, architect, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

§ 3.5.1 State average annual amount of construction work performed during the past five years:

§ 3.6 On a separate sheet, list the construction experience and present commitments of the key individuals of your organization.

§ 4 REFERENCES

§ 4.1 Trade References:

§ 4.2 Bank References:

§ 4.3 Surety:

§ 4.3.1 Name of bonding company:

§ 4.3.2 Name and address of agent:

§ 5 FINANCING

§ 5.1 Financial Statement.

§ 5.1.1 Attach a financial statement, preferably audited, including your organization's latest balance sheet and income statement showing the following items:

Current Assets (e.g., cash, joint venture accounts, accounts receivable, notes receivable, accrued income, deposits, materials inventory and prepaid expenses);

Net Fixed Assets;

Other Assets;

Current Liabilities (e.g., accounts payable, notes payable, accrued expenses, provision for income taxes, advances, accrued salaries and accrued payroll taxes);

Other Liabilities (e.g., capital, capital stock, authorized and outstanding shares par values, earned surplus and retained earnings).

§ 5.1.2 Name and address of firm preparing attached financial statement, and date thereof:

§ 5.1.3 Is the attached financial statement for the identical organization named on page one?

§ 5.1.4 If not, explain the relationship and financial responsibility of the organization whose financial statement is provided (e.g., parent-subsidiary).

§ 5.2 Will the organization whose financial statement is attached act as guarantor of the contract for construction?

§ 6 SIGNATURE

§ 6.1 Dated at this day of

Name of Organization:

By:

Title:

§ 6.2

M being duly sworn deposes and says that the information provided herein is true and sufficiently complete so as not to be misleading.

Subscribed and sworn before me this day of

Notary Public:

My Commission Expires:

AIA® Document A101™ – 2007

Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum

AGREEMENT made as of the ____ day of _____ in the year 20__.

BETWEEN the Owner:

Board of Education of Rockford School District No.205
Winnebago and Boone Counties, Illinois
501 7th Street
Rockford, Illinois 61104

and the Contractor:
(Paragraphs deleted)

for the following Project:

Bid No.

The Architect:

Program Manager:

The Owner and Contractor agree as follows.

Owner is an Illinois public school district. This Contract is the result of the award of a publicly bid contract pursuant to the provisions of the Illinois School Code pertaining to public contracts, particularly the provisions of 105 ILCS 5/10-20.21. The invitation to bid No. _____, all amendments thereof and Contractor's bid all form a part of this Contract. The terms of Illinois statutes applicable hereto shall govern all terms and conditions of this contract as though fully set forth herein.

Init.

TABLE OF ARTICLES

1	THE CONTRACT DOCUMENTS
2	THE WORK OF THIS CONTRACT
3	DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
4	CONTRACT SUM
5	PAYMENTS
6	DISPUTE RESOLUTION
7	TERMINATION OR SUSPENSION
8	MISCELLANEOUS PROVISIONS
9	ENUMERATION OF CONTRACT DOCUMENTS
10	INSURANCE AND BONDS

ARTICLE 1 THE CONTRACT DOCUMENTS

The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary and other Conditions) AIA Document A201-2007, as revised by Owner, Drawings, Specifications, Addenda issued prior to execution of this Agreement with Bid No. _____, other documents listed in this Agreement and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2 THE WORK OF THIS CONTRACT

The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3 DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1

The Work is comprised of multiple dates of commencement of the Work. The construction start date, substantial completion date and final completion date are as follows:

Construction start date:

Substantial completion date:

Final Completion date: _____

§ 3.2 The Contract Time shall be measured from the date of commencement.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor's performance of the Contract. The Contract Sum consistent with the award of a public bid # _____ awarded by Owner to Contractor shall be the total contract sum of

(_____) subject to additions and deductions as provided in the Contract Documents.

Init.

§ 4.2 The Contract Sum is based upon the following alternates, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

§ 4.3 Unit prices, if

any, including all associated costs including, but not limited to, excavation, backfilling offsite transportation/site fee, labor, overhead, insurance and bond:

§ 4.4 Allowances included in the Contract Sum, if any:

ARTICLE 5 PAYMENTS

§ 5.1 PAYMENTS

§ 5.1.1 Based upon Applications for Payment submitted to and approved by the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make payments on account of the Contract Sum to the Contractor pursuant to its policies and procedures more fully explained in the Addendum attached hereto and made a part hereof marked Exhibit A, and as provided elsewhere in the Contract Documents.

§ 5.1.2 Contractor shall submit to the Architect not more than one Application for Payment per month. The payment may cover a time period up to and including the date of submission of the draft Application for Payment. Contractor shall submit a draft Application for Payment on or before the date established by Program Manager. A supplemental Application for Payment may be required at the end of Owner's School Year (June 30).

§ 5.1.3 Payments to Contractor shall be pursuant to the policies and procedures of Owner as set forth in Addendum Exhibit A attached.

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form and supported by such data to substantiate its accuracy as the Architect may require. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.

§ 5.1.6 Subject to other provisions of the Contract Documents, the amount of each payment shall be the amount of Architect approved certificate for payment computed as follows:

- .1 Take that portion of the Contract Sum properly allocable to completed Work as determined by multiplying the percentage completion of each portion of the Work by the share of the Contract Sum allocated to that portion of the Work in the schedule of values, less retainage of TEN percent (10.00%). Pending final determination of cost to the Owner of changes in the Work, amounts not in dispute shall be included as provided in Section 7.3.9 of AIA Document A201™-2007, General Conditions of the Contract for Construction as revised by Owner;
- .2 Subtract the aggregate of previous payments made by the Owner.

§ 5.1.7 The payment amount determined in accordance with Section 5.1.6 shall be further modified under the following circumstances:

- .1 Add, upon Substantial Completion of the Work, a sum sufficient to increase the total payments to the full amount of the Contract Sum, less such amounts as the Architect shall determine for incomplete Work, retainage applicable to such work and unsettled

claims

§ 5.1.8 Reduction or limitation of retainage, if any, shall be as follows:

NONE

Init.

§ 5.2 FINAL PAYMENT

§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

- .1 the Contractor has fully performed the Contract except for the Contractor's responsibility to correct Work as provided in Section 12.2.2 of AIA Document A201-2007, as revised by Owner, and to satisfy other requirements, if any, which extend beyond final payment; and
- .2 a final Certificate for Payment has been issued by the Architect.

§ 5.2.2 The Owner's final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect's final Certificate for Payment, or as follows:

In accordance with Owners policies and procedures for payment as described in the Addendum Exhibit A attached hereto.

ARTICLE 6 DISPUTE RESOLUTION

§ 6.1 INITIAL DECISION MAKER

The Architect will serve as Initial Decision Maker pursuant to Section 15.2 of AIA Document

A201-2007 as revised by Owner.

§ 6.2 BINDING DISPUTE RESOLUTION

For any Claim subject to, but not resolved by, mediation pursuant to Section 15.3 of AIA Document A201-2007, as revised by Owner, the method of binding dispute resolution shall be as follows:

Owner and Contractor may but shall not be required to submit claims to arbitration or mediation. If Owner and Contractor each independently agree to mediation or arbitration of claims, such mediation and arbitration shall proceed according to the provisions of AIA Document A201-2007 as revised by Owner. Mediation or arbitration may be requested by either party in writing. If the responding party declines to mediate or arbitrate or fails to respond to the request within 7 days of receipt of a request the sole remedy and method of dispute resolution for such claim shall be litigation in a court of competent

jurisdiction. **Jurisdiction for purposes of this Agreement and all parties hereto shall be the laws of the State of Illinois and venue shall lie in the 17th Judicial Circuit Court, Winnebago County, Illinois.**

ARTICLE 7 TERMINATION OR SUSPENSION

§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201-2007 as revised by Owner.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201-2007 as revised by Owner.

ARTICLE 8 MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201-2007 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents; however, in the case of AIA Document A201-2007, it shall refer to AIA Document A201-2007 as revised by Owner and attached as Exhibit B.

§ 8.2 The Owner's representative:

Todd Schmidt
Chief of Operations
Rockford Public Schools
501 Seventh Street
Rockford, Illinois 61104

§ 8.3 The

Contractor's representative:

Init.

§ 8.4

Neither the Owner's nor the Contractor's representative shall be changed without ten days written notice to the other party.

§ 8.5 Other provisions:

If Owner has contracted with a Program Manager for this project, in all cases in which notices are required or permitted to be given by Contractor, a copy of each such notice shall be simultaneously given to Program Manager.

Program Manager:

ARTICLE 9 ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 The Contract Documents, except for Modifications issued after execution of this Agreement are enumerated in the sections below.

§ 9.1.1 The Agreement is this executed AIA Document A101-2007, Standard Form of Agreement Between Owner and Contractor as revised by Owner.

§ 9.1.2 The General Conditions are AIA Document A201-2007 as revised by Owner and attached hereto marked Exhibit B, General Conditions of the Contract for Construction and Supplementary Conditions attached to Bid 14-52.

§ 9.1.3 The Supplementary and other Conditions of the Contract:

As contained in the Invitation to Bid # _____ and as attached hereto marked Exhibit C.

§ 9.1.4 The Specifications:

As set forth in invitation to bid # _____ and addenda thereto attached hereto as Exhibit C and D.

§ 9.1.5 The Drawings:

As set forth in invitation to bid # _____ and addenda thereto attached hereto as Exhibit C and D.

§ 9.1.6 The Addenda, if any:

As set forth and referenced in the document attached hereto marked Exhibit D.

§ 9.1.7 Documents forming the Contract Documents:

1. The Addendum attached hereto marked Exhibit A
2. General Conditions attached hereto marked as Exhibit B.
3. Bid No. _____ issued by Owner attached as Exhibit C (compact disk).

Init.

4. Addenda to Bid as referenced and attached as Exhibit D (compact disk).
5. Contractor's bid dated attached hereto as Exhibit E.

ARTICLE 10 INSURANCE AND BONDS

The Contractor shall purchase and maintain insurance and provide bonds as set forth in Article 11 of AIA Document A201-2007, as revised by Owner and attached hereto and including the Supplementary Conditions issued by Owner.

This Agreement entered into as of the day and year first written above.

OWNER:

CONTRACTOR:

**BOARD OF EDUCATION OF ROCKFORD
SCHOOL DISTRICT NO. 205, WINNEBAGO
AND BOONE COUNTIES, ILLINOIS**

BY: _____
ITS PRESIDENT

BY: _____
ITS PRESIDENT

ATTEST: _____
ITS SECRETARY

ATTEST: _____
ITS SECRETARY

Init.



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	CONTACT NAME:	
	PHONE (A/C, No, Ext):	FAX (A/C, No):
	E-MAIL ADDRESS:	
	INSURER(S) AFFORDING COVERAGE	NAIC #
INSURED	INSURER A:	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES

CERTIFICATE NUMBER:

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL SUBR INSR: WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
	GENERAL LIABILITY					EACH OCCURRENCE \$
	<input type="checkbox"/> COMMERCIAL GENERAL LIABILITY					DAMAGE TO RENTED PREMISES (Ea occurrence) \$
	<input type="checkbox"/> CLAIMS-MADE <input type="checkbox"/> OCCUR					MED EXP (Any one person) \$
						PERSONAL & ADV INJURY \$
						GENERAL AGGREGATE \$
	GEN'L AGGREGATE LIMIT APPLIES PER:					PRODUCTS - COMP/OP AGG \$
	<input type="checkbox"/> POLICY <input type="checkbox"/> PROJECT <input type="checkbox"/> LOC					\$
	AUTOMOBILE LIABILITY					COMBINED SINGLE LIMIT (Ea accident) \$
	<input type="checkbox"/> ANY AUTO					BODILY INJURY (Per person) \$
	<input type="checkbox"/> ALL OWNED AUTOS	<input type="checkbox"/> SCHEDULED AUTOS				BODILY INJURY (Per accident) \$
	<input type="checkbox"/> HIRED AUTOS	<input type="checkbox"/> NON-OWNED AUTOS				PROPERTY DAMAGE (Per accident) \$
						\$
	UMBRELLA LIAB	<input type="checkbox"/> OCCUR				EACH OCCURRENCE \$
	EXCESS LIAB	<input type="checkbox"/> CLAIMS-MADE				AGGREGATE \$
	DED <input type="checkbox"/> RETENTION \$					\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY					WC STATUTORY LIMITS <input type="checkbox"/> OTHER <input type="checkbox"/>
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICE/MEMBER EXCLUDED? <input type="checkbox"/> Y <input type="checkbox"/> N <input type="checkbox"/> N/A					E.L. EACH ACCIDENT \$
	(Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below					E.L. DISEASE - EA EMPLOYEE \$
						E.L. DISEASE - POLICY LIMIT \$

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (Attach ACORD 101, Additional Remarks Schedule, if more space is required)

CERTIFICATE HOLDER

CANCELLATION

Rockford Public School District 205
Attn: Jim Heathscott
501 Seventh Street
Rockford, IL 61104

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

PROJECT MANUAL

Carnow Conibear Project Number: A139670047-02

FOR: Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

AT: West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

PROJECT: Asbestos Abatement for New Addition and Interior Renovations –
Spring/Summer 2016

ENVIRONMENTAL CONSULTANT:

CARNOW, CONIBEAR & ASSOC., LTD.
600 W. Van Buren Street, Suite 500
Chicago, IL 60607
(312) 762.2900
(312) 782-5145 (fax)

Prepared by:

John M. Dobby, CIH, CSP
Project Designer
IDPH #100-9261

January 15, 2016

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SPECIFICATION SECTION 02131

**ASBESTOS ABATEMENT FOR INTERIOR SCHOOL
AREAS**

SECTION 02131 - ASBESTOS ABATEMENT FOR INTERIORS

PART 1 - GENERAL

- 1.1 Introduction: Asbestos abatement in interior building spaces, covered walkways or porticos connecting buildings, and on outdoor mechanical systems which condition indoor air (such as air handling units, air conditioners, cooling towers, etc.) is governed by rules established by the Illinois Department of Public Health (IDPH). This specification section addresses or references the requirements for complying with IDPH, OSHA, and EPA NESHAP asbestos rules. Each and every rule requirement may not be restated in detail since trained, accredited, and licensed contractors and individuals are required for this work and are presumed to be familiar with the relevant laws and rules. Full regulatory compliance is required, and is a part of the contract, whether specifically stated herein or not.

Exterior building spaces are not subject to IDPH rules unless the abatement procedures involve interior spaces of the building. Roofing, window replacement, exterior transite sheeting, asbestos siding, asbestos-containing paint, caulking, glazing, flashings, cements, or other products installed on the building exterior are subject to OSHA and NESHAP rules which, in many cases are less rigorous than IDPH requirements. Abatement of these items is specified in separate, related specification sections.

- 1.2 Definitions: In addition to the terms listed below, all definitions in the laws and regulations listed in Section 1.5 are incorporated by reference, whether or not restated herein.
- A. Abatement Contractor (AC) means the entity responsible for performing the work in this section and has the training and accreditation to competently perform the work. This entity will obtain and maintain licenses required for the indoor work in this section.
 - B. Asbestos Abatement Supervisor, hereinafter referred to as "supervisor" means a person retained by the AC, who supervises asbestos abatement workers. This person must be trained, accredited, and licensed as required, and must also meet OSHA "competent person" criteria for asbestos abatement.
 - C. Asbestos Project Manager (APM) is the individual that performs asbestos abatement project oversight, acts on behalf of the Rockford Public Schools or its agents on the project, and performs "Project Manager" duties as defined by IDPH asbestos regulations.
 - D. Rockford Public Schools means the owner of the property and the authority ordering the work specified herein.
 - E. HEPA Filter means a High Efficiency Particulate Air filter capable of trapping 99.97% percent of particles greater than 0.3 micrometers in mass median aerodynamic equivalent diameter.
 - F. IDPH means the Illinois Department of Public Health.
 - G. Carnow Conibear & Assoc., Ltd. (CCA) means the entity with overall responsibility for

the environmental aspects of the project, including design, organization, direction, and control as well as investigations, assessments, and supervision of project managers.

- H. MSDS means Material Safety Data Sheet, required by OSHA for any chemicals in the workplace that that could be expected to cause an exposure to workers during normal use or in emergency situations.
- I. Plasticize means to apply plastic sheeting over surfaces or objects to protect them from contamination or water damage.
- J. PPE (Personal Protection Equipment) means the protective suits, head and foot covers, gloves, respirators and other items used to protect persons from asbestos or other hazards.
- K. RCRA means the Resource Conservation and Recovery Act and associated regulations.
- L. TCLP means the Toxicity Characteristic Leaching Procedure as specified in EPA 530/SW-846, Test Methods for Evaluating Solid Waste: Physical/Chemical Methods 3rd edition, November 1986.
- M. Work Area means the area or areas where asbestos abatement is being conducted.

1.3 Scope of Work: Refer to Environmental Scope forms and Drawings.

1.4 Work Included

- A. The work includes all labor, equipment, materials, and supplies necessary to perform the Scope of work in the Documents by the procedures described herein. The contractor, by submitting a bid for the work, represents itself as knowledgeable and expert in the performance of the work, and includes all things usually and customarily necessary to provide a complete and finished job, whether specifically mentioned or not.
- B. Removal of friable and non-friable asbestos-containing materials listed in the Documents, including pre-cleaning, moving of furnishings, establishing regulated areas, isolating the work areas, protection of adjacent areas, containment when required, cleanup and decontamination to the specified clearance levels, proper packaging and disposal of wastes, and all other steps necessary to complete the scope of work.
- C. Repair or replacement of damaged surfaces, fixtures, or furnishings to restore them to their pre-existing condition to the satisfaction of the Project Manager.
- D. When the Documents include lead and asbestos abatement items in the same spaces, they should be performed in the sequence and combinations that produce the most efficient results, minimize concentrated lead waste volume, and produce the least amount of total waste. That sequence will generally be:

1. Cleanup of lead dust, flakes, chips, and residues most likely to fail a TCLP test. If both lead and asbestos debris are present and mixed together, they may be cleaned up and disposed together.
2. Cleanup and removal of failed or delaminated friable asbestos-containing debris, if any.
3. Removal of friable asbestos materials and cleanup of visible residues.
4. Removal of lead-bearing architectural components.
5. Removal of non-friable asbestos items. If both asbestos and lead are on the same components, for example lead paint and asbestos-containing glazing compound, the components may be removed and disposed with both the lead and asbestos-bearing items intact.
6. Removal of lead-based paint, coatings, or surfacing material.
7. Final cleanup and decontamination of the work space. Final air clearance (asbestos) and wipe samples (lead) may be performed concurrently.
8. When lead and asbestos final decontamination processes are combined, the more stringent cleanup procedures will apply for both.
9. Waste disposal.
 - a. Hazardous waste: loose paint flakes, chips, and dust; lead-specific cleaning supplies; contaminated soil; combined final decontamination supplies; disposable suits, gloves, head covers, and foot covers; other items that fail a TCLP or other RCRA test.
 - b. Special waste: friable asbestos-containing waste materials and lead-contaminated waste that has passed TCLP or other RCRA tests.
 - c. Construction and demolition (C&D) debris: lead-bearing architectural components; concrete and lumber with or without tile or mastic attached; demolition debris, and other general wastes.
 - d. All asbestos-containing or lead-bearing wastes, regardless of classification, shall be disposed in a landfill approved by the IEPA to accept asbestos-containing or lead-bearing waste materials.

- E. Compliance with all applicable laws, regulations, standards, and these specifications. In the case of a conflict, the contractor will comply with the most stringent.
- F. Contractor is required to fully comply with IDPH rules and these specifications unless a variance is granted by IDPH. Any variances obtained by the CCA will be listed in the Documents.
- G. All licenses, accreditations, permits, fees, notifications, reports, or other documents required by law, regulation, this specification, or the Documents.
- H. Provide project closeout documentation to the APM within thirty (30) days after final clearance. This documentation shall include, but is not limited to, items listed in paragraph 1-7, Submittals.

1.5 Laws, Regulations and Standards

- A. The following laws, regulations, and standards are incorporated by reference:

1. 105 ILCS 105: Illinois Asbestos Abatement Act

2. 77 Ill. Adm. Code 855: Asbestos Abatement for Public and Private Schools and Commercial and Private Buildings in Illinois
3. 29 CFR 1910: US OSHA General Industry Standards
4. 29 CFR 1926: US OSHA Construction Standards
5. 29 CFR 1926.1101: US OSHA Asbestos Construction Standards
6. ASHARA: US EPA Asbestos School Hazard Abatement Reauthorization Act
7. 40 CFR Part 61: US EPA National Emissions Standards for Hazardous Air Pollutants (NESHAP), 11/90 revision
8. 40 CFR 763 Subpart E: US EPA Asbestos Hazard Emergency Response Act (AHERA) Rules
9. 40 CFR 763 Subpart E: US EPA Asbestos Model Accreditation Plan (MAP): Appendix C -Interim Final Rule

1.6 Assessment, Monitoring, Testing and Analysis

- A. CCA will perform inspection, testing and design services prior to the start of work, and during the project, and will perform testing, inspection, and monitoring services during the work and upon its completion:

1. Prior to the start of the work

- a. CCA shall identify suspect materials and confirm their asbestos content through review of the school's historical documentation, management plan or by testing.
- b. CCA will design the project and address any design changes if requested by the Owner.
- c. CCA shall collect background air samples (as necessary) before conditions are disturbed. Background samples will be analyzed by PCM.
- d. Review and approve the pre-abatement submittals submitted by the AC.

2. During the work, CCA shall:

- a. Enter the work area at least every two hours to inspect the work procedures and work area integrity.
- b. Maintain a daily log to record the day's events, problems, corrective actions.
- c. Collect air samples inside and outside the work area, and in the breathing zone of representative persons.
- d. CCA will stop the work if airborne asbestos concentrations outside the work area exceed 0.01 f/cc. The work may restart when the source of fiber release has been identified and corrected. Contractor will be responsible for cleaning and decontaminating the outside area if caused by the asbestos abatement activities.
- e. Observe/document smoke testing of the containment by the contractor.
- f. Review original worker licenses and maintain weekly submittals from the AC.
- g. Notify CCA's project designer if design changes are needed before execution.

3. Upon completion of the work, CCA shall:

- a. Inspect for visible debris. Contractor shall be required to re-clean the area or portions of areas until no visible debris remains and the work area is dry.
 - b. Perform aggressive clearance testing by Transmission Electron Microscopy (TEM) when the ACM in a work area is 260 linear feet, 160 square feet, or 35 cubic feet of volume or more, as required by AHERA and IDPH Section 855.170. The sample set shall include at least 5 inside samples, 5 outside samples, 2 field blanks and 1 sealed blank. **Note:** Large complicated, or multi-floor contiguous work areas connected by corridors, stairways, or other connections shall be tested using additional inside the work area samples. For clearance of multiple mini containments containing a total removal quantity greater than 160 square feet or 260 linear feet, a combined PCM/TEM final clearance procedure may be used. The first part of the procedure shall involve the collection and analysis of one PCM sample from within each mini containment. The second part shall involve the collection and analysis of five (5) TEM samples within the mini containments having the highest PCM analysis results. If there are five or fewer mini containments to be sampled, then only TEM sampling shall be conducted. A minimum of five (5) TEM samples shall be collected. All requirements of 40 CFR 763 Subpart E, Appendix A shall apply.
 - c. Perform aggressive clearance testing by Phase Contrast Microscopy (PCM) when the ACM in a work area is less than 260 linear feet, 160 square feet, or 35 cubic feet of volume.
 - d. Collect and analyze samples in accordance with AHERA Appendix A procedures and IDPH rule section 855.470.
 - e. Prepare and submit the IDPH "Project Manager's Summary Report Form" within 10 days of final clearance.
 - f. Prepare and submit the Project Manager Report to the IDPH within 60 working days of clearance testing. The final Project Manager is responsible for completion of the project report.
- B. The Contractor shall provide OSHA compliance air monitoring to determine exposures to its employees in accordance with OSHA 29 CFR 1926.1101. Frequency of testing will comply with OSHA requirements for the anticipated and actual exposure levels.
1. A written Exposure Assessment may be provided prior to the start of the work to determine the requirements for respiratory protection and frequency of OSHA monitoring for each type of activity. The contractor should note that a Negative Exposure Assessment (NEA) may be possible for many tasks. For interior work, this would allow reduced OSHA monitoring frequency.
 2. Analysis may be performed on site.
- C. Credentials required for testing and analysis of PCM final clearance air samples:
1. Accreditation by AIHA or AAR; or
 2. Participation in the Proficiency Analytical Testing (PAT) program.
 3. Certification of individual qualification to read samples on site when on site analysis is performed.

1.7 Submittals by the Contractor

- A. To IDPH, IEPA (If Applicable), and CCA at least 10 working days before commencement of work:
 - 1. Asbestos Notification on current form, including inspector license number and landfill permit number.
 - 2. Written permission from building owner authorizing contractor to commence abatement.
 - 3. Building owner asbestos abatement notification to building occupants and users.

- B. To CCA at least five days prior to commencement of Work:
 - 1. Documentation of arrangements of transport and disposal, landfill name and location, handling procedures and PPE at the landfill, prepared and signed by the landfill.
 - 2. Drawings or sketches for layout and construction of isolation barriers and decontamination units.
 - 3. Respirators: NIOSH approvals and manufacturer certification of HEPA filtration for cartridges
 - 4. Manufacturers' certifications that all HEPA vacuums, negative air pressure equipment, and other local exhaust ventilation equipment conform to ANSI Z9.2-79
 - 5. Written notifications to rental companies for any rental equipment used.
 - 6. Results of any performance tests for encapsulants, if applicable.
 - 7. OSHA Exposure Assessment, if available.
 - 8. Laboratory and analyst credentials for contractor OSHA samples.
 - 9. Material Safety Data Sheets (MSDS) for chemicals used on site.
 - 10. Work Plan and Schedule.

- C. To CCA on the first day of abatement work:
 - 1. Original contractor, supervisor, and worker licenses along with a copy each.
 - 2. Initial Course Accreditation and current refresher accreditation for each supervisor and worker.
 - 2. Physician's Written Opinions for workers and supervisors.
 - 3. Fit test documentation for all employees, agents.

- D. To CCA weekly (or as necessary) during the abatement work:
 - 1. Job progress reports detailing abatement activities, progress compared to schedule, problems and actions taken, injury reports, and equipment breakdowns.
 - 2. Waste Shipment Records.
 - 3. Work site Entry logs.
 - 4. Manometer readable tape for negative pressure differentials for each negative pressure worker enclosure or a log of digital readout.
 - 5. Filter Change logs for respirators, HEPA vacuums, negative air machines, and other engineering controls.
 - 6. OSHA compliance air monitoring data.
 - 7. Worker license and certification log.

- E. Prior to beginning work, the AC shall submit required notifications to applicable regulatory agencies and receive an Owners Authorization and Notice to Occupants from Rockford Public Schools for buildings where asbestos abatement will take place. The AC will provide copies of all regulatory notices to CCA for review prior to sending such notices to each regulatory authority. The AC shall not begin a project until such notices are provided to Rockford Public Schools and/or CCA.

PART 2 - PRODUCTS

2.1 Tools and Equipment: All tools and equipment shall at least conform to minimum industry standards and IDPH regulations.

A. Equipment:

1. Negative Air Machines shall provide HEPA filtration and conform to ANSI Z9.2 fabrication criteria.
2. Respirators shall be NIOSH approved for use with lead, asbestos, or other contaminants anticipated in the work.
3. Contractor is fully responsible for complying with OSHA rules for other Safety equipment, such as hard hats, safety harnesses, eye protection, gloves, footwear, and any other safety devices used on the site.
4. Pressure differential manometer with readable tape shall be provided by the contractor, including calibration documentation.

B. Tools:

1. Shovels and scoops shall be rubber or plastic, suitable for use in a plasticized containment. Metal shovels are not permitted.
2. Scrapers, brushes, utility knives and other hand tools shall be of good quality and suitable for the intended uses. The contractor shall keep an ample supply on hand for the completion of the work.
3. Power tools such as, but not limited to saws, pneumatic chisels, brushes, sanders, and needle guns shall be equipped with shrouds and HEPA-filtered local exhaust systems to capture released particles.
4. Buffers are not permitted.

2.2 Materials: All materials shall at least conform to minimum industry standards and IDPH regulations.

A. Installed materials which become a part of the work such as, but not limited to, encapsulants shall be of good quality, non-lead-bearing, free of asbestos, and conform to the respective reinstallation specification sections prepared by others.

1. Contractor shall ensure that encapsulants and sealants used as primers, basecoats, or covering existing materials are compatible with the respective existing or reinstallation materials and their manufacturers' warranties.
2. Encapsulants for surfaces to which fireproofing will be applied (beams, columns, floor or roof decks, other structural members) shall be tested and rated as a component of the fireproofing system and listed in the UL Fire Resistance

Directory with the specific fireproofing material to be installed.

B. Abatement materials

1. Fire-retardant Poly sheeting for all applications shall be 6 mil nominal thickness for critical seals, floors, ceilings and drop cloths, and 4 mil for walls.
2. Tape shall be 2" or 3" duct tape or other waterproof tape suitable for joining poly seams and attaching poly sheeting to surfaces.
3. Spray adhesives shall be non-flammable and free of methylene chloride solvents.
4. Disposal bags shall be 6 mil.
5. Disposable suits, hoods, and foot coverings shall be TYVEK or similar.
6. Solvents shall be compatible with any primers, mastics, adhesives, paints, coatings, or other surfacing materials to be installed following their use.

PART 3 - EXECUTION

3.1 Employee Training, Qualification and Medical Screening

- A. Supervisors and Workers shall be trained, accredited, and licensed in accordance with IDPH rules.
1. Contractor shall keep copies of licenses and most recent annual refresher training certificate at the jobsite at all times for all contractor personnel.
 2. An IDPH- licensed supervisor (competent person) shall be present at the worksite at all times when work under this section is being conducted.
 3. Current fit testing documentation.
- B. Medical Screening. All contractor personnel shall have a current medical examination in accordance with OSHA requirements. Copies of the Physician's Written Opinions shall be kept on site.

3.2 Permissible Exposure Limits

- A. The OSHA permissible exposure limit (PEL) for worker exposure to airborne asbestos is 0.1 f/cc as an 8-hour time-weighted average (TWA).
- B. The OSHA short term excursion limit for worker exposure to airborne asbestos is 1.0 f/cc for a 30 minute sample.
- C. The permissible level of airborne fibers in areas adjacent to the work area is 0.01 f/cc or background level, whichever is higher, as determined by phase contrast microscopy (PCM).
1. Work shall immediately cease in any work area where the airborne fiber concentrations exceed this level.
 2. The source of outside contamination shall be determined, and corrective

measures (e.g. wet cleaning, changes in work practices, negative pressure containment) will be implemented to prevent recurrence.

3. The contractor shall be responsible for cleanup of contamination in adjacent areas caused by the asbestos abatement activities at no additional cost to the building owner.

3.3 Exposure Assessment and Monitoring

- A. The Contractor shall make an assessment of the airborne exposures. Assessment shall conform to OSHA requirements and may be based upon:

1. Initial monitoring of representative workers who the contractor believes are exposed to the greatest airborne concentrations of asbestos, or
2. Past monitoring (within the past 12 months) or objective data for conditions closely resembling the processes, type of material, control methods, work practices and environmental conditions to be used for this project, or
3. In the absence of an exposure assessment, the contractor shall perform the work in full negative pressure containment with Type C pressure-demand respirator with auxiliary SCBA escape bottle.

- B. The contractor shall perform personal monitoring in accordance with the following requirements:

1. Initially, to establish an exposure assessment when past monitoring or objective data are not available for an initial determination.
2. Periodically if the exposures are, or are expected to be, below the PEL.
 - a. Whenever there has been a change of equipment, process, control, personnel, or a new task has been initiated that may affect employee exposures, the exposure assessment shall be updated, and monitoring shall be reinstituted if exposures are unknown or are expected to exceed the PEL.

3. Daily, if exposures are above the PEL.

3.4 Respiratory Protection

- A. Respiratory protection shall be worn by all persons potentially exposed to airborne asbestos fibers from the start of the abatement project until all areas have passed clearance air monitoring, in accordance with all applicable regulations incorporated by reference in 1.5 A.
- B. Contractors must have a respiratory protection program in compliance with all applicable regulations incorporated by reference in 1.5 A.

3.5 Hygiene Practices

- A. Eating, drinking, smoking, chewing gum or tobacco, and applying of cosmetics are

not allowed in the work area.

- B. All persons entering the work area are required to wear appropriate PPE, and follow the entry and exit procedures posted in the Personnel Decontamination Enclosure System.
- C. Personal Protection Equipment (PPE) shall include:
 - 1. Full body disposable suits, headgear, and footwear.
 - 2. Gloves.
 - 3. Safety glasses
 - 4. Hardhats.
 - 5. Non-disposable footwear and clothing shall remain in the work area and shall be disposed of as contaminated waste when the job is completed.
 - 6. Authorized visitors shall be provided with suitable PPE.

3.6 Prohibited Activities

- A. Dry removal or dry sweeping.
- B. Use of compressed air for cleaning.
- C. Use of high speed power tools not equipped with a HEPA-filtered local exhaust system.
- D. The abatement contractor shall not execute abatement activities without asbestos abatement design drawings that have been signed by an IDPH licensed Asbestos Designer are on the job site. Any and all changes to containment layout and placement shall not be executed until revised design drawings that have been approved and signed by an IDPH licensed Asbestos Designer are on the job site.
- E. Buffers cannot be used to remove mastic.

3.7 Work Area Isolation and Preparation

- A. General Preparation. Contractor shall:
 - 1. Post:
 - a. Caution signs meeting the specifications of OSHA 29 CFR 1926.1101 (k)(6) at any location and approaches to a location where airborne concentrations of asbestos may exceed ambient background levels.
 - b. Decontamination and work procedures in equipment rooms and clean rooms.
 - c. EPA NESHAP asbestos rules (40 CFR Part 61, subparts A & M) in the clean room.
 - d. OSHA Asbestos Construction Standards (29 CFR 1926.1101) in the clean room.
 - e. Entry and Exit Log
 - f. List of telephone numbers in the clean room for:

- 1) local hospital and/or local emergency squad.
- 2) school security office (if applicable).
- 3) owner representative reachable 24 hours per day.
- 4) contractor's headquarters.
- 5) architects or consultants directly involved in the project.
2. Secure the work area from entry by unauthorized persons.
3. Separate Work Areas from Occupied Areas
 - a. Seal off all doorways and corridors which will not be used for passage during work.
 - b. Install IDPH required separation barriers per section 855.430 (a) in all openings larger than 4 ft by 8 ft, consisting of wood or metal framing, a sheathing material such as plywood or drywall at least 5/8" thick on the work side, and double-layer 6-mil poly, both sides. Edges shall be caulked at the floor, ceiling, walls, and fixtures to form an air-tight seal.
 - c. If the school is not totally occupied (see Section 855.430), the sheathing material may be omitted.
4. Separate occupied areas from secured areas
 - a. Install IDPH barriers per section 855.430 (b)

B. Interior Preparation.

1. Shut down and lock out electric power to all work areas. Provide temporary power from an outside source with ground-fault circuit interrupter (GFCI) at the source.
2. Shut down and isolate heating, cooling, and ventilating air systems. Remove HVAC filters, package and dispose as asbestos waste. (Need to discuss filter removal and disposal in light of replacement costs and clarify that this applies when work happens in a mech system and not in classrooms)
3. Pre-clean movable objects with HEPA vacuums or wet cleaning and remove from the work area to a location designated by the EC or Owner *where friable ACBM is involved*.
4. Pre-clean fixed items which must remain in the work area with HEPA vacuums or wet cleaning where friable ACBM is involved.
5. Wrap all fixed objects and equipment which will remain in the work area with a minimum of one layer of six mil poly.
6. Remove/protect carpeting per environmental scope sheets.
7. Pre-clean the work area with HEPA vacuums or wet cleaning.
8. Seal off all windows, corridors, doorways, skylights, ducts, grilles, diffusers, and other penetrations or openings in walls, ceilings and floors with 6-mil poly and tape.
9. Cover floors with two layers of fire-retardant 6-mil poly with seams staggered and taped, and extending 12" up walls. Cover walls with two layers of 4-mil poly, with each wall poly overlapping each floor poly layers by 12".
10. Asbestos materials shall not be disturbed during the preparation phase.
11. Suspended ceilings shall remain in place until preparation phase is complete. Remove/protect ceiling tile per environmental scope sheets.
12. Maintain emergency and fire exits.
13. Install a five chamber Worker Decontamination Enclosure System, consisting of clean room, shower room, and dirty room separated by airlocks at least 3' wide,

all with curtained doorways, of sufficient size to serve the size of the crew, and with all features required by IDPH rules.

a. Where a remote decon unit is used (i.e. non-friable ACBM and TSI glovebag operations), the AC shall:

- 1) set up the decon unit within the work area barriers
- 2) establish a negative pressure of at least 0.02" water column (wc) between the dirty room and adjacent spaces, including the clean room
- 3) provide at least 4 air changes per hour within the decon unit
- 4) use a double suiting procedure where the workers proceed to the work area exit, HEPA-vacuum gross debris from their persons using a "buddy system" put on a clean suit (either over their dirty suit or after removing the dirty suit), assure that their footwear are free of ACM contamination, and follow a designated path to the remote decon unit.
- 5) Once in the decon unit, follow normal decontamination procedures.

14. Install an Equipment Decontamination Enclosure System, consisting of a washing station and a holding area, with curtained doorways and a lockable door.

15. Maintain a negative pressure of at least 0.02" water column (wc) between each contained area and adjacent spaces 24 hours a day using negative air machines vented to the outside, from the start of abatement work to final clearance. Backup negative air machines shall be available onsite in case of machine failure.

16. Once operational, the system shall be inspected daily with smoke tubes by the contractor. Damages and defects will be repaired immediately upon discovery.

C. Exterior Preparation (for areas that interface with interior work)

1. 6 mil plastic sheeting shall be placed over the ground, foundation, or other surfaces below the abatement area.
2. Unauthorized entry shall be prevented by using appropriate barriers, such as warning tape, fencing, or other suitable barriers.
3. Nearby air intakes, grilles, and other openings into the building interior shall be sealed off with poly and tape.
4. The contractor shall be responsible for cleanup of any adjacent areas that become contaminated as a result of the abatement activities at no additional cost to the building owner.

3.8 Abatement Procedures

A. Removal:

1. Asbestos materials shall be adequately wetted and kept adequately wet during removal.
2. ACM waste shall be bagged or containerized as it is removed.
3. Work areas shall be kept wet until visible material is cleaned up.

B. Encapsulation:

1. Damaged or missing areas of existing materials shall be repaired with non-asbestos substitutes, where appropriate.
2. Loose or hanging ACM shall be removed using appropriate removal procedures.
3. Bridging encapsulants shall be applied in accordance with manufacturer's instructions.
4. Penetrating encapsulants shall be applied to penetrate existing materials to the substrate.
5. Encapsulants shall be applied with airless spray equipment.
6. Encapsulated ACM shall be labeled as asbestos to prevent future unprotected disturbance.

C. Enclosure:

1. Locations where openings for hangers, supports, framing, or other attachments must be made in the ACM must be misted with water and kept damp to reduce airborne fiber release. Tools used to drill, cut, or otherwise disturb the ACM during attachment installation shall be equipped with a HEPA-filtered local exhaust system.
2. Loose or hanging ACM shall be removed using removal procedures.
3. Damaged areas shall be repaired with non-asbestos materials.
4. Utilities or other items requiring access shall be relocated outside of the enclosure area. Once enclosures are installed, they shall not be opened or disturbed.
5. Enclosure materials shall be impact resistant and provide an airtight barrier.
6. Enclosures shall be labeled that they contain asbestos materials to prevent future unprotected disturbance.

3.9 Cleaning and Decontamination: Cleaning and decontamination of abatement areas, excluding glovebag areas, are as follows:

A. All visible accumulations of ACM, debris, tools, and unnecessary equipment shall be removed from the work area.

B. First clean:

1. Wet clean all surfaces and remove excess water.
2. Wait 12 hours before proceeding further to allow dust and fibers to settle.
3. Remove outer layer of poly and dispose as ACM waste.
4. Completion of First Clean shall be determined and documented by the EC.

C. Second clean:

1. Wet clean all surfaces and remove excess water.
2. Wait 12 hours before proceeding further to allow dust and fibers to settle.
3. Remove inner layer of poly and dispose as ACM waste.
4. Critical barriers on windows, doors, penetrations, and other openings shall remain in place and negative air system shall remain in continuous operation until final clearance tests have passed.
5. Completion of Second Clean shall be determined and documented by the EC.

- D. Third clean:
 - 1. Wet clean all surfaces and remove excess water.
 - 2. Wait 12 hours before proceeding further to allow dust and fibers to settle.
 - 3. Remove all tools, cleaning materials, remaining wastes from the work area.
Tools and equipment shall be cleaned before removal.
 - 4. Third Clean shall be determined and documented by the EC.
- E. Visual inspection: EC and contractor shall jointly inspect the work area for visible residue and excess water and, if observed, repeat the clean/12 hour wait cycle until residues are not detected and work area is dry.
- F. Apply lock-down encapsulants where specified in the Documents.
- G. EC will inform AC if the work area is ready for final clearance testing.

3.10 Final Clearance

- A. Final clearance testing (aggressive methods) shall be performed after 12 hours have lapsed since the final cleaning, and when visual inspection has been completed and no visible water or condensation remains.
- B. Work areas with 260 linear feet or 160 square feet or more of ACM shall be tested using aggressive sample collection methods and Transmission Electron Microscopy (TEM) analysis, as required by AHERA and IDPH Section 855.170. The sample set must include at least 5 inside samples, 5 outside samples, 2 field blanks, and 1 sealed blank. NOTE: Large, complicated, or multi-floor contiguous work areas connected by corridors, stairways, or other connections may be tested with a larger "inside" sample set rather than full, multiple TEM tests, so long as the inside sample distribution is reasonably representative of the work area conditions.
- C. Work areas with less than 260 linear feet or 160 square feet may be tested using aggressive sample collection methods and analyzed by Phase Contrast Microscopy (PCM).
- D. If final clearance test(s) fail, the AC is responsible for repeating the cleaning sequence as necessary until final clearance tests are successful. All expenses associated with the collection and analysis of additional final clearance tests are the responsibility of the AC.

3.11 Special Procedures: Less stringent requirements may apply in a number of cases.

- A. Variances from IDPH Regulations. Variances may be requested and approved by the IDPH. These less stringent procedures may only be used when they have been requested by the Project Designer and approved by the IDPH on a case-by-case basis.
 - 1. Variances that have been applied for the project will be listed in the Documents. These variances may or may not be approved by the IDPH.
 - 2. The contractor is encouraged to request additional variances it believes will be

beneficial to the project. Such requests shall be submitted to the Project Designer (CCA) as a value engineering proposal which references the IDPH regulation section, describes the procedure variations, includes information which supports the efficacy and benefits of the alternative procedures, and offers appropriate cost savings.

3. Otherwise the contractor is required to fully adhere to the requirements of this specification. Failure to obtain a variance shall not constitute a change in the requirements of these documents.

B. Operations and Maintenance Procedures where minor areas of ACM must be disturbed for building repairs, such as drilling holes in walls or floors, cleaning small areas to allow installation of fixtures, smoke detectors, etc. The Documents will state if these procedures are allowed for a particular project or task.

1. Submit an asbestos notification to the IDPH for quantities over 3 linear or square feet.
2. Licensed abatement workers are required, but a licensed abatement contractor is not mandatory for work less than 3 linear or square feet.
3. Shut down heating, cooling, or ventilating air systems to prevent fiber dispersal to other areas.
4. Seal off openings in the work area, including windows, doorways, vents, and other openings with 6 mil poly sheeting and tape.
5. Lay an impermeable drop cloth under the work.
6. Wear appropriate PPE and at least a 1/2 mask APR respirator. Note that OSHA still requires an exposure assessment and respirators that are appropriate for the expected airborne fiber concentrations.
7. Use wet removal methods.
8. Wet clean work area, leaving no visible residue.
9. Package and dispose of asbestos-containing waste as specified in the waste disposal section.
10. Work shall be considered complete following inspection by Asbestos Project Manager and Post O&M Air Sampling <0.01 f/cc.

C. Glovebag Procedure. Glovebags may be used to remove pipe and duct insulation.

1. Normal IDPH Notification requirements apply to quantities of more than 3 linear or square feet.
2. Glovebag removal will require a single layer, 6 mil poly tent containment (mini-containment) with negative pressure air filtration.
3. Monitoring will be performed for each contained area by the CCA:
 - a. 1 personal sample
 - b. 1 area sample
 - c. 1 area sample at each negative pressure machine exhaust
4. Glovebag construction shall be 6 mil poly with seamless bottom, suitable for the intended use (straight runs, fittings, elbows, vertical pipes, etc.) without modification.
5. At least two licensed workers shall perform glovebag operations.
6. Workers shall wear full body PPE and at least a 1/2 mask APR respirator. Note here, too, that OSHA still requires an exposure assessment and respirators that are appropriate for the expected airborne fiber concentrations.

7. Prior to use, all loose or damaged material adjacent to the operation shall be wrapped in two layers of 6 mil poly or otherwise be rendered intact.
8. Work Practices shall include:
 - a. installation to completely cover the circumference of pipe or other structure. Pipe insulation diameter shall not exceed 1/2 the bag working length above the glove sleeves.
 - b. smoke test for leaks and seal any leaks prior to use.
 - c. glove bag shall be single use and not moved once it is placed.
 - d. wet removal methods on the materials to be removed and wet cleaning to remove all visible ACM from the pipe or structure surfaces.
 - e. not to be used on surfaces having temperatures greater than 150°F.
 - f. spray down the interior surfaces of the bag, substrate, and removed ACM.
 - g. first and second cleaning, waiting at least 12 hours following each cleaning.
 - h. wet down remaining ACM surfaces or seal with encapsulant.
 - i. seal off the lower portion of the bag containing the ACM waste by twisting several times and sealing with tape.
 - j. collapse glovebag with a HEPA vacuum.
 - k. slip a 6 mil poly waste disposal bag over the glovebag, detach the bag from the pipe, and gooseneck-seal it in the waste disposal bag.
 - l. dispose in accordance with this specification.

D. Resilient Floor Covering. Removal of resilient floor covering may only be performed when Gross Removal is not specifically required by the Project Designer or Project Documents. Intact removal of resilient vinyl floor coverings shall be by IDPH Licensed Asbestos Workers supervised by an IDPH licensed Supervisor using heat guns, infrared heat machines or other methods that remove the floor covering in whole pieces. Buffing machines may not be used for removal of mastic. The contractor shall insure that no damage is caused to the area or equipment below the floor. Abatement procedures are as follows:

1. Submit the Floor Tile Project Notice at least 10 working days prior to the beginning of all asbestos resilient floor covering abatement projects.
2. Post signs so that the work area cannot be entered from any direction without observing a sign.
3. Isolate the work area from areas to remain occupied.
4. Install barriers of six mil plastic sheeting sealed with duct tape at all openings in the work area. Openings larger than 4' x 8' may include wood constriction barriers.
5. Install a curtained doorway at the entry to the work area, lock out electrical power to the room and supply required power with ground fault interruption protected circuits.
6. Wear, as a minimum, half-faced dual cartridge NIOSH-approved respirators and double disposable suits.
7. Remove floor covering without causing breakage. Work will stop if breakage occurs and removal will be completed by gross removal at the contractors cost.
8. Dispose of floor covering and debris as asbestos waste.
9. HEPA vacuum the work area thoroughly following completion of the removal.
10. HEPA vacuum surface of protective clothing and dispose of clothing as asbestos waste.

11. Personal air monitoring will be performed by the contractor in accordance with OSHA during ALL intact floor tile/mastic removal operations.

3.12 Waste Disposal and Equipment Load-out

A. Preparing equipment for load-out.

1. Seal openings to prevent escape of internal contamination; or open up equipment, remove filters, and make equipment interiors accessible for cleaning and decontamination.
2. HEPA vacuum and wet wipe all equipment before removal

B. Packaging asbestos wastes:

1. All asbestos-containing wastes, including removed ACM and debris, containment poly, critical barrier materials, suits, respirator filters, vacuum and negative air machine HEPA filters, water filters, and other asbestos-containing items shall be properly packaged for disposal.
2. Use double 6 mil plastic bags with "gooseneck" seal, or other impermeable containers.
3. Wrap large or irregular items in 2 layers of 6 mil poly sheeting, seal with tape, and affix required labeling.
4. Sharp, jagged, or other items (floor tiles, screws, nails, metal debris, wood etc.) that may puncture poly shall be packaged in rigid impermeable containers such as drums or boxes, or wrapped in burlap or other protective covering before sealing in double bags or double layers of 6 mil poly.
5. Label containers:
 - a. OSHA warning label.
 - b. DOT performance-oriented hazardous material label.
 - c. Name and address of generator and abatement location.

C. Removing items from the work area:

1. Packaged asbestos wastes, non-porous debris (such as ceiling grid, doors, hardware, and other items that can be decontaminated), and equipment shall be wet cleaned, moved into the equipment decontamination enclosure system, cleaned a second time, and moved into the holding area.
2. Containers and equipment shall be removed from the holding area by workers in clean PPE and respirators who enter from the uncontaminated side (outside). The equipment decontamination enclosure system shall not be used to enter or exit the work area.
3. Waste shall be placed in a cart and covered. A plastic runner shall be placed on the floor to the waste storage area. The loaded cart shall be carefully taken to and unloaded into the enclosed waste storage container.

D. Storage of packaged asbestos wastes shall be in a completely enclosed dumpster or other suitable container that can be secured. The secured area shall be kept locked at all times to prevent unauthorized access.

E. Shipment of items from the project.

1. Decontaminated tools and equipment may be shipped by normal carrier to warehouse, another jobsite, or other destination.
2. For asbestos wastes:
 - a. Line shipping container with 6 mil poly prior to loading packaged asbestos wastes.
 - b. Post NESHAP placards during loading.
 - c. Persons performing loading operations shall wear PPE and respirators.
 - d. Containers and packages shall be tightly packed together to prevent shifting during transport. Large components or heavy items shall be secured to prevent shifting, and shall not be stacked on top of bags.
 - e. Execute the NESHAP-required Waste Shipment Record (WSR) to be signed by the generator, transporter, and landfill. All WSRs shall be returned to CCA within 30 days of shipment.
 - f. ACBM waste shall be transported from the work site directly to the landfill.

F. Disposal of packaged asbestos wastes.

1. Only landfills approved and permitted by Illinois for accepting asbestos wastes may be used for disposal.

3.13 Demobilization

- A. CCA shall inspect the work area for evidence of visible debris prior to releasing the area for tear-down. Detection of contamination will require additional cleaning and re-testing of the work area.
- B. Remove critical barriers and seals.
- C. Restore previously-removed items, if specified in the Documents:
 1. Re-mount fixtures and other previously dismounted objects.
 2. Return moveable objects to their original locations.
 3. Install new filters in HVAC systems where filters were previously removed.
 4. Re-establish electric systems and other utilities that were shut down or locked out.
- D. A punch list walk-through shall be conducted for each cleared work area within two working days of clearance testing by CCA, contractor, and school official. All punch list items shall be completed within five working days of walk through.

ATTACHMENT:

- Appendix A Additional Design Details
- Appendix B Environmental Scope & Drawings

END OF SECTION

SPECIFICATION SECTION 02132

ASBESTOS ABATEMENT FOR EXTERIOR AREAS

SECTION 02132 - ASBESTOS ABATEMENT FOR EXTERIORS

PART 1 - GENERAL

1.1 Introduction

Exterior building spaces are not covered by Illinois Department of Public Health (IDPH) abatement rules, except for covered hallways or porticos connecting buildings and outdoor mechanical systems which condition indoor air (such as air handling units, air conditioners, cooling towers, etc.), or when interior building spaces are involved.

Window components, window caulks, window glazing putty, Door Caulks, exterior transite sheeting, flashings, cements, or other products installed on the building exterior are subject to Occupational Safety and Health Administration (OSHA) and National Emission Standards for Hazardous Air Pollutants (NESHAP) rules which, in many cases are less rigorous than IDPH requirements. All exterior asbestos abatement activities shall be conducted from the exterior of the building only. At no time shall any work activity be staged from the interior of the building. Abatement of these items is specified in this section.

1.2 Definitions - In addition to the terms listed below, all definitions in the laws and regulations listed in Section 1.5 are incorporated by reference, whether or not restated herein.

- A. Abatement Contractor (AC) means the entity responsible for performing the work in this section and has the training and accreditation to competently perform the work. This entity will obtain and maintain licenses required for any indoor work in this section.
- B. Asbestos Abatement Supervisor, hereinafter referred to as Supervisor means any person who supervises asbestos abatement workers. This person must be trained, accredited, and meet OSHA competent person criteria for asbestos abatement.
- C. Asbestos Project Manager (APM) is the individual that performs asbestos abatement project oversight, acts on behalf of the Rockford Public Schools or its agents on the project, and performs "Project Manager" duties as defined by IDPH asbestos regulations.
- D. Rockford Public Schools means the owner of the property and the authority ordering the work specified herein.
- E. Competent person means one who is capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, as specified in 29 CFR 1926.32(f); in addition, one who is specially trained in a training course which meets the criteria of EPA's Model Accreditation Plan (40 CFR 763) for supervisor, or its equivalent.
- F. HEPA Filter means a High Efficiency Particulate Air filter capable of trapping 99.97% percent of particles greater than 0.3 micrometers in mass median aerodynamic equivalent diameter.

- G. IDPH means the Illinois Department of Public Health.
- H. Carnow Conibear & Assoc., Ltd. (CCA) means the entity with overall responsibility for the environmental aspects of the project, including design, organization, direction, and control as well as investigations, assessments and on-site supervision of project managers.
- M. MSDS means Material Safety Data Sheet, required by OSHA for any chemical in the workplace that that could be expected to cause an exposure to workers during normal use or in emergency situations.
- N. Plasticize means to apply 6-mil plastic sheeting over surfaces or objects to protect them from contamination or water damage.
- O. PPE (Personal Protection Equipment) means the protective suits, head and foot covers, gloves, respirators and other items used to protect persons from asbestos or other hazards.
- P. RCRA means the Resource Conservation and Recovery Act and associated regulations.
- Q. TCLP means the Toxicity Characteristic Leaching Procedure as specified in EPA 530/SW-846, Test Methods for Evaluating Solid Waste: Physical/Chemical Methods 3rd edition, November 1986.
- R. Work Area means the area or areas where asbestos abatement is being conducted.

1.3 Scope of Work - Refer to Environmental Scope forms CPS-E30.1 included in Appendix B.

1.4 Work Included

- A. The work includes all labor, equipment, materials, and supplies necessary to perform the scope of work in the Documents by the procedures described herein. The abatement contractor, by submitting a bid for the work, represents itself as knowledgeable and expert in the performance of the work, and includes all things necessary to provide a complete and finished job, whether specifically mentioned or not.
- B. Removal of asbestos-containing materials listed in the Documents, including isolating and/or regulating the work areas, protection of adjacent areas, cleanup, proper packaging and disposal of wastes, and all other steps necessary to complete the scope of work.
- C. Repair or replacement of damaged surfaces, landscaping, fixtures, or furnishings to restore them to like new condition to the satisfaction of the Architect, Board Authorized Representative or Carnow Conibear.
- D. When the Documents include lead and asbestos abatement items in the same spaces, the work should be performed in the sequence and combinations that produce the most efficient results, minimize concentrated lead waste volume, and

produce the least amount of total waste. That sequence will generally be:

1. Cleanup and removal of lead dust, flakes, chips, peeling paint, and residues most likely to fail a TCLP test.
 2. Removal of asbestos materials and cleanup of visible residues.
 3. Removal of lead-bearing architectural components.
 4. Removal of non-friable asbestos items. If both asbestos and lead are on the same components, for example lead paint and asbestos-containing glazing compound, the components may be removed and disposed with both the lead and asbestos-bearing items intact.
 5. Final cleanup and decontamination of the work space. Final air clearance (asbestos) and wipe samples (lead) may be performed concurrently.
 6. When lead and asbestos final decontamination processes are combined, the more stringent cleanup procedures will apply for both.
 7. Waste disposal.
 - a. Classified waste: loose paint flakes, chips, and dust; lead-specific cleaning supplies; contaminated soil; combined final decontamination supplies; disposable suits, gloves, headcovers, and footcovers; other items that fail a TCLP test.
 - b. Special waste: friable asbestos-containing waste materials and lead-contaminated waste that has passed TCLP or other RCRA tests.
 - c. Construction and demolition (C&D) debris: non-friable asbestos-containing waste materials (such as, but not limited to intact transite, mastics, packing, caulking); lead-bearing architectural components; demolition debris, and other general wastes.
 - d. All asbestos-containing or lead-bearing wastes, regardless of classification, shall be disposed in an IEPA-approved landfill within the State of Illinois to accept asbestos-containing or lead-bearing waste materials.
- E. Compliance with all applicable laws, regulations, standards, and these specifications. In the case of a conflict, the contractor will comply with the most stringent.
- F. All licenses, accreditations, permits, fees, notifications, reports, or other documents required by law, regulation, this specification, or the Documents.
- G. Provide project closeout documentation to the Environmental Project Manager (EPM) within thirty (30) days after final clearance. This documentation shall include, but is not limited to, items listed in paragraph 1-7, Submittals.

1.5 Laws, Regulations and Standards

- A. The following laws, regulations, and standards are incorporated by reference:
1. 29 CFR 1910 - US OSHA General Industry Standards
 2. 29 CFR 1926 - US OSHA Construction Standards
 3. 29 CFR 1926.1101 - US OSHA Asbestos Construction Standards
 4. 40 CFR Part 61 - US EPA National Emissions Standards for Hazardous Air Pollutants (NESHAP), 11/90 revision

5. 40 CFR Part 763 Subpart E - US EPA Asbestos Model Accreditation Plan (MAP): Appendix C Interim Final Rule

1.6 Assessment, Monitoring, Testing and Analysis

A. Carnow Conibear (CCA) will perform inspection, testing and design services prior to the start of work, and during the project, if necessary. CCA will also perform testing, inspection, and monitoring services during the work and upon its completion:

1. Prior to the start of the work, CCA shall

- a. Identify suspect materials and confirm their asbestos content through review of the school's existing documentation or by testing;
- b. Design the project and address any design changes as requested. Approved changes shall be submitted to the IDPH, when necessary.

2. During the work, CCA shall:

- a. Observe the work periodically, with sufficient frequency to ensure contractor compliance.
- b. Collect area air samples in and around the work area, as needed, to verify exposure conditions.
- c. Stop the work if airborne asbestos concentrations at the work area perimeter or interior building spaces exceed 0.01 f/cc. Contractor will be responsible for taking corrective action to reduce exposure levels and prevent recurrence; cleaning adjacent areas that become contaminated by the asbestos abatement activities.
- d. Make copies of contractor licenses from the originals.
- e. Complete design changes that are needed.

3. Upon completion of work, CCA shall:

- a. Visually inspect for visible dust and debris, and verify the full completion of the work.
- b. Require contractor to re-clean the area or portions of areas until no visible debris remains.
- c. Perform clearance air sampling at the completion of the work activities, when necessary.

B. The abatement contractor shall provide OSHA compliance air monitoring to determine exposures to its employees in accordance with OSHA 29 CFR 1926.1101. Frequency of monitoring will comply with OSHA requirements for the anticipated and actual exposure levels.

1. A written Exposure Assessment with air sampling and analysis conducted 6 months or less prior to the start of the work to determine the requirements for respiratory protection and frequency of OSHA monitoring for each type of activity. The contractor should note that a Negative Exposure Assessment (NEA) may be possible for these tasks.
2. Analysis may be performed on site.

- C. Credentials required for testing and analysis of PCM air samples:
1. Air sampling shall be conducted by an IDPH licensed Air Sampling Professional.
 2. Accreditation by AIHA or AAR; or
 3. Participation in the Proficiency Analytical Testing (PAT) program.

1.7 Submittals by the Contractor (submitted to CCA):

The following shall be submitted to CCA no less than 10 days prior to the start of the asbestos abatement work activities.

- A. Ten (10) day NESHAP notification to the Illinois EPA when the asbestos quantities reach or exceed 260 linear feet or 160 square feet. Two (2) day IDPH notification for asbestos abatement quantities less than 260 linear feet or 160 square feet.
1. Ten (10) day IEPA Asbestos Notification on revised form, including inspector license number and landfill permit number.
 2. Contractor Illinois Abatement License
 3. Evidence that all abatement contractor employees in the work areas are trained and accredited in accordance with OSHA, NESHAP, and EPA MAP requirements:
 - a. Current Annual refresher training certificate.
 - b. Current IDPH asbestos license
 - c. Current physician's written opinion
 - d. Current respirator fit test for negative pressure respirators when respirators are used.
 4. Copy of OSHA Exposure Assessment, with air sampling and analysis conducted 6 months or less prior to the start date of the abatement project.
 5. Material Safety Data Sheets (MSDS) for chemicals used on site.
- B. The following shall be submitted to CCA at the completion of the project.
1. OSHA compliance air monitoring records generated during the project.
 2. Supervisor Logs
 3. Worker Daily Sign In Logs
 4. Documentation of any Safety Meetings
 5. Waste Shipment Records.

2 - PRODUCTS

2.1 Tools and Equipment - All equipment shall at least conform to minimum industry standards:

- A. Equipment:
1. Respirators shall be NIOSH approved for use with lead, asbestos, or other contaminants anticipated in the work.
 2. Contractor is fully responsible for complying with OSHA rules for other Safety

equipment, such as hard hats, safety harnesses, eye protection, gloves, footwear, and any other safety devices used on the site.

B. Tools:

1. Ladders, scaffolding, lifts and all other rigging devices shall be constructed and/or utilized in a safe manor meeting all regulatory and permitting requirements.
2. Power tools such as, but not limited to saws, pneumatic chisels, brushes, sanders, and needle guns shall be equipped with shrouds and HEPA-filtered local exhaust systems to capture released particles. Power tools shall also be grounded using a ground fault Circuit Interrupter (GFI) breaker or outlet.

2.2 Materials

A. Installed materials which become a part of the work such as, but not limited to, encapsulants foam sealants and permanent enclosures shall be of good quality, non-lead-bearing, free of asbestos, and conform to the respective reinstallation specification sections.

1. Contractor shall ensure that encapsulants and sealants used as primers, basecoats, fillers or covering existing materials are compatible with the respective existing or reinstallation materials and their manufacturers' warranties.

B. Abatement materials

1. Poly sheeting for all applications shall be 6 mil nominal thickness.
2. Tape shall be 2 inch or 3 inch duct tape or other waterproof tape suitable for joining poly seams and attaching poly sheeting to surfaces.
3. Spray adhesives shall be non-flammable and free of methylene chloride solvents.
4. Disposal bags shall be 6 mil.
5. Disposable suits, hoods, and foot coverings shall be TYVEK or similar.
6. Solvents shall be compatible with any primers, mastics, adhesives, paints, coatings, or other surfacing materials to be installed following their use.

PART 3 - EXECUTION

3.1 Employee Training, Qualification and Medical Screening

A. Supervisors and Workers shall be trained, accredited, and licensed in accordance with IDPH rules and regulations:

1. Contractor shall keep copies of licenses, initial training course certificate, and most recent annual refresher training certificate at the jobsite at all times for all contractor personnel.
2. A Supervisor (competent person) shall be present at the work site at all times

when work under this section is being conducted.

- B. Medical Screening. All contractor personnel shall have a current medical examination in accordance with OSHA requirements. Copies of the Physician's Written Opinions shall be kept on site along with a current fit test certificate.

3.2 Permissible Exposure Limits

- A. The OSHA permissible exposure limit (PEL) for worker exposure to airborne fibers is 0.1 f/cc as an 8-hour time-weighted average (TWA).
- B. The OSHA short term excursion limit (STEL) for worker exposure to airborne fibers is 1.0 f/cc for a 30 minute sample.

3.3 Exposure Assessment and Monitoring

- A. The abatement contractor shall make an assessment of the airborne exposures. Assessment shall conform to OSHA requirements and may be based upon:
 - 1. Initial monitoring of representative workers who the contractor believes are exposed to the greatest airborne concentrations of asbestos, or
 - 2. Past monitoring (within the past 12 months) or objective data for conditions closely resembling the processes, type of material, control methods, work practices and environmental conditions to be used for this Documents, or
 - 3. In the absence of an exposure assessment the contractor shall perform the work in full negative pressure containment with Powered Air Purifying respirators.
- B. The contractor shall perform personal monitoring in accordance with the following requirements:
 - 1. Initially, to establish an exposure assessment when past monitoring or objective data are not available for an initial determination.
 - 2. Daily, if the exposures are, or are expected to be, above the PEL of 0.1 f/cc.
 - 3. Periodically if the exposures are, or are expected to be, below the PEL.
 - 4. Whenever there has been a change of equipment, process, control, personnel, or a new task has been initiated that may affect employee exposures, the exposure assessment shall be updated, and monitoring shall be reinstituted if exposures are unknown or are expected to exceed the PEL.
 - 5. Area Monitoring is required and will be provided by the owner at the perimeter of work areas and interior building areas to verify that concentrations of airborne fibers remain below 0.01 F/cc.

3.4 Respiratory Protection

Respiratory protection shall be worn by all persons potentially exposed to airborne asbestos fibers.

3.5 Hygiene Practices

- A. Eating, drinking, smoking, chewing gum or tobacco, and applying of cosmetics are not allowed in the work area.
- B. All persons entering the work area shall wear appropriate PPE.
- C. When the use of a Personnel Decontamination Enclosure System is deemed necessary by CCA or regulatory requirements, the abatement contractor shall follow all entry and exit procedures posted in the Personnel Decontamination Enclosure System.
- C. Personal Protection Equipment (PPE) shall include:
 - 1. Full body disposable suits, headgear, and footwear.
 - 2. Gloves.
 - 3. Hard hats.
 - 4. Non-disposable footwear and clothing shall remain in the work area and shall be disposed of as contaminated waste when the job is completed.
 - 5. Authorized visitors shall be provided with suitable PPE when required in the work area.
 - 6. PPE is required when exposures are, or are expected to be above the PEL.
- D. A Personnel Decontamination (decon) Facility is required when worker exposures are expected to be above the PEL. The Decontamination unit may be remotely located if not feasible to locate adjacent to the work area.
 - 1. Establish a negative pressure of at least 0.02 inch wc between the dirty equipment room and adjacent spaces, including the clean room. Assume Negative Air Machines (NAM) operate at 80% design capacity.
 - 2. Provide at least 4 air changes per hour within the decon unit
 - 3. All personnel shall use a double-suiting procedure for traveling between work areas and decon. Persons shall HEPA-vacuum the exterior of their disposable suits at the entry to the work area, put on a clean suit over the existing suit, and proceed to the decon unit for shower decontamination and change into street clothes.
- E. To exit, persons shall HEPA-vacuum down clothing at the work area entry, and leave the work area. When disposable suits are used, they shall be HEPA-vacuumed, stripped off, and deposited in an asbestos disposal bag. Personnel may then leave the work area.

3.6 Prohibited Activities

- A. Dry removal or dry sweeping, except:
 - 1. During freezing weather. In this case, temperature and weather conditions must be recorded at the start, during, and at the end of the shift.
 - 2. On roofs with 3:1 slope or greater. In this case, roofing shall be removed in an intact condition, as much as possible.
 - 3. When equipment damage or other hazard exists. In this case, written permission from IEPA is required prior to performing dry removal.

- B. Use of compressed air for cleaning.
- C. Use of high speed power tools not equipped with a HEPA-filtered local exhaust system.
- D. Eating, drinking, smoking, chewing gum, or applying cosmetics in the work area.
- E. Removing respirators or other PPE in the work area.

3.7 Work Area Isolation and Preparation

A. General Preparation

1. Post caution signs and warning tape meeting the specifications of OSHA 29 CFR 1926.1101 (k)(6) at any location and approaches to a location where airborne concentrations of asbestos may exceed ambient background levels.
2. Secure the work area from entry by unauthorized persons.

B. Exterior Preparation

1. 6 mil plastic sheeting shall be placed over the ground, foundation, or other surfaces below the abatement area.
2. Unauthorized entry shall be prevented by using appropriate barriers, such as warning tape, fencing, or other suitable barriers.
3. Nearby air intakes, grilles, windows, and other openings into the building interior above, below, or beside the work area that could be exposed to released airborne dust shall be closed or otherwise sealed off with poly and tape.
4. All electric power in the work area shall be protected with Ground-Fault Circuit Interrupters.

3.8 Abatement Procedures

A. General Removal Requirements:

1. Asbestos materials shall be wetted and kept wet during removal.
2. ACM shall be bagged or containerized as it is removed. Wastes shall not be dropped or thrown to the ground. Accumulated waste shall be properly packaged and containerized promptly. Unless the material is carried or passed to the ground by hand, it shall be lowered via covered, dust-tight chute, crane, hoist, or other means that prevent the wastes from being dropped or thrown.
3. Appropriate OSHA protection shall be provided when working from exterior access:
 - a. Scaffolding shall be equipped with handrails and midrails designed to provide fall protection, or full-body safety harnesses shall be worn and tied off to a secure anchor point.
 - b. Workers in manlifts shall wear full body harnesses and tie to the tie-off point provided on the manlift basket whenever the basket is elevated from ground level.
 - c. The contractor shall ensure that scaffolding, manlifts and the workers

erecting and using the equipment meet all federal, state and local regulations and requirements including the acquisition of all required permits for the erection and use of such equipment.

- B. Window Replacements: Asbestos-containing materials are most likely to be found in caulking and glazing putty. Windows may be removed under this section if ACM is handled from the building exterior. If ACM materials must be accessed from inside the building or ACM wastes must be transported through the building interior, then IDPH-regulated Interior Asbestos Abatement School Rules and Regulation Section 855, requirements will apply at no additional cost to the building owner.

For exterior work:

1. Close windows and seal from the inside by covering with appropriate board up materials, 6 mil poly and tape. Apply tape directly to window joints and seams if required.
2. Any ACM not required to be disturbed for window removal should be left in place (e.g. perimeter window frame).
3. ACM that must be disturbed (e.g. caulking at the edge of the window frame) must be removed completely, including three-dimensional residues.
4. Collect debris and deposit in asbestos waste bags as the work proceeds. Do not allow wastes to accumulate. Promptly containerize waste as generated.
5. Abate ACM and LBP on all window components to remain in place.
6. When windows and/or doors require removal for abatement purposes then AC responsible for the adequate board up of building openings. Such board up requirements shall include sufficient framing and 1/2" plywood sheathing covered in one (1) layer 6-mil poly sheeting.

C. Roofing

1. General: Remove ACM roof mastics, cements, underlayments, and flashings in an intact state to the extent feasible. Asbestos-containing shingles may occasionally break even when removed carefully. The fact that otherwise intact roofing materials become separated or broken does not by itself render them non-intact. However, if they become pulverized, reduced to powder or dust, they have become non-intact.
 - a. The contractor shall take care to minimize the amount of roofing material damage, or;
 - b. If the materials are rendered non-intact, the contractor shall employ methods to contain the dust and debris and utilize hygiene practices appropriate for friable (OSHA Class I) ACM, including PPE, decontamination units, and monitoring. Monitoring may include area samples at the work area perimeter to determine that airborne asbestos fibers are not being released in concentrations above the PEL.
2. Built-up roofing and asphalt shingles:
 - a. Power cutting machines shall be equipped with a HEPA-filtered dust collection system and shall be misted during use.
 - b. Dust generated by the cutting operation shall be collected with HEPA vacuums or wet cleaning methods.

3. Rigid roofing materials, such as cement asbestos shingles: remove intact and minimize breakage.
- D. Transite, Galbestos sheeting (galvanized metal with a baked-on asbestos paint), Asbestos/Cement pipe, or other rigid panels shall be removed using wet methods.
- E. Other
 1. Non-LBP paint and other coatings, electric cable insulation or joint coverings, and other miscellaneous materials that are to be removed with the substrate or that can be removed without becoming friable may be removed as intact (OSHA Class II, EPA NESHAP Category I or II non-friable) in accordance with procedures described in the General and Roofing Sections 3.8 A. and C.
 2. Non-LBP paint, coatings, and other miscellaneous materials that must be removed from the substrate or that otherwise will become friable must be removed as non-intact (OSHA Class I, EPA NESHAP friable) in accordance with procedures described in General and Roofing Sections 3.8 A. and C.1.b.

3.9 Cleaning and Decontamination

- A. All visible accumulations of ACM, debris, tools, and unnecessary equipment shall be removed from the work area.
- B. Protective poly shall be folded in on itself, rolled up, placed in asbestos disposal bags, and disposed as asbestos waste.
- C. Surfaces which have been exposed to ACM or its dust shall be HEPA vacuumed
- D. Dry sweeping of surfaces which have been exposed to ACM or its dust is not permitted.

3.10 Final Clearance

- A. Cleaning may be discontinued when there is no visible debris. If any area air monitoring analysis results demonstrate results are at or above the PEL, the abatement contractor is responsible for repeating the cleaning as necessary until tests are satisfactory. All expenses associated with the collection and analysis of additional air monitoring tests are the responsibility of the abatement contractor.

3.11 Waste Disposal and Equipment Load-out

- A. Roofing waste may be loaded in bulk into lined enclosed receptacles, such as dumpsters or trailers. Receptacles shall be closeable and lockable to provide security and to prevent air emissions.
- B. Packaged asbestos wastes:
 1. Asbestos-containing wastes, including removed ACM and debris, poly, critical

- barrier materials, suits, respirator filters, vacuum HEPA filters, water filters, and other asbestos-containing items shall be properly packaged for disposal.
2. Use 6 mil plastic bags with gooseneck seal, or other impermeable containers.
 3. Wrap large or irregular items in 6 mil poly sheeting and seal with tape.
 4. Sharp, jagged, or other items that may puncture poly shall be packaged in rigid impermeable containers such as drums or boxes, or wrapped in burlap or other protective covering before sealing in bags or poly sheeting.
 5. Label containers for friable ACM waste:
 - a. OSHA warning label.
 - b. DOT performance-oriented hazardous material label.
 - c. Name and address of generator and abatement location.
- C. Removing items from the work area:
1. Packaged asbestos wastes shall be HEPA-vacuumed before removing from the work area.
- D. Storage of packaged asbestos wastes shall be in a completely enclosed dumpster, or other suitable container that can be secured. The secured area shall be kept locked at all times to prevent unauthorized access.
- E. Shipment of items from the project.
1. Decontaminated tools and equipment may be shipped by normal carrier to warehouse, another jobsite, or other destination.
 2. For asbestos wastes:
 - a. Line enclosed shipping container with 6 mil poly prior to loading packaged asbestos wastes.
 - b. Post NESHAP placards during loading of friable asbestos wastes.
 - c. Execute the NESHAP-required Waste Shipment Record (WSR) to be signed by the generator, transporter, and landfill. All WSRs shall be returned to CCA within 30 days of shipment.
 - d. ACM waste shall be transported from the work site directly to the landfill.
- F. Disposal of packaged asbestos wastes.
1. Only landfills approved and permitted by Illinois for accepting asbestos wastes may be used for disposal.
- G. A punch list walk-through shall be conducted for each cleared work area within two working days of completion by CCA, contractor, and designated school official, . All punch list items shall be completed within five working days of walk through.

ATTACHMENT:

Appendix B Environmental Scope of Work & Drawings

END OF SECTION

IDPH SECTION 855.330

OPERATIONS & MAINTENANCE

Joint Committee on Administrative Rules

ADMINISTRATIVE CODE

TITLE 77: PUBLIC HEALTH
CHAPTER I: DEPARTMENT OF PUBLIC HEALTH
SUBCHAPTER p: HAZARDOUS AND POISONOUS SUBSTANCE
PART 855 ASBESTOS ABATEMENT FOR PUBLIC AND PRIVATE SCHOOLS AND
COMMERCIAL AND PUBLIC BUILDINGS IN ILLINOIS
SECTION 855.330 OPERATIONS AND MAINTENANCE

Section 855.330 Operations and Maintenance

- a) The school board or building owner shall designate a person who shall be responsible for the implementation of an operations and maintenance plan. The plan shall be instituted in every school identified or assumed as having ACBM and shall be enforced at all times. The plan shall be in writing and shall meet the requirements of USEPA (40 CFR 763) and OSHA Regulations (29 CFR 1926.1101).
- b) Repair Procedures. Department-licensed asbestos workers shall be utilized when ACBM must be drilled, sanded, cut, or repaired, or friable ACBM must be cleaned and the following procedures shall be followed:
 - 1) Heating, cooling, or ventilating air systems shall be shut down to prevent fiber dispersal to other areas of the building.
 - 2) Openings in the work area, including windows, doorways, vents, and any other openings, shall be sealed off with six mil polyethylene or equivalent sheeting and duct tape.
 - 3) All persons shall wear respirators equipped with high efficiency HEPA filters and approved by the National Institute for Occupational Safety and Health (NIOSH).
 - 4) All persons shall wear disposable full body coveralls and head gear.
 - 5) ACBM shall be wet down with amended water before repairing or disturbing the material to reduce airborne fiber release.
 - 6) Work area shall be cleaned up using wet rags, mops or sponges, leaving no visible residue.
 - 7) Asbestos-contaminated waste shall be sealed in six mil labeled plastic bags and disposed of at an approved disposal site.

- 8) Maintenance or repair which results in the disturbance of ACBM shall be conducted in accordance with OSHA Regulations 29 CFR 2926.1101(e) and (g).
- c) The Floor Tile Project Notice form provided by the Department must be submitted at least 10 working days prior to the beginning of an asbestos resilient floor covering material project in a school building.

APPENDIX A

ADDITIONAL DESIGN DETAILS

ASBESTOS ABATEMENT WORK
ROCKFORD PUBLIC SCHOOLS
WEST VIEW ELEMENTARY SCHOOL
NEW ADDITION AND INTERIOR RENOVATIONS–SPRING/SUMMER 2016

APPENDIX A: ADDITIONAL DESIGN DETAILS

	<u>Number of Pages</u>
1. Environmental Scope Sheet	11
2. Environmental Scope of Work Drawing	10

Additional Design Details

1. The contractor is responsible for verifying quantities in the field before bidding. Any questions about the scope or clarifications shall be obtained from the Project Designer prior to bidding. Any interpretations of the design documents shall only be made by the Project Designer.
2. The abatement contractor is responsible for all security to the work area(s) during the environmental abatement activities. In addition, when windows or doors are removed for abatement purposes, abatement contractor shall be responsible for the adequate board up of building openings. Board up requirements shall, at a minimum, include the use of sufficient framing and ½" plywood sheathing to secure property. Sheathing shall include the application of one (1) layer 6-mil poly sheeting on the exterior side. Abatement contractor responsible for board up of opening until removal by General Contractor
3. Abatement contractor shall execute the NESHAP required Waste Shipment Record (WSR) for ALL floor tile waste to be signed by the generator, transporter and landfill. All WSRs shall be returned to the MEC within 30 days of shipment.
4. Contractor shall label bags and/or containers for asbestos waste with the following information:
 1. Generator Name
 2. Contractor Name
 3. Project Location
 4. Month and year of contract work.

EC shall secure sample of label and retain as part of daily log/final report.

5. The environmental scope of work drawings detail locations of decontamination units, separation barriers, negative air exhaust, etc. The contractor shall follow the design as it pertains to the drawings. Any deviations from the drawings must be requested in writing, no less than ten days prior to commencement of abatement activities, and signed off by Project Designer and sent to IDPH prior to any work activities.
6. Contractor to erect separation/construction barriers in a manner that will secure work areas from access by unauthorized personnel, confine any necessary decontamination units, associated water and electrical hook ups, water filtration, water discharge, negative air exhaust, etc.
7. Contractor is NOT responsible for the removal, relocation and replacement of area/room contents necessary to complete this project. All furniture, room contents and personal items shall be

ASBESTOS ABATEMENT WORK
ROCKFORD PUBLIC SCHOOLS
WEST VIEW ELEMENTARY SCHOOL
NEW ADDITION AND INTERIOR RENOVATIONS–SPRING/SUMMER 2016

APPENDIX A: ADDITIONAL DESIGN DETAILS

removed by district prior to mobilization.

8. This project is scheduled for Rockford Public Schools Spring/Summer Break 2016. Contractor shall complete all abatement work within two (2) weeks and shall not exceed 15 working shifts. Projected Project Dates are June 1, 2016 thru June 17, 2016.
9. Contractor responsible for the cleaning and proper detergent washing of concrete floor substrate following the removal of asbestos containing floor tile mastics. Contractor shall utilize Envirowash Formula 805 manufactured by Sentinel Products, Inc. or similar (See Attached). Abatement Supervisor and Asbestos Project Manager shall document cleaning activities and products utilized in the required project logs.
10. Abatement contractor shall remove, clean and set aside for owner all light/lens covers.
11. Abatement Contractor responsible for the disconnection, removal and disposal of all light fixtures within the abatement work area. Rockford School District will remove and properly dispose of all fluorescent light bulbs prior to abatement. Abatement Contractor shall remove and package any light ballasts and set aside for disposal by District.
12. Abatement Contractor is responsible for the disconnection/demolition of all cabinetry, shelving and counters as necessary to complete scope of work in each location. District will remove all contents prior to start of work activities.
13. Abatement Contractor responsible for the demolition of glazed block as necessary to access concealed thermal system insulation. Contractor shall provide appropriate shoring of any wall openings created throughout the project. Contractor shall conduct demolition in such a way that the glazed blocks may be salvaged for reuse by general contractor.
14. General Contractor responsible for the disconnection, disposal and temporary capping of all plumbing fixtures that must be removed to complete abatement scope of work.
15. General Contractor shall partially disassemble shelving units in classrooms, such that Abatement Contractor may remove units for abatement of transite panels on back side of unit. Abatement Contractor shall not damage shelving units during removal. Units are to be salvaged for reinstallation by the general contractor.
16. Unless specified for gross removal, Contractor may elect to conduct floor tile removal work following alternative flooring methods as described in Specification Section 02131 part 3.11(D). Should contractor elect to utilize gross removal methods, contact Project Designer 10 working days prior to start for abatement design drawing.
17. General Contractor responsible for marking exact locations and dimensions of flooring removal to support unit ventilator replacement/installation. Contractor shall include the removal of approximately 40 square feet of floor tile and mastic from each location. Contractor responsible

ASBESTOS ABATEMENT WORK
ROCKFORD PUBLIC SCHOOLS
WEST VIEW ELEMENTARY SCHOOL
NEW ADDITION AND INTERIOR RENOVATIONS–SPRING/SUMMER 2016

APPENDIX A: ADDITIONAL DESIGN DETAILS

for removal of five (5) locations per work shift

18. Where acoustical ceiling plaster is removed, Contractor shall be responsible for the removal of any plaster over spray that is present above removed ceiling. All surfaces above ceiling shall be cleaned to no visible debris.
19. When acoustical plaster is scheduled for removal, Contractor shall remove and dispose of all black iron support structure from entirety of area.
20. Where suspended ceiling systems are scheduled for removal, Contractor shall remove and dispose of all support grid, and black iron supports from entirety of area.
21. Abatement Contractor responsible for providing appropriate planking/decking of attic space as necessary to access removal areas. Existing loose fill type attic insulation to remain and shall be protected and excluded from abatement areas. In event of contamination of loose fill type insulation, Abatement Contractor shall be required to remove and replace at no additional cost to District.
22. At termination points of abatement, Abatement Contractor shall seal/encapsulate remaining materials. At termination of Duct removal, Contractor shall remove insulation one (1) foot past termination point and seal/encapsulate remaining material.
23. Contractor responsible for the removal of 15 windows per work shift. Contractor shall maintain a three (3) day lead on window installation crew at all times. Contractor shall be responsible to provide board up for forty-five (45) windows at any time. General Contractor shall remove board ups and set aside for reuse by Abatement Contractor.

ASBESTOS ABATEMENT WORK
ROCKFORD PUBLIC SCHOOLS
WEST VIEW ELEMENTARY SCHOOL
NEW ADDITION AND INTERIOR RENOVATIONS–SPRING/SUMMER 2016

APPENDIX A: ADDITIONAL DESIGN DETAILS

Project and Building Information for IDPH Notifications

Rockford Public Schools – West View Elementary School

IDPH Building ID#	04-101-2050-2064
Building Address	1720 Halsted Road Rockford, Illinois 61103
Building Size	Approx.38,498 SF
Age of Building	68 years
Number of Floors	1 Plus Basement
Owner	Rockford Public Schools - District 205 501 7 th Street, Rockford, Illinois 61104 Contact: Ms. Anne Ford Environmental Health & Safety Coordinator Phone: 1-815-966-3010
Project Designer	John M. Dobby, CIH, CSP 100-9261
Project Manager	To Be Determined Call CCA at time of Notification
Air Sampling Professional	To Be Determined Call CCA at time of Notification
Building Inspector ID#	Mr. Daniel Juneau IDPH# 100-03613
Name of Analytical Lab	CEI Labs

APPENDIX B

ENVIRONMENTAL SCOPE OF WORK FORMS

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Nurses Office and Adjacent Bathroom

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Acoustical Ceiling Plaster						X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	Includes the removal of any pipe insulation located above ceiling and all acoustical overspray contamination. Includes the removal and disposal of existing black iron used for ceiling support. Abatement Contractor responsible for the disconnection and disposal of existing light fixtures. See Design Detail #10, #11, #18 & #19.
Any	Floor Tile & Mastic					X		<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	General Contractor responsible for the removal of all cabinetry prior to abatement. General Contractor responsible for the disconnection, disposal and temporary capping of all plumbing fixtures. See Design Detail #9.
Any	Thermal System Pipe and Pipe Joint Insulation		X	X	X		X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	Abatement Contractor responsible for demolition of glazed block as necessary to access thermal system insulation. Abatement Contractor shall provide appropriate shoring of any wall openings throughout project. General Contractor responsible for the disconnection, disposal and temporary capping of all plumbing fixtures. See Design Details #13 & #14.

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Main Office and Principals Office

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Acoustical Ceiling Plaster Overspray						X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	Includes the removal of any pipe insulation located above ceiling and all acoustical overspray contamination. Includes the removal and disposal of existing suspended ceiling system (including grid) and all black iron used for ceiling support. Abatement Contractor responsible for the disconnection and disposal of existing light fixtures. See Design Detail #10, #11 & #20.
Any	Carpet, Floor Tile and & Associated Mastics					X		<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	Abatement Contractor responsible for removal of all flooring materials and associated mastic to concrete substrate. Abatement Contractor responsible for the demolition of all counters and cabinetry to access flooring. See Design Detail #9.
Any	Transite Cabinet Panels	X						<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-1 for locations & details	Abatement Contractor responsible for removal of all transite panels from back side of window wall shelving cabinets. Abatement Contractor responsible for the cleaning of all dust/debris from the pipe chase once cabinetry has been moved.

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Classrooms 1, 2, 3, 4 & 5, Faculty Room 6

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Transite Cabinet Panels	X	X	X				<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131 and Approved IDPH Variance Dated 10/19/2015 See Drawing ASB-2 for locations & details	General Contractor shall partially disassemble shelving units prior to start so that Abatement Contractor may pull back from current location to access rear of cabinets. Abatement Contractor responsible for the removal of up to 3 shelving unit sections per room. General Contractor to mark cabinets for removal prior to start. Abatement Contractor responsible for the cleaning of all dust/debris from the pipe chase once cabinetry has been moved. See Design Detail #15.

Work scheduled for RPS205 Spring Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016

Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: East Hallway, Old Vault Area, Gym Lobby and Adjacent Areas

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Floor Tile and & Associated Mastics					X		<u>ASBESTOS ABATEMENT</u> Removal and disposal per Specification 02131. See Drawing ASB-3 for locations & details	Abatement Contractor responsible for removal of all flooring materials and associated mastic to concrete substrate. See Design Detail #9.

Work scheduled for RPS205 Spring Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Kitchen

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Ceiling Tile and Associated Adhesive						X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-4 for locations & details	Abatement Contractor responsible for the disconnection and disposal of existing light fixtures. See Design Detail #10 and #11.
Any	Floor Tile and Associated Mastics					X		<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-4 for locations & details	Abatement Contractor responsible for removal of all flooring materials and associated mastic to concrete substrate. Abatement Contractor responsible for the demolition of all counters and cabinetry to access flooring. See Design Detail #9.
Any	Thermal System Pipe and Pipe Joint Insulation	X	X	X	X			<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-4 for locations & details	Abatement Contractor responsible for demolition of cabinetry as necessary to access thermal system insulation. General Contractor responsible for the disconnection, disposal and temporary capping of all plumbing fixtures. See Design Detail #12.

Work scheduled for RPS205 Spring Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
 Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Classroom Unit Ventilator Locations

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Floor Tile and & Associated Mastics					X		<u>ASBESTOS ABATEMENT</u> Removal and disposal per Specification 02131. See Drawing ASB-5 for locations & details	Includes removal of approximately 40 SF floor tile and mastic at all unit ventilators scheduled for replacement. Removal of unit ventilators under separate contract. RPS205 MEP General Contractor responsible for the coordination and identification of exact dimensions of flooring required for abatement in these areas. See Additional Design Details #9 & #17

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
 IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Classroom 13

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Ceiling Tile and Associated Adhesive						X	<u>ASBESTOS OPERATIONS & MAINTENANCE</u> Drilling, coring or installing anchors into 1'x1' ceiling tile and adhesive per Specification 02131 and IDPH Section 855.330. See Drawing ASB-6 for locations & details	Abatement Contractor responsible for the installation of anchors and hardware into asbestos containing ceiling system as necessary for installation of head plate for new divider wall. Locations of drilling, coring or anchor installation shall be identified and marked by the General Contractor. General Contractor shall provide the hardware and equipment necessary to install the anchors.
Any	Floor Tile and Associated Mastics					X		<u>ASBESTOS ABATEMENT</u> Removal and disposal per Specification 02131. See Drawing ASB-6 for locations & details	Contractor Responsible for removal of two rows of floor tile and associated mastic to concrete substrate. General Contractor shall mark exact location of flooring for removal prior to start. See Design Detail #9.

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Attic Mechanical Space

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Air Handler Unit Insulation	X	X	X	X	X	X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-7 for locations & details	Contractor responsible for the removal of all thermal system insulation on air handling unit. See Design Detail #21
Any	Thermal System Pipe Insulation	X	X	X	X	X	X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-7 for locations & details	Contractor responsible for the removal of all thermal system pipe insulation from designated areas. See Design Details #21 & #22.
Any	Thermal System Duct Insulation	X	X	X	X	X	X	<u>ASBESTOS ABATEMENT</u> Gross Removal and disposal per Specification 02131. See Drawing ASB-7 for locations & details	Contractor responsible for the removal of all thermal system duct insulation from designated areas. Duct insulation is located on interior and exterior of duct work in attic mechanical space. At termination of abatement areas, contractor shall remove insulation one (1) foot back on remaining ductwork. Remaining duct insulation shall be sealed/encapsulated at removal termination point. See Design Details #21 & #22.

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Exterior - Windows

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Window Caulk, Window Glazing Compound, Window Panels and Window Panel Caulk	X	X	X	X			<u>ASBESTOS ABATEMENT</u> Removal and disposal per Specification 02132. See Drawing ASB-8 for details. EXISTING PERIMETER FRAMING NOT TO BE REMOVED. COORDINATE EXTENT OF REMOVAL WITH WINDOW INSTALLATION CONTRACTOR.	Abatement Contractor shall coordinate abatement activities with RPS205 General Contractor. Conduct ALL abatement from the exterior only. Interior areas shall be sealed with 6-mil poly sheeting during abatement activities. Abatement Contractor responsible for the board up of window openings when windows/framing are removed for abatement purposes. Abatement Contractor responsible for the removal of 15 windows per shift. Contractor shall maintain a 3 day lead on window installation contractor, including the board up of 45 windows. Abatement Contractor responsible for the removal and disposal of all interior window treatments. Abatement Contractor shall not damage any interior finishes during abatement activities. Basement level windows are not included in Scope of Work. See Design Detail #2

Work scheduled for RPS205 Summer Break. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

ENVIRONMENTAL SCOPE ROCKFORD PUBLIC SCHOOLS

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016

Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

Room ID/Name: Classroom 1 & Psychologist Office Exterior – Windows (MOCK UP LOCATIONS)

Substrate	Component	Walls				Floor	Ceiling	Response Action	Comments
		N	E	S	W				
Any	Window Caulk, Window Glazing Compound, Window Panels and Window Panel Caulk	X	X	X	X			<u>ASBESTOS ABATEMENT</u> Removal and disposal per Specification 02132. See Drawings ASB-9 & ASB-10 for details. EXISTING PERIMETER FRAMING NOT TO BE REMOVED. COORDINATE EXTENT OF REMOVAL WITH WINDOW INSTALLATION CONTRACTOR.	Abatement Contractor shall coordinate abatement activities with RPS205 General Contractor. Mock Up Abatement work scheduled for Spring 2016. Work shall be performed on a Saturday work shift. Abatement Contractor responsible for the removal of all windows identified in mock up locations in one work shift. Conduct ALL abatement from the exterior only. Interior areas shall be sealed with 6-mil poly sheeting during abatement activities. Contractor responsible for the board up of window openings when windows/framing are removed for abatement purposes. Abatement Contractor responsible for the removal and disposal of all interior window treatments. Abatement Contractor shall not damage any interior finishes during window removal. See Design Detail #2

Work scheduled for Spring 2016. See Scope Page 11 for Schedule details.

Designer: John M. Dobby, CIH, CSP Signature: _____
IDPH License #100-09261

**ENVIRONMENTAL SCOPE
ROCKFORD PUBLIC SCHOOLS**

Environmental Consultant: Carnow, Conibear & Assoc., Ltd. Date: January 15, 2016
Project # A139670047-02

School Building: West View Elementary School; 1720 Halsted Road, Rockford, Illinois 61103

ANTICIPATED ABATEMENT SCHEDULE:

Anticipated abatement schedule provided below. Abatement Contactor shall coordinate exact abatement schedule with the General Contractor and Carnow Conibear. Abatement Contractor shall complete all awarded summer work within two (2) weeks. Normal Typical Work hours shall be 7:00 am to 3:30 pm or 3:30 pm to 12:00 am (Monday thru Friday) additional work hours may be arranged with district.

Spring 2016:

Mock Up window removal work shall be performed on a Saturday work shift and be completed in one (1) work shift.

Window Mock Up Locations – Scope Page 10

Rockford Spring Break 2016:

Spring Break work shall start at 3:30pm on Friday March 25, 2016 and be completed no later than Friday April 1, 2016.

Classrooms 1, 2, 3, 4, 5 and Faculty Room 6 (Transite Cabinet Backing) – Scope Page 3
East Hallway, Gym Lobby Entrance and Old Vault Area (Floor Tile & Mastic) – Scope Page 4
Kitchen (Ceiling Tile & Adhesive, Floor Tile & Mastic, TSI) – Scope Page 5

Rockford Summer Break 2016:

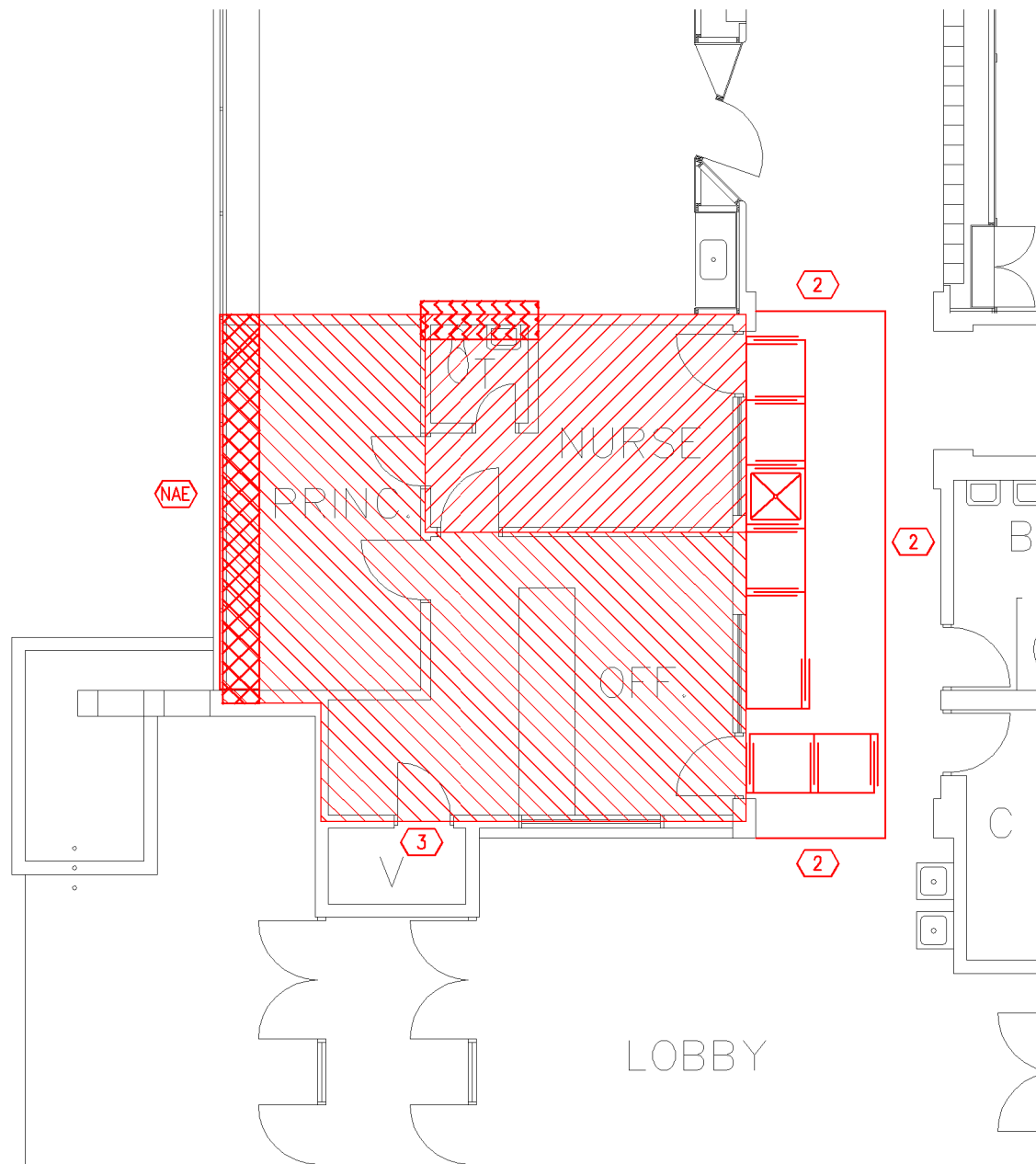
Nurse Office & Adjacent Bathroom (Ceiling Plaster, TSI, Floor tile & Mastic) – Scope Page 1
Main Office & Principals Office (Plaster Overspray, Flooring, Transite, TSI) – Scope Page 2
Classroom Unit Ventilator Locations (Floor Tile & Mastic) – Scope Page 6
Classroom 13 (Ceiling Tile & Mastic, Floor Tile & Mastic) – Scope Page 7
Attic Mechanical Space (Thermal System Air Handler, Pipe & Duct Insulation) – Scope Page 8
Exterior Windows (Caulk/Glaze Compounds) – Scope Page 9

Anticipated start date for summer work will be June 1, 2015.

APPENDIX C

ENVIRONMENTAL DRAWINGS

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1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE














SCOPE OF WORK:

ASBESTOS ABATEMENT -Removal and disposal of asbestos containing plaster, plaster over spray, floor tile, floor tile mastic, transite panels, thermal system insulation and asbestos contaminated ceiling tile and carpet per project scope and specification section 02131 and all applicable IDPH, IEPA, and OSHA rules and regulations.

ABATEMENT SCHEDULE:

Work scheduled for start of RPS205 2016 Summer Break.

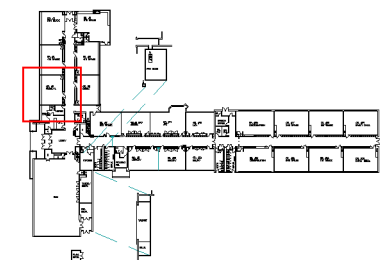
ASBESTOS ABATEMENT KEY NOTES:

-  INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT
-  INDICATES LOCATION OF TWO CHAMBER WASTE-OUT
-  INDICATES LOCATION OF AIRLOCK UNIT
-  INDICATES LOCATION OF NEGATIVE AIR EXHAUST
-  INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)
-  INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)
-  CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS
-  INDICATES APPROXIMATE LOCATION OF PLASTER, DRYWALL BACKING, PLASTER OVERSPRAY, FLOOR TILE AND ASSOCIATED MASTIC
-  INDICATES APPROXIMATE LOCATION OF SUSPENDED CEILING TILE, PLASTER OVERSPRAY, CARPET AND CARPET MASTIC
-  INDICATES APPROXIMATE LOCATION OF THERMAL SYSTEM INSULATION REMOVAL AREA
-  INDICATES APPROXIMATE LOCATION OF TRANSITE BACKING PANEL REMOVAL AREA

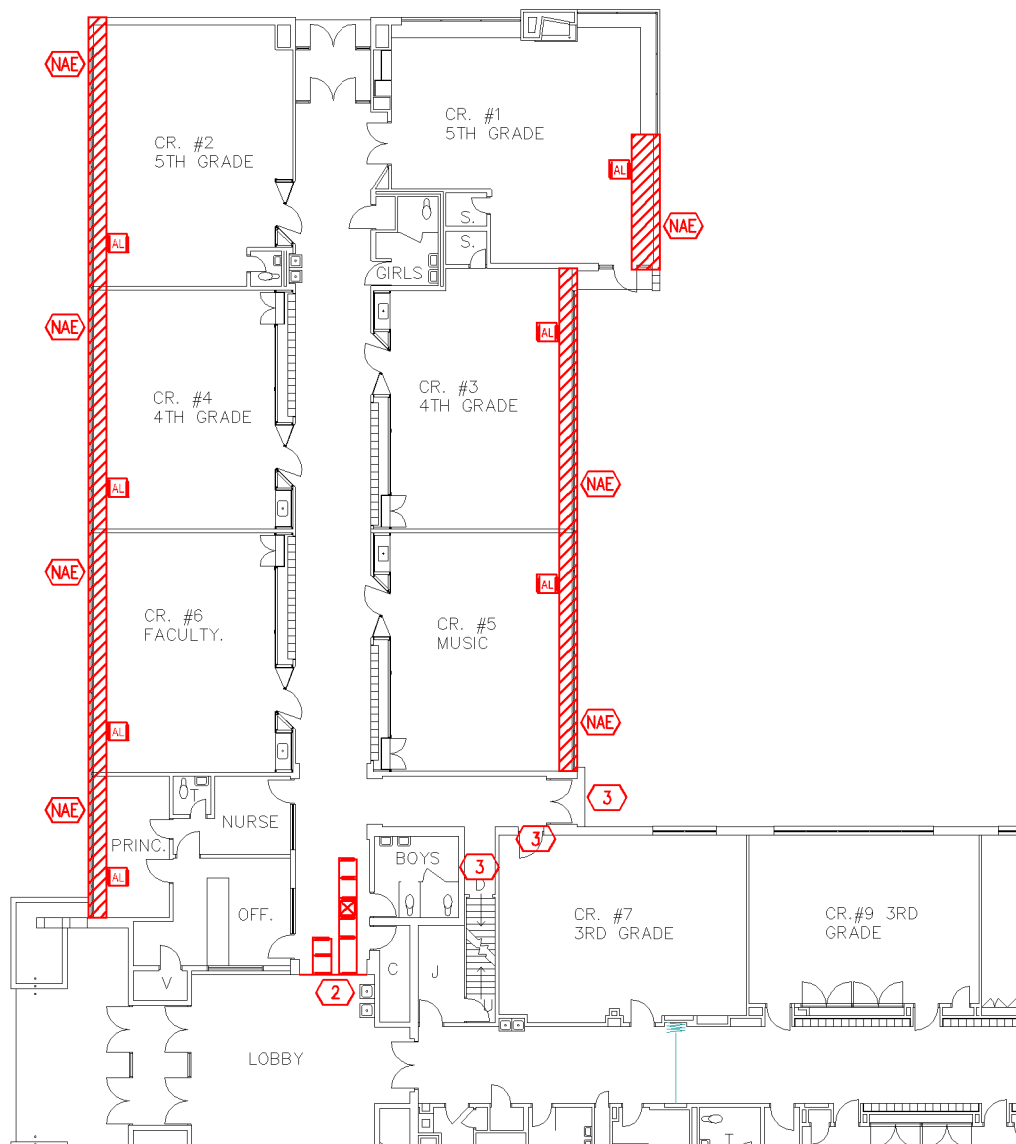
GENERAL NOTES:

- Worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Locations shown are approximate only. Contractor shall field verify.
- Contractor to remove and dispose of all black iron and suspended ceiling support grid.
- Contractor shall demolish glazed block as necessary to access thermal system insulation. Contractor shall provide appropriate shoring of any openings created.
- Contractor to remove and dispose of light fixtures, bulbs and ballasts shall be put aside for disposal by RPS205.

KEY PLAN:



CLIENT: Rockford Public Schools 501 7th Street Rockford, Illinois 61104	PROJECT NAME: West View Elementary School 1720 Halsted Road Rockford, Illinois 61103	SHEET NAME: ASBESTOS ABATEMENT DESIGNED BY: John Dobby IDPH #: 100-09261	DRAWN BY: KF REVISED BY: DM REVISED BY: EBB DESIGNER SIGNATURE:	DATE: 08-06-14 DATE: 1-4-2016 DATE: 1-15-2016 CHECKED BY: DJ CHECKED BY: DJ CHECKED BY: DJ	Carnow, Conibear & Assoc., Ltd. <i>Environmental Consulting Services</i> 600 W. Van Buren St., Suite 500, Chicago, IL 60607 t: 312.782.4486 f: 312.782.5145 www.ccaltd.com CARNOW CONIBEAR	CCA PROJECT NUMBER A139670047-02 DATE January 15, 2016 SHEET NUMBER 64 ASB-1
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1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



SCOPE OF WORK:

ASBESTOS ABATEMENT - Intact removal and disposal of asbestos containing transite backing panels per project scope and specification 02131, IDPH variance, and all applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Spring Break. Work shall start at 3:30pm on Friday March 25, 2016, and be completed no later than Friday April 1, 2016.

ASBESTOS ABATEMENT KEY NOTES:



INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT



INDICATES LOCATION OF TWO CHAMBER WASTE-OUT



INDICATES LOCATION OF AIRLOCK UNIT



INDICATES LOCATION OF NEGATIVE AIR EXHAUST



INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)



INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS

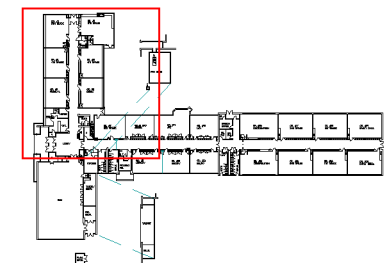


INDICATES APPROXIMATE LOCATION OF TRANSITE BACKING PANEL REMOVAL AREA

GENERAL NOTES:

- Worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Locations shown are approximate only. Contractor shall field verify.
- Abatement contractor responsible for the removal of up to three (3) shelving unit sections per room. General contractor to mark exact locations.
- Abatement contractor shall not damage units during transit panel removal. Units shall be salvaged for reinstallation by general contractor.
- Abatement contractor responsible for the cleaning of all dust/ debris from the pipe chase one cabinetry removed.
- General Contractor shall partially disassemble the shelving units prior to start.

KEY PLAN:



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CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
ASBESTOS ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ
REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ
REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ
REVISED BY:	DATE:	CHECKED BY:

DESIGNER SIGNATURE:

Carnow, Conibeare & Assoc., Ltd.
Environmental Consulting Services
600 W. Van Buren St., Suite 500, Chicago, IL 60607
t: 312.782.4486 f: 312.782.5145
www.ccaltd.com

CCA PROJECT NUMBER
A139670047-02

DATE
January 15, 2016

SHEET NUMBER
65
ASB-2

**CARNOW
CONIBEARE**

SCOPE OF WORK:

ASBESTOS ABATEMENT -Removal and disposal of asbestos containing floor tile and floor tile mastic per project scope and specification section 02131 and all applicable IDPH, IEPA, and OSHA rules and regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Spring Break. Work shall start at 3:30pm on Friday March 25, 2016, and be completed no later than Friday April 1, 2016.

ASBESTOS ABATEMENT KEY NOTES:



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS

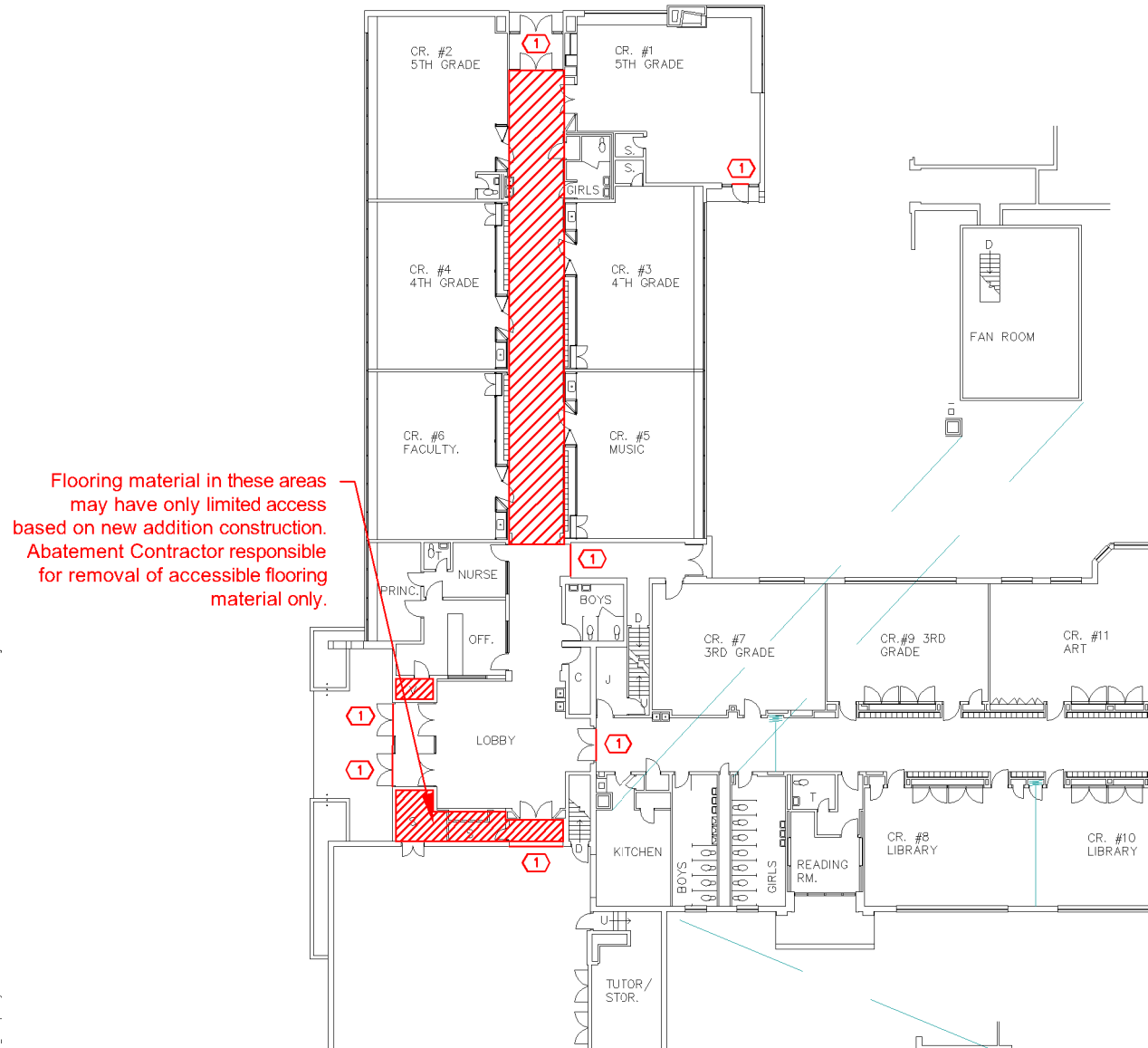
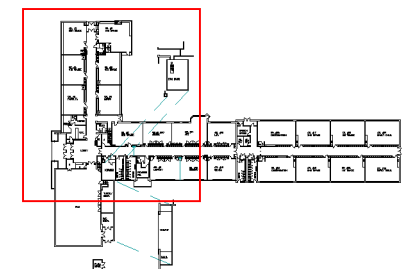


INDICATES APPROXIMATE LOCATION OF FLOOR TILE AND FLOOR TILE MASTIC REMOVAL AREA

GENERAL NOTES:

- Where provided, worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Locations shown are approximate only. Contractor shall field verify.
- If gross removal methods are utilized, Contractor shall perform all work in strict accordance with all IDPH school rules and specification 02131. If non-friable removal methods are utilized (Specification Section 3.11 (D)), Contractor shall be responsible for the isolation of work area(s) with critical seals, signage, and the security of the work areas to eliminate access by unauthorized personnel. HEPA filtered negative air machines shall be set up within the work areas and run continuously through the completion of all non-friable work. All room contents to be removed by the school staff prior to contract work.

KEY PLAN:



1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

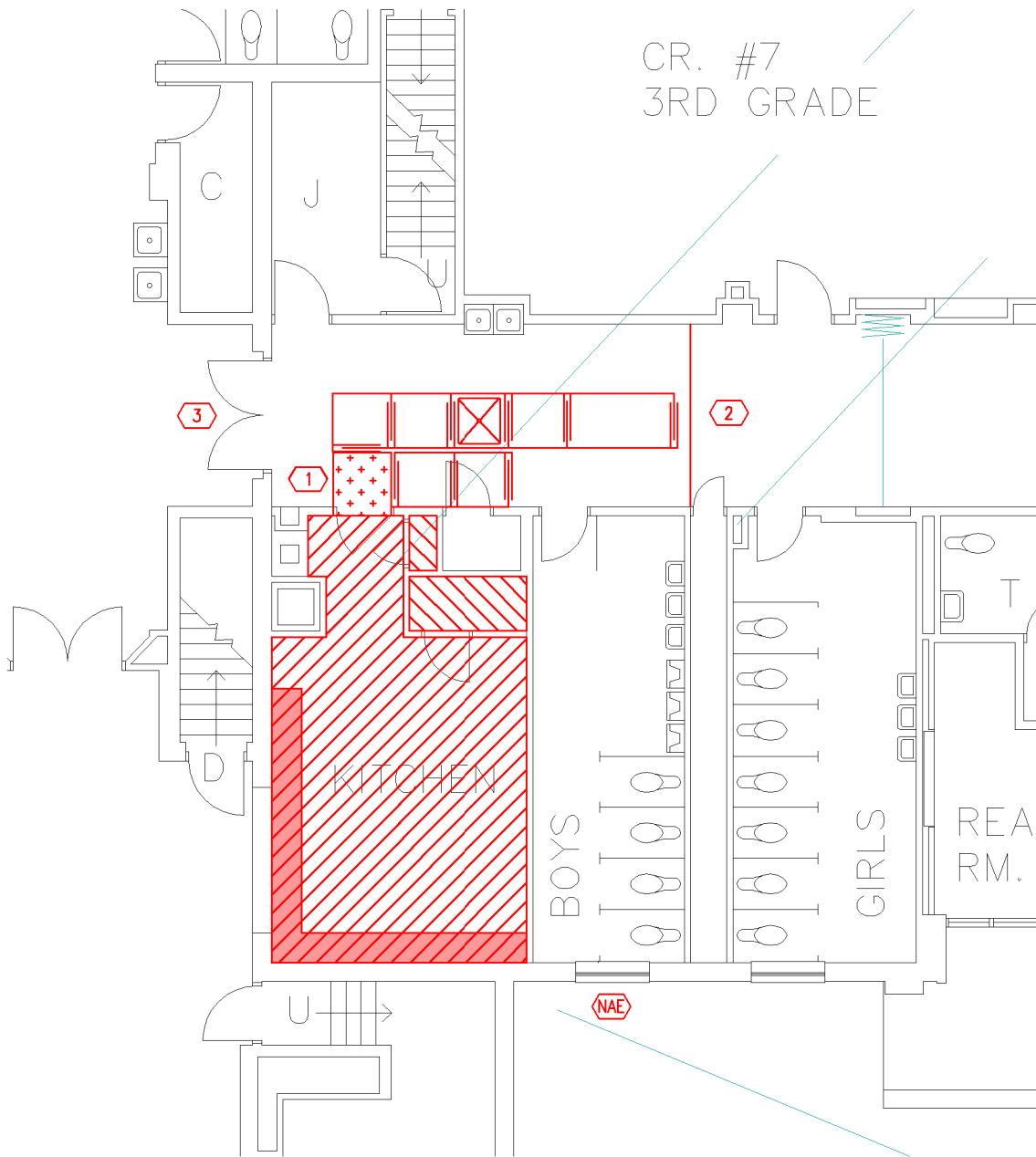
NOT TO SCALE



Flooring material in these areas may have only limited access based on new addition construction. Abatement Contractor responsible for removal of accessible flooring material only.

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CLIENT: Rockford Public Schools 501 7th Street Rockford, Illinois 61104	PROJECT NAME: West View Elementary School 1720 Halsted Road Rockford, Illinois 61103	SHEET NAME: ASBESTOS ABATEMENT DESIGNED BY: John Dobby IDPH #: 100-09261	DRAWN BY: KF REVISED BY: DM REVISED BY: EBB DESIGNER SIGNATURE:	DATE: 08-06-14 DATE: 1-4-2016 DATE: 1-15-2016 CHECKED BY: DJ CHECKED BY: DJ CHECKED BY: DJ	Carnow, Conibeare & Assoc., Ltd. <i>Environmental Consulting Services</i> 500 W. Van Buren St., Suite 500, Chicago, IL 60607 t: 312.782.4486 f: 312.782.5145 www.ccaltd.com	CCA PROJECT NUMBER A139670047-02 DATE January 15, 2016 SHEET NUMBER 166 ASB-3
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1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



SCOPE OF WORK:

ASBESTOS ABATEMENT -Removal and disposal of asbestos containing ceiling tile, ceiling tile adhesive, floor tile, floor tile mastic and thermal system pipe insulation per project scope and specification section 02131 and all applicable IDPH, IEPA, and OSHA rules and regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Spring Break. Work shall start at 3:30pm on Friday March 25, 2016, and be completed no later than Friday April 1, 2016.

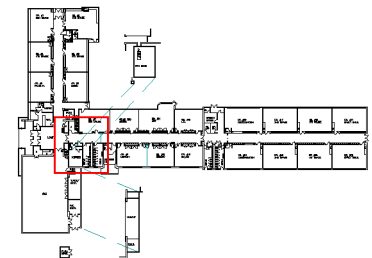
ASBESTOS ABATEMENT KEY NOTES:

- INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT
- INDICATES LOCATION OF TWO CHAMBER WASTE-OUT
- INDICATES LOCATION OF AIRLOCK UNIT
- INDICATES LOCATION OF NEGATIVE AIR EXHAUST
- INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)
- INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)
- CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS
- INDICATES APPROXIMATE LOCATION OF CEILING TILE, CEILING TILE ADHESIVE, FLOOR TILE AND FLOOR TILE MASTIC REMOVAL AREA.
- INDICATES APPROXIMATE LOCATION OF FLOOR TILE AND FLOOR TILE MASTIC REMOVAL AREA.
- INDICATES APPROXIMATE LOCATION OF THERMAL SYSTEM PIPE INSULATION REMOVAL AREA
- INDICATES APPROXIMATE LOCATION OF NONREMOVAL WORK AREA

GENERAL NOTES:

- Worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Locations shown are approximate only. Contractor shall field verify.
- Contractor responsible for the removal and disposal of light fixtures. Bulbs and ballasts shall be put aside for disposal by RPS205.

KEY PLAN:



CLIENT: Rockford Public Schools 501 7th Street Rockford, Illinois 61104	PROJECT NAME: West View Elementary School 1720 Halsted Road Rockford, Illinois 61103	SHEET NAME: ASBESTOS ABATEMENT	DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ	Carnow, Conibear & Assoc., Ltd. Environmental Consulting Services 600 W. Van Buren St., Suite 500, Chicago, IL 60607 t: 312.782.4486 f: 312.782.5145 www.ccaltd.com	CCA PROJECT NUMBER A139670047-02
			REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ		DATE January 15, 2016
			REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ		SHEET NUMBER 167
			REVISED BY:	DATE:	CHECKED BY:		CARNOW CONIBEAR ASB-4
			DESIGNER SIGNATURE:				

**CARNOW
CONIBEAR**

ASB-4

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1st FLOOR PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



SCOPE OF WORK:

ASBESTOS ABATEMENT - Removal and disposal of asbestos containing floor tile and floor tile mastic per project scope, specification section 02131 and all applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Summer Break 2016. Abatement Contractor shall complete five (5) locations per day.

ASBESTOS ABATEMENT KEY NOTES:



INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT



INDICATES LOCATION OF TWO CHAMBER WASTE-OUT



INDICATES LOCATION OF AIRLOCK UNIT



INDICATES LOCATION OF NEGATIVE AIR EXHAUST



INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)



INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS



INDICATES APPROXIMATE LOCATION OF FLOOR TILE REMOVAL AREA

GENERAL NOTES:

- Where provided, worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Removal of unit ventilators under separate contract.
- Locations shown are approximate only. General contractor to coordinate schedule and mark exact locations/ dimensions of flooring for abatement.
- Contractor shall include the removal of approximately 40 square feet per location.
- Contractor shall complete removal in 5 locations per work shift.
- If gross removal methods area utilized, Contractor shall perform all work in strict accordance with all IDPH school rules and specification 02131. If non-friable removal methods are utilized (Specification Section 3.11 (D)), Contractor shall be responsible for the isolation of work area(s) with critical seals, signage, and the security of the work areas to eliminate access by unauthorized personnel. HEPA filtered negative air machines shall be set up within the work areas and run continuously through the completion of all non-friable work. All room contents to be removed by the school staff prior to contract work.

CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
ASBESTOS ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF DATE: 08-06-14 CHECKED BY: DJ
REVISED BY: DM DATE: 1-4-2016 CHECKED BY: DJ
REVISED BY: EBB DATE: 1-15-2016 CHECKED BY: DJ
REVISED BY: DATE: CHECKED BY:

DESIGNER SIGNATURE:

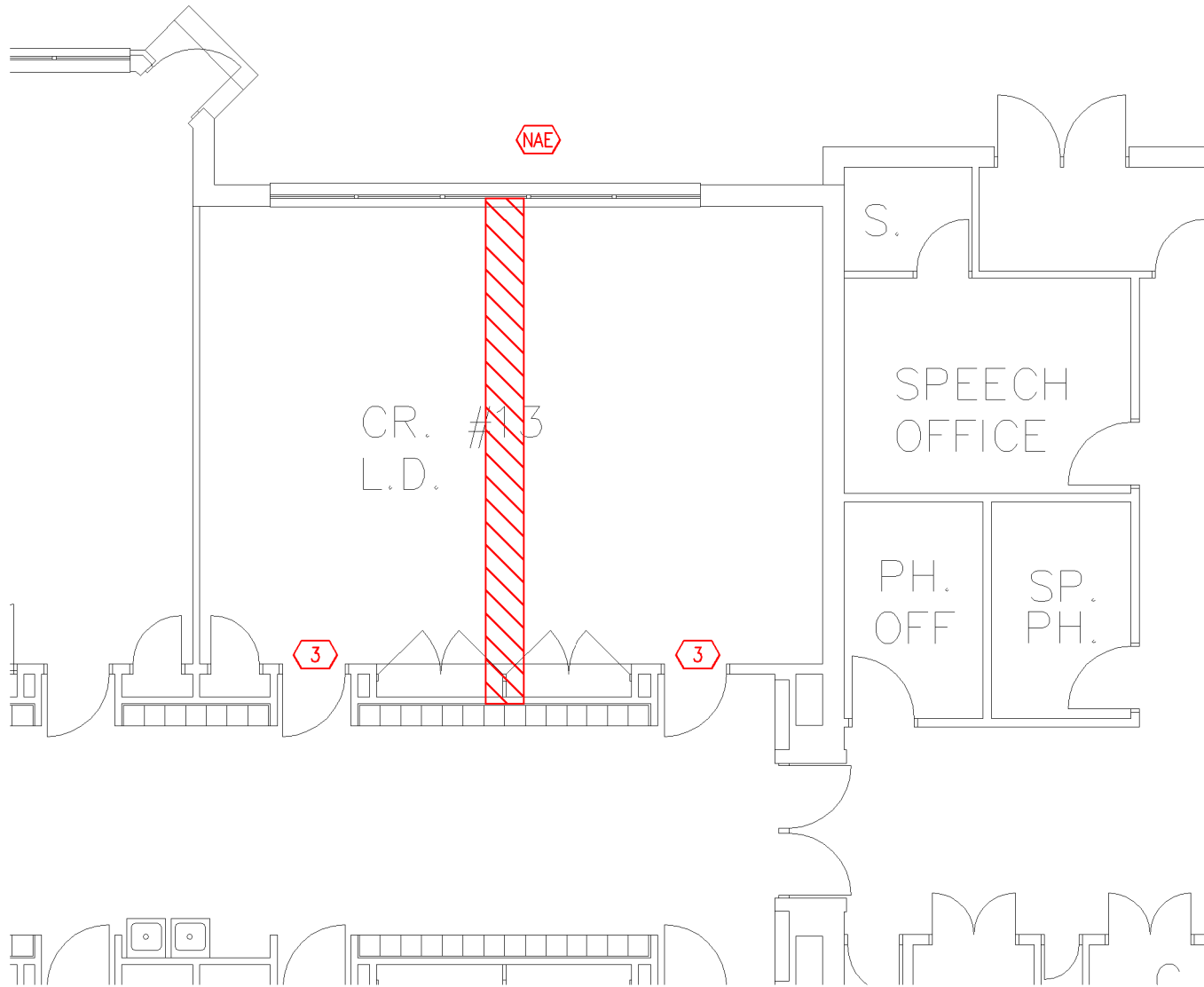
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CONIBEAR**

CCA PROJECT NUMBER
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DATE
January 15, 2016
SHEET NUMBER
68

ASB-5

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1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



SCOPE OF WORK:

ASBESTOS ABATEMENT - Removal and disposal of two(2) rows asbestos containing floor tile and floor tile mastic, and the drilling, coring or anchoring into asbestos containing ceiling tile and adhesive per project scope, specification section 02131, IDPH Section 855.330 and applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Summer Break 2016.

ASBESTOS ABATEMENT KEY NOTES:



INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT



INDICATES LOCATION OF TWO CHAMBER WASTE-OUT



INDICATES LOCATION OF AIRLOCK UNIT



INDICATES LOCATION OF NEGATIVE AIR EXHAUST



INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)



INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS



INDICATES APPROXIMATE LOCATION OF ANCHORING INTO CEILING TILE AND CEILING TILE ADHESIVE & FLOOR TILE AND MASTIC REMOVAL WORK AREA

GENERAL NOTES:

- Locations shown are approximate only.
- General contractor to mark exact location of flooring for removal.
- Abatement Contractor responsible for the installation of anchors and hardware into asbestos containing ceiling system as necessary for installation of head plate for new divider wall.
- Locations of drilling, coring or anchor installation shall be identified and marked by the General Contractor.
- General Contractor shall provide the hardware and equipment necessary to install the anchors.
- If gross removal methods area utilized, Contractor shall perform all work in strict accordance with all IDPH school rules and specification 02131. If non-friable removal methods are utilized (Specification Section 3.11 (D)), Contractor shall be responsible for the isolation of work area(s) with critical seals, signage, and the security of the work areas to eliminate access by unauthorized personnel. HEPA filtered negative air machines shall be set up within the work areas and run continuously through the completion of all non-friable work. All room contents to be removed by the school staff prior to contract work.

KEY PLAN:



CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
ASBESTOS ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ
REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ
REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ
REVISED BY:	DATE:	CHECKED BY:

DESIGNER SIGNATURE:

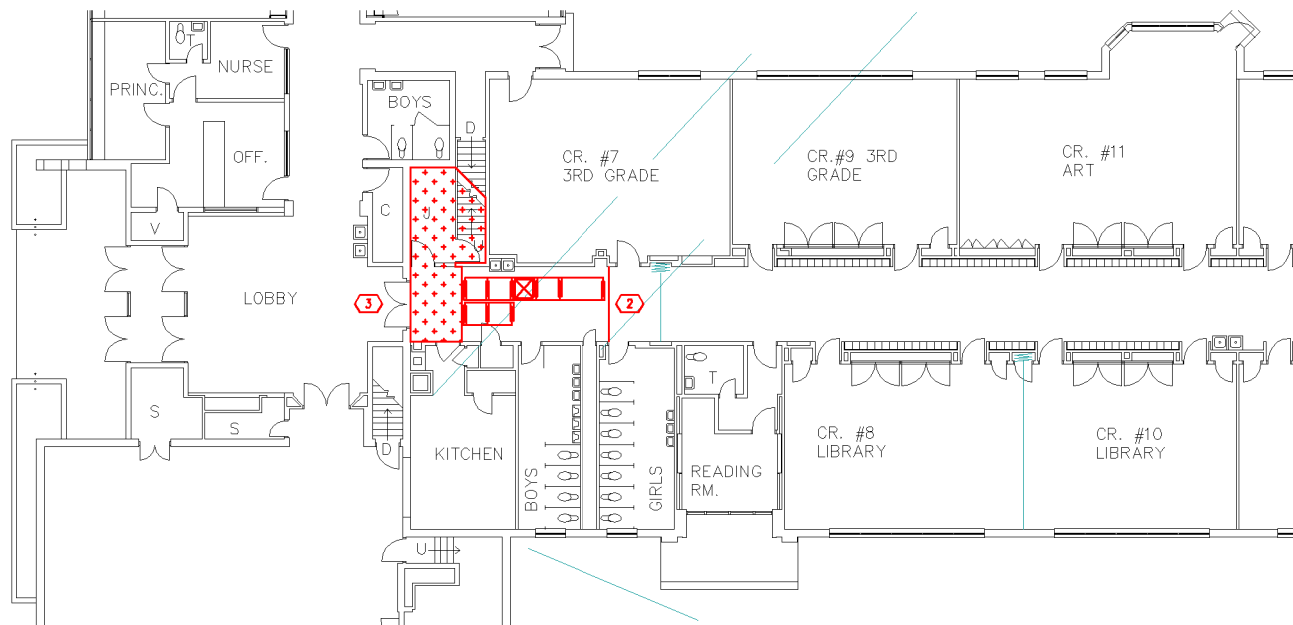
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Environmental Consulting Services
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t: 312.782.4486 f: 312.782.5145
www.ccaltd.com

CCA PROJECT NUMBER
A139670047-02

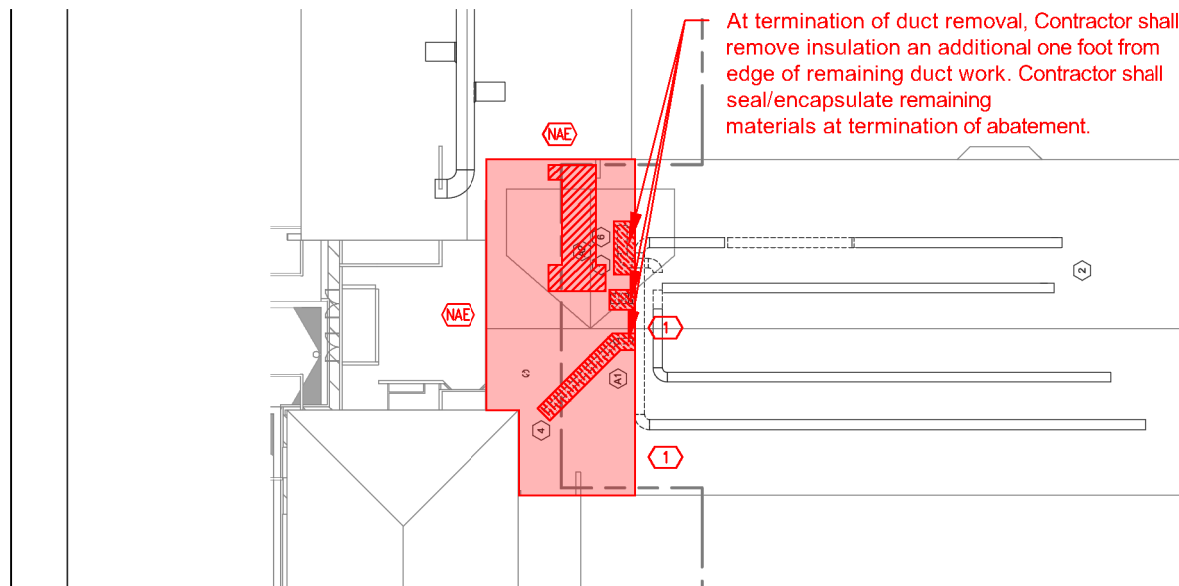
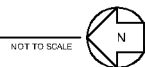
DATE
January 15, 2016

SHEET NUMBER
69
ASB-6

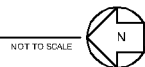
**CARNOW
CONIBEARE**



1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT



ATTIC FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT



SCOPE OF WORK:

ASBESTOS ABATEMENT - Removal and disposal of asbestos containing thermal system AHU, Duct and pipe insulation per project scope, specification section 02131 and applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Summer Break 2016.

ASBESTOS ABATEMENT KEY NOTES:



INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT



INDICATES LOCATION OF TWO CHAMBER WASTE-OUT



INDICATES LOCATION OF AIRLOCK UNIT



INDICATES LOCATION OF NEGATIVE AIR EXHAUST



INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)



INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS



INDICATES APPROXIMATE LOCATION OF AIR HANDLER UNIT INSULATION REMOVAL



INDICATES APPROXIMATE LOCATION OF PIPE INSULATION REMOVAL



INDICATES APPROXIMATE LOCATION OF DUCT INSULATION REMOVAL



NON REMOVAL WORK AREA

GENERAL NOTES:

- Worker decontamination enclosure system shall be constructed in strict accordance with IDPH section 855.410. Clean room shall be sized to accommodate the needs of the work crew. Donning and Doffing of PPE outside of clean room is strictly prohibited.
- Locations shown are approximate only. Contractor shall field verify.
- General contractor to mark exact locations.
- Contractor shall provide appropriate planking/temporary flooring where necessary.
- All floor surfaces shall be sealed with 2 layers of 6 mil poly sheeting.
- Where interior duct insulation is present, Abatement Contractor shall remove duct completely.

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CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
ASBESTOS ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ
REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ
REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ
REVISED BY:	DATE:	CHECKED BY:

DESIGNER SIGNATURE:

Carnow, Conibear & Assoc., Ltd.
Environmental Consulting Services
600 W. Van Buren St., Suite 500, Chicago, IL 60607
t: 312.782.4486 f: 312.782.5145
www.ccaltd.com

CCA PROJECT NUMBER
A139670047-02

DATE
January 15, 2016

SHEET NUMBER
170
ASB-7

**CARNOW
CONIBEAR**

SCOPE OF WORK:

ASBESTOS ABATEMENT - Removal and disposal of window assembly including asbestos containing window caulk and window glaze from building elevations. All work per specification 02132 and all applicable IDPH, IEPA and OSHA rules and regulations.

ABATEMENT SCHEDULE:

Work scheduled for RPS205 Summer Break 2016.

ASBESTOS ABATEMENT KEY NOTES:



INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT



INDICATES LOCATION OF TWO CHAMBER WASTE-OUT



INDICATES LOCATION OF AIRLOCK UNIT



INDICATES LOCATION OF NEGATIVE AIR EXHAUST



INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)



INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)



CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS



INDICATES APPROXIMATE LOCATION OF WINDOW REMOVAL AREA

GENERAL NOTES:

- Locations shown are approximate only. Contractor shall field verify.
- At all window locations, abatement contractor to seal interior building areas with one (1) layer of 6 mil poly prior to work. All abatement shall be conducted from the building exterior.
- Abatement contractor shall coordinate work activities with RSP205 and General contractor.
- When windows or doors are removed for abatement purposes, abatement contractor responsible for the board up of building opening with sufficient framing, 1/2" plywood and one (1) layer 6 mil poly to adequately secure property and protect building interior from weather.
- Abatement contractor responsible for board up and security of building opening until removal by general contractor.
- Abatement Contractor shall not damage any interior finishes during abatement activities.
- Work includes removal and disposal of any interior window treatments.
- Refer to Architect's Scope of Work drawings dated May 14, 2015 for locations and details of all work.



ATTIC FLOOR PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
ASBESTOS ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ
REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ
REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ
REVISED BY:	DATE:	CHECKED BY:

DESIGNER SIGNATURE:

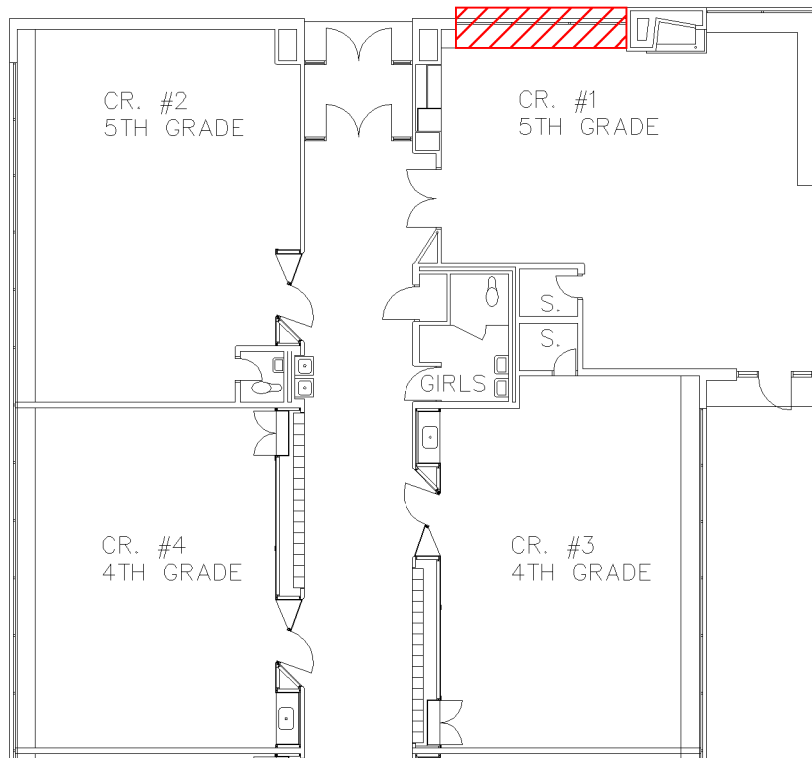
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**CARNOW
CONIBEAR**

CCA PROJECT NUMBER
A139670047-02
DATE
January 15, 2016
SHEET NUMBER
17

ASB-8

MOCK UP LOCATION



1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE



SCOPE OF WORK:

ASBESTOS ABATEMENT -Removal and disposal of window assembly including asbestos containing window caulk and window per specification 02132 applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Mock up window removal scheduled for Spring 2016.

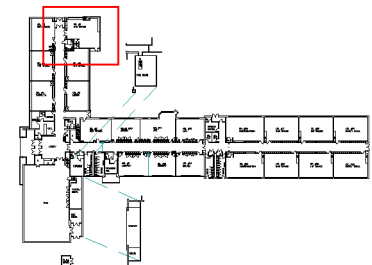
ASBESTOS ABATEMENT KEY NOTES:

- INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT
- INDICATES LOCATION OF TWO CHAMBER WASTE-OUT
- INDICATES LOCATION OF AIRLOCK UNIT
- INDICATES LOCATION OF NEGATIVE AIR EXHAUST
- INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)
- INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)
- CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS
- INDICATES APPROXIMATE LOCATION OF WINDOW CAULK AND WINDOW GLAZE REMOVAL WORK AREA

GENERAL NOTES:

- Locations shown are approximate only. Contractor shall field verify.
- At all window locations, abatement contractor to seal interior building areas with one (1) layer of 6 mil poly prior to work. All abatement shall be conducted from the building exterior.
- Abatement contractor shall coordinate work activities with RSP205 and General contractor.
- When windows or doors are removed for abatement purposes, abatement contractor responsible for the board up of building opening with sufficient framing, plywood and one (1) layer 6 mil poly to adequately secure property and protect building interior from weather.
- Abatement contractor responsible for board up and security of building opening until removal by general contractor.
- Abatement Contractor shall not damage any interior finishes during abatement activities.
- Work includes removal and disposal of any interior window treatments.
- Refer to Architect's Scope of Work drawings dated May 14, 2015 for locations and details of all work.

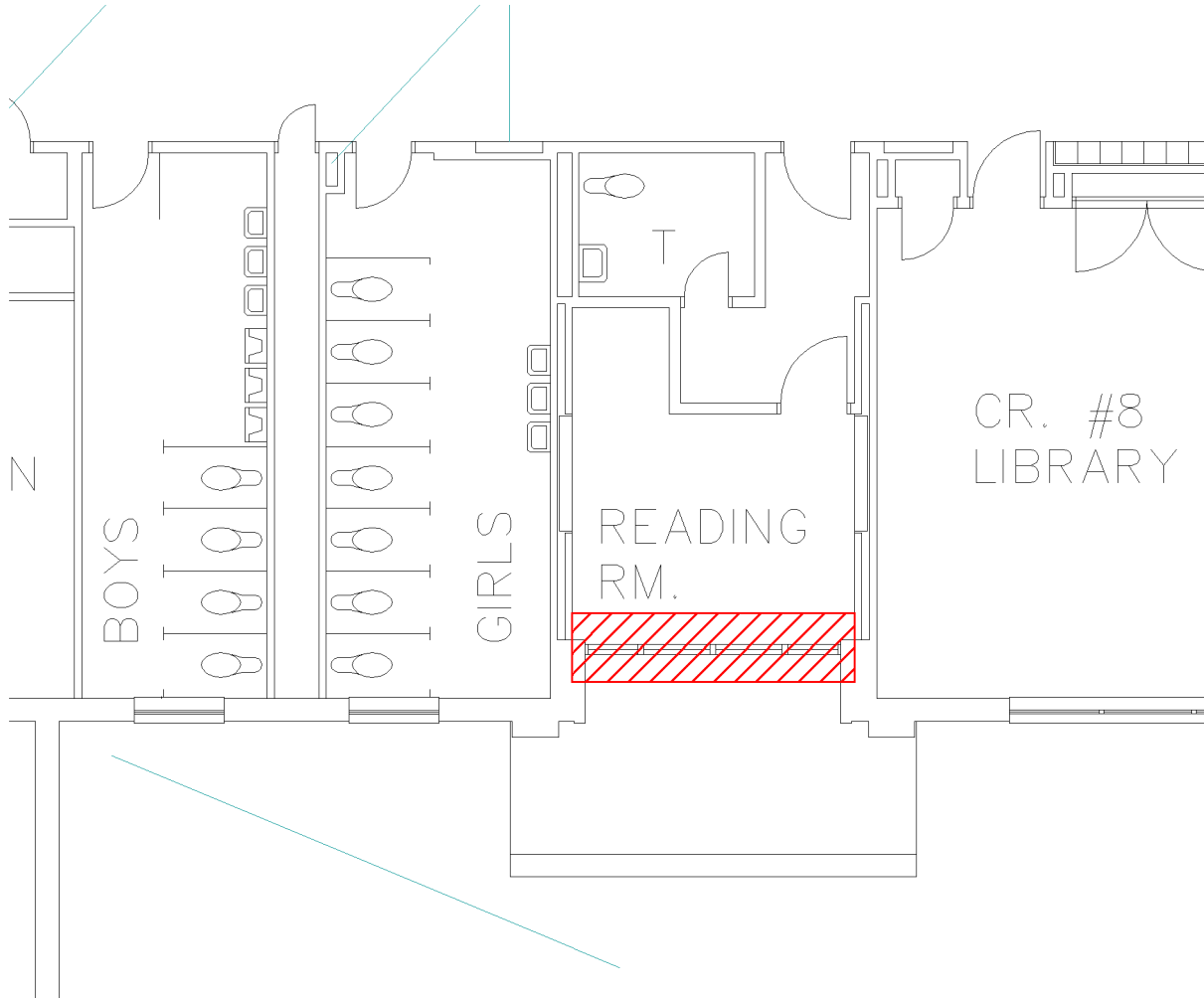
KEY PLAN:



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<div>CLIENT:</div> <div>Rockford Public Schools</div> <div>501 7th Street</div> <div>Rockford, Illinois 61104</div>	<div>PROJECT NAME:</div> <div>West View Elementary School</div> <div>1720 Halsted Road</div> <div>Rockford, Illinois 61103</div>	<div>SHEET NAME:</div> <div>EXTERIOR WINDOW ASBESTOS</div> <div>ABATEMENT</div> <div>DESIGNED BY:</div> <div>John Dobby</div> <div>IDPH #: 100-09261</div>	<div>DRAWN BY: KF</div> <div>DATE: 08-06-14</div> <div>CHECKED BY: DJ</div> <div>REVISED BY: DM</div> <div>DATE: 1-4-2016</div> <div>CHECKED BY: DJ</div> <div>REVISED BY: EBB</div> <div>DATE: 1-15-2016</div> <div>CHECKED BY: DJ</div> <div>REVISED BY:</div> <div>DATE:</div> <div>CHECKED BY:</div> <div>DESIGNER SIGNATURE:</div>	<div>Carnow, Conibear & Assoc., Ltd.</div> <div>Environmental Consulting Services</div> <div>600 W. Van Buren St., Suite 500, Chicago, IL 60607</div> <div>T: 312.782.4486 F: 312.782.5145</div> <div>www.ccaltd.com</div> <div>CARNOW CONIBEAR</div>	<div>CCA PROJECT NUMBER</div> <div>A139670047-02</div> <div>DATE</div> <div>January 15, 2016</div> <div>SHEET NUMBER</div> <div>12</div> <div>ASB-9</div>
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MOCK UP LOCATION



1st FLOOR PARTIAL PLAN:
ASBESTOS ABATEMENT

NOT TO SCALE











SCOPE OF WORK:

ASBESTOS ABATEMENT -Removal and disposal of window assembly including asbestos containing window caulk and window glaze per applicable IDPH, IEPA and OSHA regulations.

ABATEMENT SCHEDULE:

Mock Up window removal scheduled for Spring 2016.

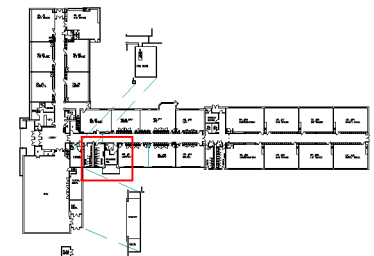
ASBESTOS ABATEMENT KEY NOTES:

-  INDICATES LOCATION OF FIVE (5) CHAMBER WORKER DECONTAMINATION UNIT
-  INDICATES LOCATION OF TWO CHAMBER WASTE-OUT
-  INDICATES LOCATION OF AIRLOCK UNIT
-  INDICATES LOCATION OF NEGATIVE AIR EXHAUST
-  INDICATES LOCATION OF CONTAINMENT BARRIER PER IDPH 855.430(a)
-  INDICATES LOCATION OF SECURITY BARRIER PER IDPH 855.430(b)
-  CONTRACTOR TO SECURE DOORWAY AND CONTROL ACCESS
-  INDICATES APPROXIMATE LOCATION OF WINDOW CAULK AND WINDOW GLAZE REMOVAL WORK AREA

GENERAL NOTES:

- Locations shown are approximate only. Contractor shall field verify.
- At all window locations, abatement contractor to seal interior building areas with one (1) layer of 6 mil poly prior to work. All abatement shall be conducted from the building exterior.
- Abatement contractor shall coordinate work activities with RSP205 and General contractor.
- When windows or doors are removed for abatement purposes, abatement contractor responsible for the board up of building opening with sufficient framing, plywood and one (1) layer 6 mil poly to adequately secure property and protect building interior from weather.
- Abatement contractor responsible for board up and security of building opening until removal by general contractor.
- Abatement Contractor shall not damage any interior finishes during abatement activities.
- Work includes removal and disposal of any interior window treatments.
- Refer to Architect's Scope of Work drawings dated May 14, 2015 for locations and details of all work.

KEY PLAN:



CLIENT:
Rockford Public Schools
501 7th Street
Rockford, Illinois 61104

PROJECT NAME:
West View Elementary School
1720 Halsted Road
Rockford, Illinois 61103

SHEET NAME:
EXTERIOR WINDOW ASBESTOS
ABATEMENT

DESIGNED BY:
John Dobby
IDPH #: 100-09261

DRAWN BY: KF	DATE: 08-06-14	CHECKED BY: DJ
REVISED BY: DM	DATE: 1-4-2016	CHECKED BY: DJ
REVISED BY: EBB	DATE: 1-15-2016	CHECKED BY: DJ
REVISED BY:	DATE:	CHECKED BY:

DESIGNER SIGNATURE:

Carnow, Conibeare & Assoc., Ltd.
Environmental Consulting Services
600 W. Van Buren St., Suite 500, Chicago, IL 60607
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CCA PROJECT NUMBER
A139670047-02
DATE
January 15, 2016
SHEET NUMBER

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ASB-10

APPENDIX D

IDPH VARIANCE REQUEST AND APPROVAL

**ILLINOIS DEPARTMENT OF PUBLIC HEALTH
ASBESTOS PROGRAM**

**REQUEST FOR VARIANCE
COVER SHEET**

NEW VARIANCE X RESUBMITTAL

SCHOOL NAME: West View Elementary School
ADDRESS: 1720 Halsted Road
CITY: Rockford
STATE: Illinois
ZIP: 61103
DISTRICT: Rockford Public Schools – District #205

VARIANCE REQUESTED BY: John M. Dobby, CIH, CSP
COMPANY NAME: Carnow, Conibear & Assoc., Ltd.
ADDRESS: 600 W. Van Buren Street, Suite 500
CITY: Chicago
STATE: IL
ZIP: 60607

PROJECT DESIGNER: John M. Dobby I.D.# 100-09261

CONTRACTOR NAME: N/A I.D.#:
ADDRESS:
CITY:
STATE:
ZIP:

APPROXIMATE DATES OF PROJECT: START – Christmas Break 2015
FINISH – Christmas Break 2015

WILL PROJECT BE DONE UTILIZING AN OPERATIONS AND MAINTENANCE
PROCEDURE? NO

SIGNATURES

PROJECT DESIGNER  I.D.# 100-09261

PROJECT MANAGER _____ I.D.# _____

CONTRACTOR _____ I.D.# _____

PLEASE ATTACH THE SCOPE OF THE PROJECT. SPECIFY TYPE, AMOUNT AND
LOCATION OF ASBESTOS TO BE REMOVED, INCLUDE ANY ADDITIONAL INFORMATION
PERTINENT TO THIS PROJECT.



October 19, 2015

Mr. Kent Cook
Asbestos Program
Division of Environmental Health
Illinois Department of Public Health
525 - 535 West Jefferson Street
Springfield, IL 62761-0001

Via Fax (217) 785- 5897

**RE: Variance Request for Intact Transite Board Abatement at:
Rockford Public Schools – District 205
West View Elementary School
1720 Halsted Road, Rockford, Illinois 61103
I.D.# 14-101-2050-2064**

Dear Mr. Cook:

Carnow Conibear & Assoc., Ltd. is requesting a variance from the Illinois Department of Public Health Asbestos Abatement Act for the above referenced school. This variance request is being submitted with the intent of conducting intact removal of ACM transite panels from the backside of classroom cabinets.

Scope: Allow removal of ACM transite panels from the backside of the east wing classroom cabinets utilizing work area preparation and cleaning requirements detailed in Section 855.480.

The abatement contractor shall set up "mini containments" to enclose cabinets positioned along the window wall of six classrooms per section 855.480. The cabinets will then be partially disassembled to allow them to be moved away from their current position and provide access to the ACM transite panels attached to the back. The transite boards which are nailed to the back of the cabinets will then be removed significantly intact within the mini containment. The transite panels will be immediately wrapped in two layers of 6 mil poly, transported to the exterior of the building using lined tubcarts, placed in an asbestos dumpster and disposed of per Section 855.475. Workers will utilize a remotely located decontamination unit within the secured work area.

The following variance is being requested for your approval:

Variance Request # 1: Reduction of the work area preparation requirements:

Reduction of Section 855.400(i) to eliminate the requirement of covering the walls and floors with two layers of polyethylene sheeting and conducting the work in accordance with the Glovebag Section 855.480.

Carnow Conibear is requesting a waiver of the work area preparation requirements to cover floor and wall surfaces with two layers of plastic sheeting to using only one layer of plastic sheeting. This removal operation shall be conducted as an intact removal and the non-friable asbestos containing transite panels will not be significantly damaged during the removal process.

Carnow Conibear is requesting that the clean-up process consist of two (2) thorough cleans with a minimum of (2) two settling periods consisting of at least 12 hours. During a typical abatement, the series of three cleans and settling periods involves the removal of poly sheeting on walls and floors which in this case will not be needed. At the completion of each cleaning cycle each mini containment will be thoroughly inspected by the Project Manager. Clearance air monitoring will be conducted by TEM with one (1) sample collected from each mini enclosure and the dirty side of the worker decontamination unit.

Attached please find drawing of the proposed design to support this abatement.

If you have any questions, please feel free to contact me at (312) 762-2928.

Sincerely,

CARNOW, CONIBEAR AND ASSOC., LTD.



John M. Dobby, CIH, CSP
Asbestos Project Designer
IDPH License #100-09261



525-535 West Jefferson Street • Springfield, Illinois 62761-0001 • www.dph.illinois.gov

October 22, 2015

Mr. John Dobby
Carnow, Conibear & Associates, LTD.
600 W. Van Buren Street, Suite 500
Chicago, IL 60607

RE: Asbestos Abatement Variance Request
Rockford Public School District 205
14-101-2050-2064

Dear Mr. Dobby:

This letter is in response to the variance request for the asbestos abatement work at **West View Elementary School**, 1720 Halsted Road, Rockford, Illinois.

Scope: The removal and clean up of asbestos containing materials as outlined in your variance request dated 10/19/2015.

The following variance requested from the Asbestos Abatement Code for Illinois Public and Private Schools has been approved:

1. Per letter dated October 22, 2015.

The approved variances may be applied only to work described in the variance request. The variance may not be applied to work performed after 180 days from the date of approval. A copy of the variance request and this letter must be kept at the work site at all times during the abatement project. All regulatory requirements other than those for which a variance has been approved for this project must be satisfied. If you have any questions, please contact me at the Asbestos Program, 525 West Jefferson Street, Springfield, IL 62761 or by telephone at (217) 782-3517, for the hearing impaired only (TTY# 800-547-0466).

Sincerely

R. Kent Cook, Architect
Asbestos Program

RKC8840